

KIS MOBILE TRADING USERS MANUAL

CONTENT

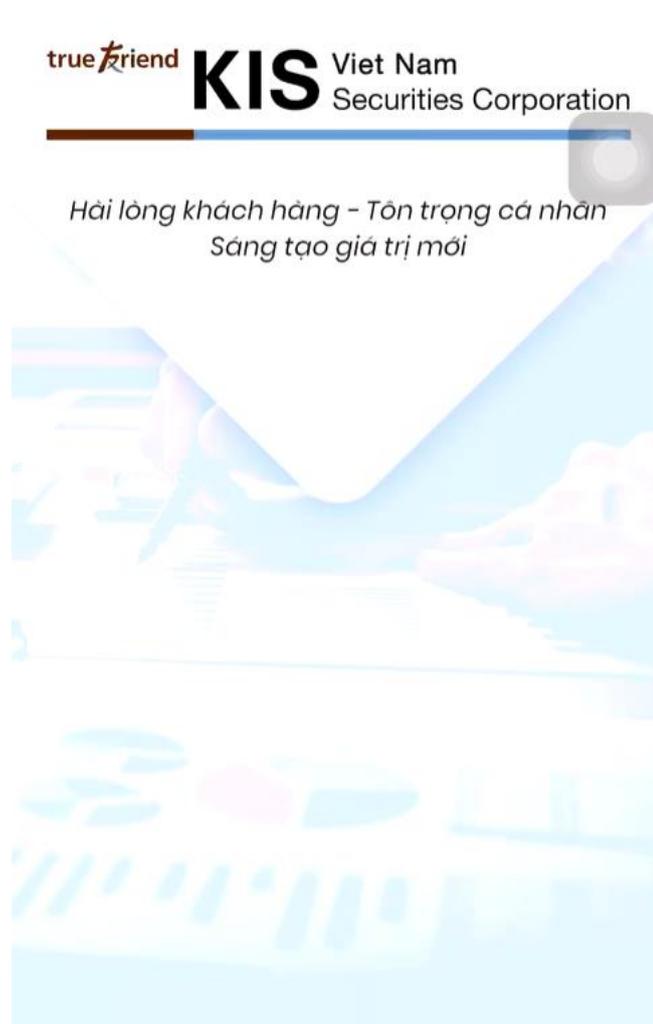
I. ACCOUNT MANAGEMENT	3
1. Login to MTS.....	3
2. Change language	6
3. Account information.....	9
4. Change login password.....	11
5. Change order password.....	13
6. Account settings.....	17
7. Logout	20
II. FAVORITE LIST.....	21
1. Create a new favorite list.....	21
2. Lookup for favorite lists	23
3. Add a new securities code to the list.....	25
4. Manage favorite list	28
4.1 Sort the securities list	28
4.2 Edit securities list in favorite lists.....	29
4.3 Edit the favorite list name	31
5. Manage all favorite lists.....	32
III. RANKING.....	34
IV. ORDERS	35
1. Normal order	35
2. Stop order	41
3. Advance order	45
4. Lookup order history.....	47
5. Modify/Cancel orders	48
5.1 Modify orders.....	49
5.2 Cancel orders.....	51
6. Speed order	52
V. SECURITIES INFORMATION.....	58

1. Summary	59
2. Bid/Offer	60
3. Chart	61
4. Quote	62
5. Daily/weekly/monthly quote	63
6. Foreigner	64
7. News	65
8. Business info	66
VI. ALARMS	67
1. Create a new alarm	67
2. Edit and delete alarms	69
VII. CUSTOMER SERVICES	72
1. Equity	72
1.1 Stock balance	72
1.2 Account balance.....	74
1.3 Withdraw money.....	75
1.4 Transfer cash btw main/sub	77
1.5 Transfer stock.....	79
1.6 Secured loan.....	82
1.7 Rights subscription.....	85
2. Derivatives	86
2.1 Derivatives information	86
2.2 Daily balance.....	87
2.3 Risk ratio	88
2.4 Today open positions	89
2.5 Daily profit/loss and cumulative profit/loss	90
2.6 History.....	92
2.7 Withdraw money.....	95
2.8 Transfer cash btw main/sub	97
2.9 Deposit IM	99
2.10 Withdraw IM.....	101

I. ACCOUNT MANAGEMENT

1. Login to MTS

After download K-mobile application to mobile phones, customers access the application



The login screen will display:



Username

Password

Remember me

LOGIN



Version: 1.0.0

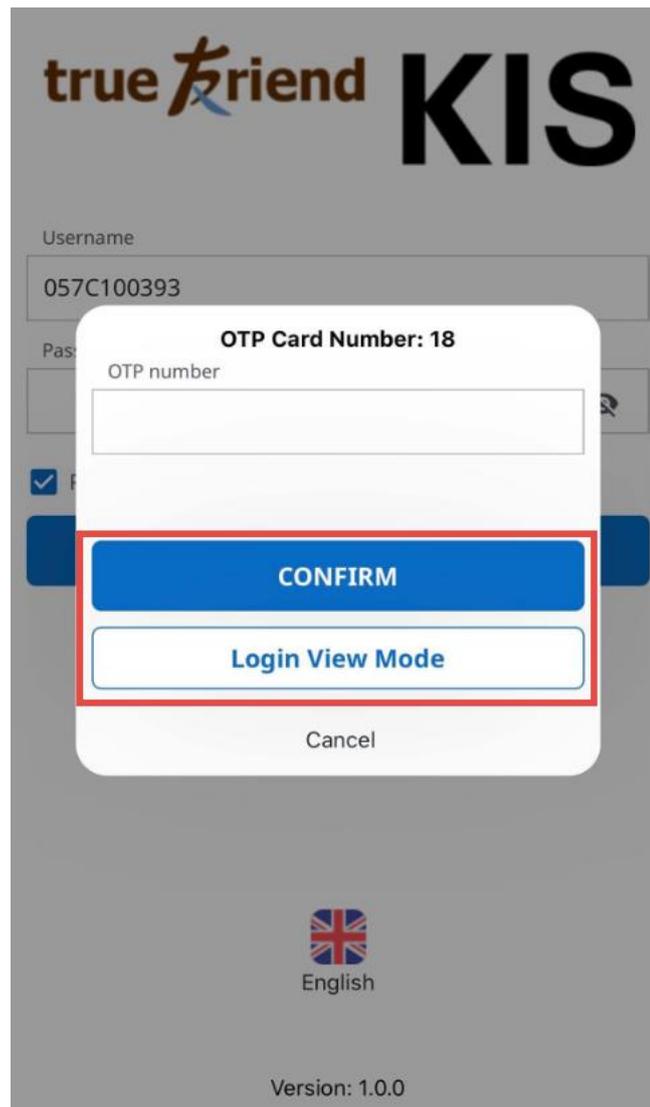
To login to customers' account, please follow the following steps:

Step 1: Enter “**Username**” registered with KIS

Step 2: Enter “**Password**” associated with the account

Customers select “**Remember me**” to save the username for the next login

Step 3: Click on “**LOGIN**” and the system will display the OTP screen



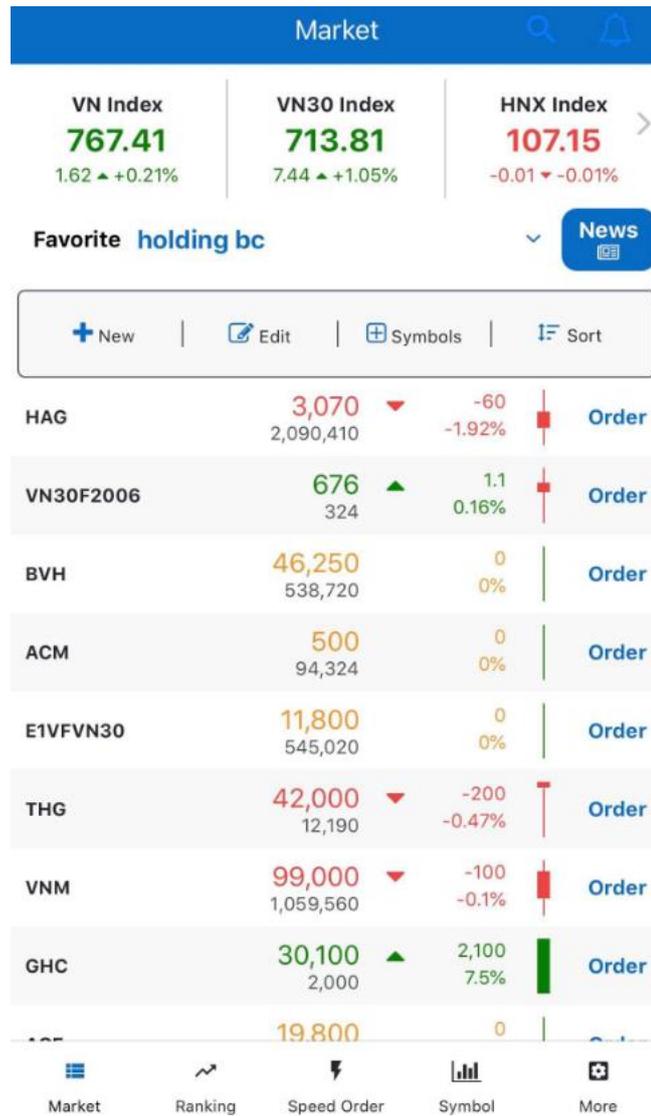
Step 4: Enter the OTP value associated from provided OTP card on **“OTP number”**

- To customers who first download and use K-mobile, the system will send a SMS to their phones to inform first-time OTP password and automatically activate **“Using mobile OTP”** in settings. After the first login, customers will receive an OTP notification on their mobile phones for every login

Step 5: Click on **“CONFIRM”** to login to customers’ KIS account and use the application

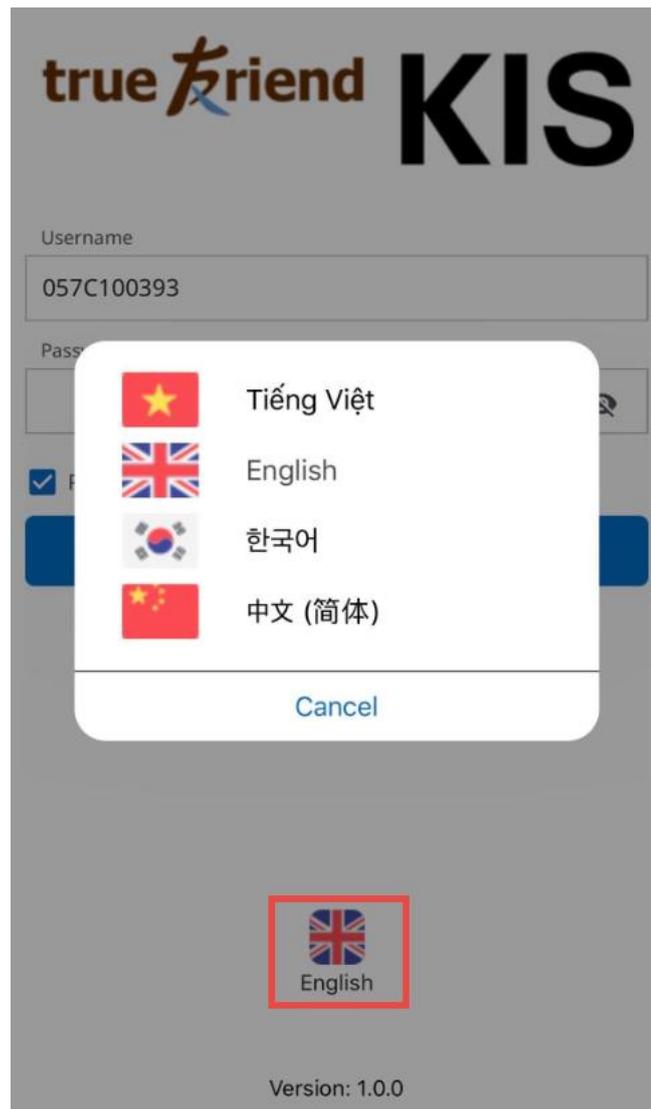
In case of no transaction needed, customers could click on **“Login View Mode”** to view market, chart... related information. If customers want to place orders or trade, they will need to exit the **“Login View Mode”** and login with OTP as usual

After logging in successfully, “Market” screen will be displayed

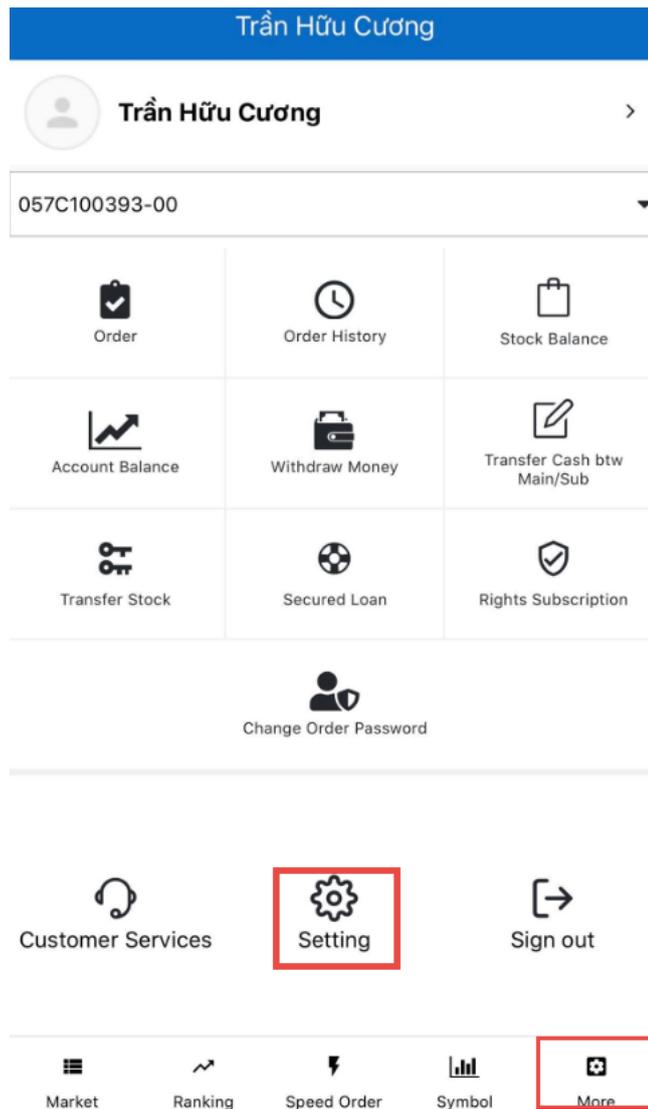


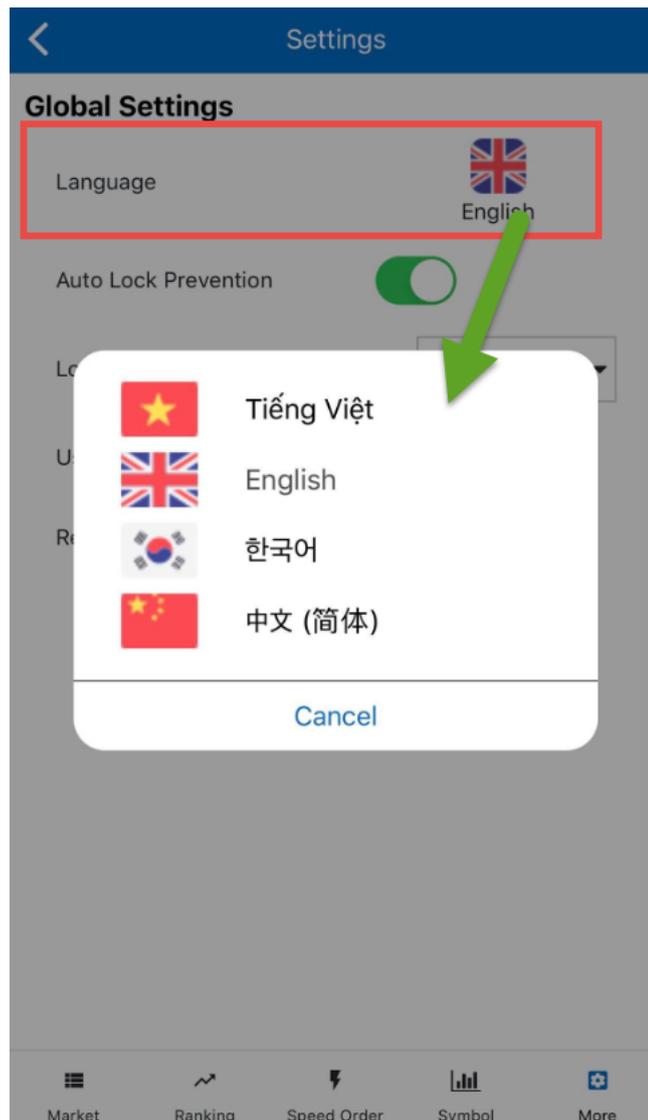
2. Change language

Customers change display language by clicking on the language symbol from the login screen:



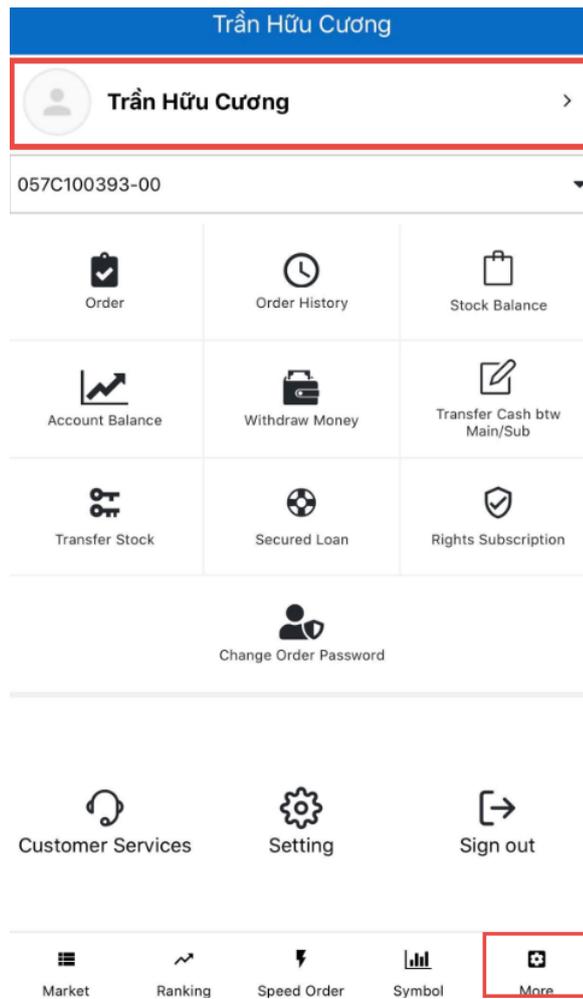
Or after logging in, clicking on **“More/Setting”** to select a desired language:

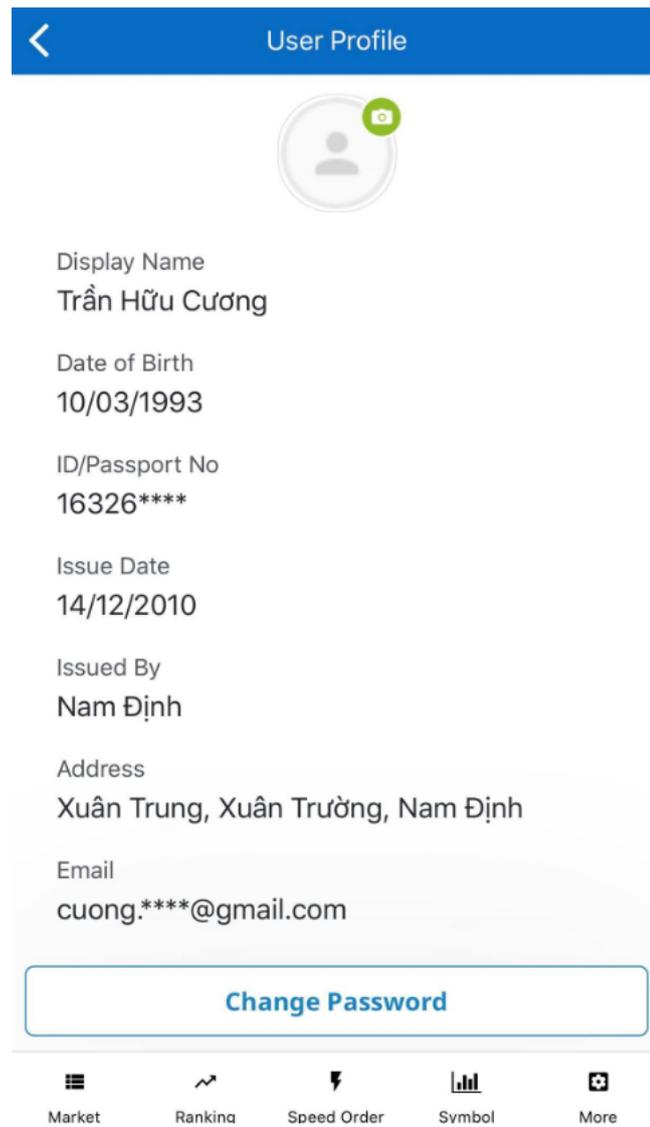




3. Account information

Customers access “**More**” at the bottom bar and select “**Username**” at the top to view account information being logged in:





Information of account owner will be displayed. Some of the information about ID/Passport Number, email address and phone number can only be showed partially.

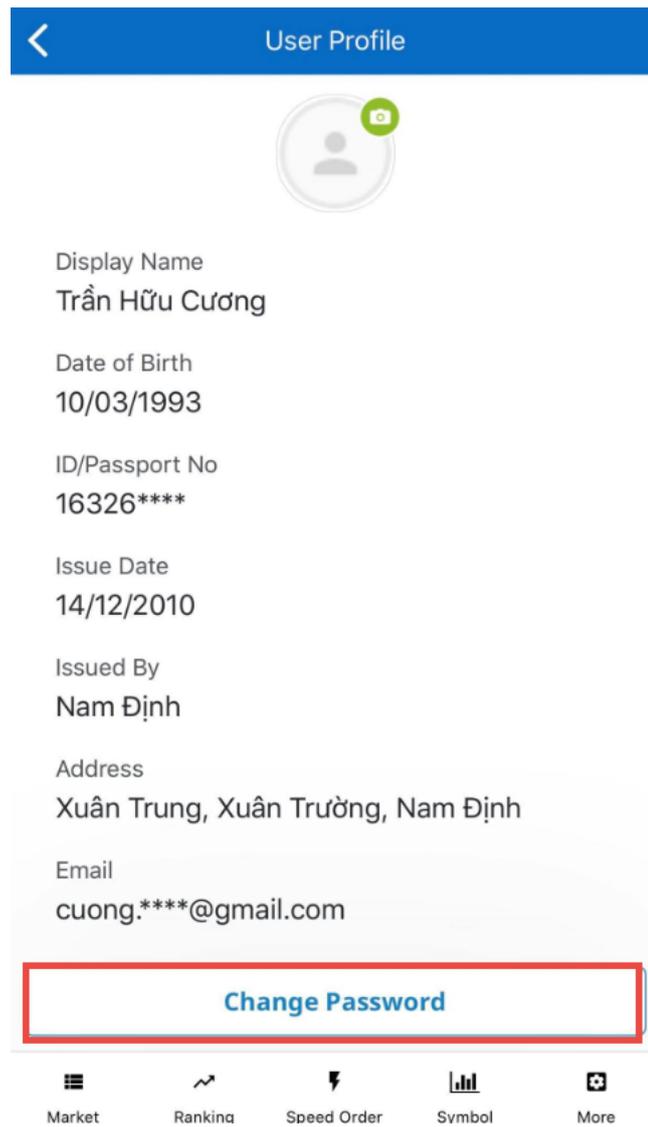
4. Change login password

Customers who want to change their login password could follow the following steps:

Step 1: Click on  from the bottom bar

Step 2: Click on the username

Step 3: Click on “Change Password”



Step 4: Choose “**Change Login Password**” and the system will display a form for customers to change their login password:

Change Password

Change Login Password Change Order Password

HTS ID
057C100393

Old Password

New Password

Reconfirm New Password

SUBMIT

Market Ranking Speed Order Symbol More

- Enter the “**Old Password**” being used.
- Enter a “**New Password**” wanted to change
- Enter the new password on “**Reconfirm New Password**”
- Click on “**Submit**” to complete changing password or click on  to cancel the action

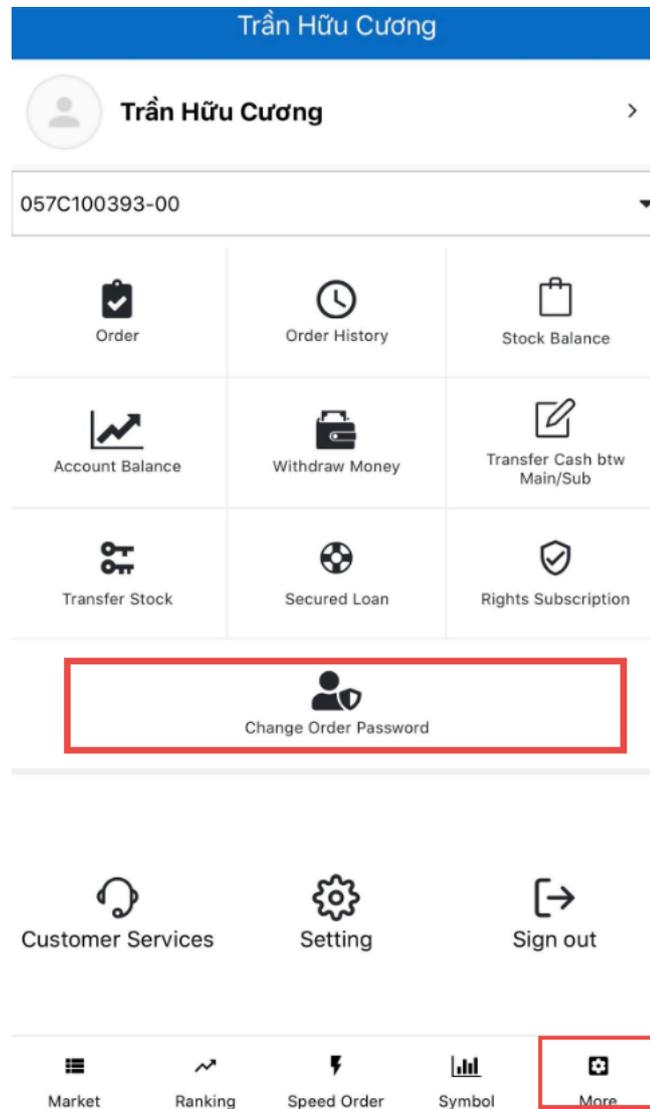
5. Change order password

Customers who want to change their order password could perform in 2 ways:

Option 1:

Step 1: Click on  from the bottom bar

Step 2: Click on “Change Order Password”



The system will display a form for customers to change their order password:

Change Order Password

Account 057C100393-00

Old Password

New Password

Reconfirm New Password

SUBMIT

Market Ranking Speed Order Symbol More

Step 3:

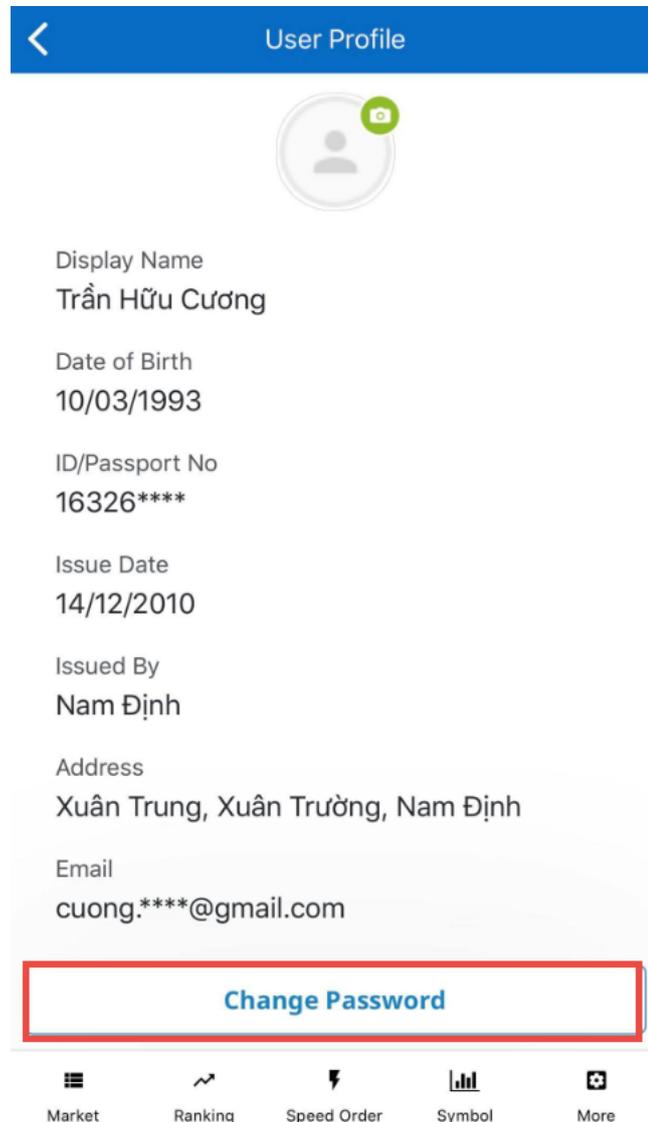
- Enter the **“Old Password”** being used.
- Enter a **“New Password”** wanted to change
- Enter the new password on **“Reconfirm New Password”**
- Click on **“Submit”** to complete changing password or click on  to cancel the action

Option 2:

Step 1: Click on  from the bottom bar

Step 2: Click on the username

Step 3: Click on “Change Password”



Step 4: Choose “Change Order Password” and the system will display a form for customers to change their order password:

Change Password

Change Login Password **Change Order Password**

Account 057C100393-00

Old Password

New Password

Reconfirm New Password

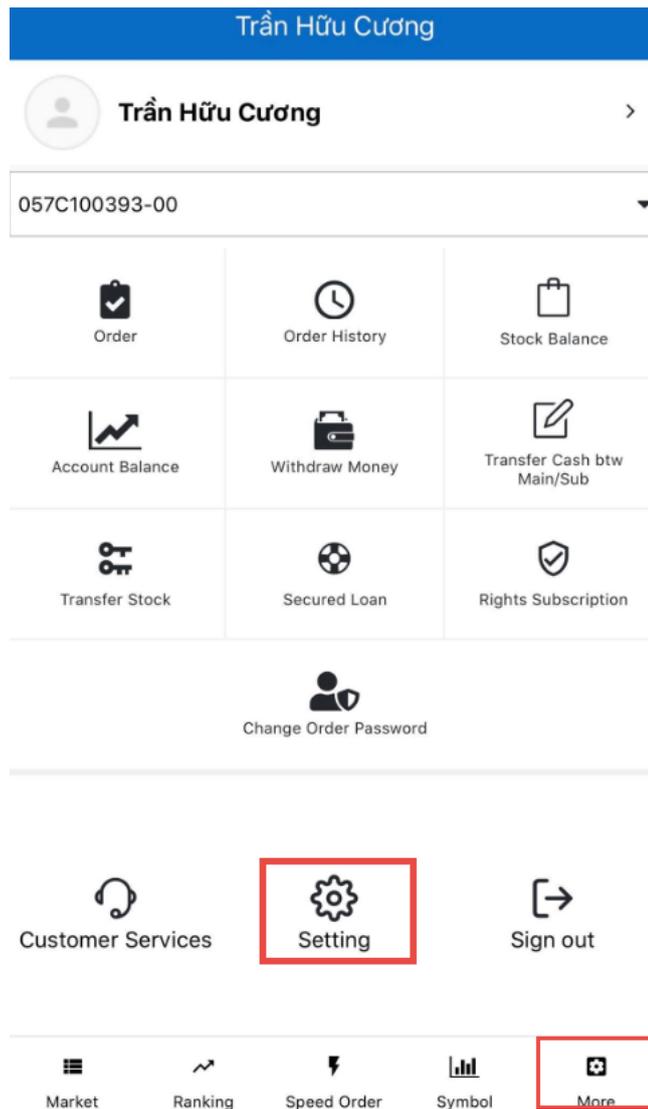
SUBMIT

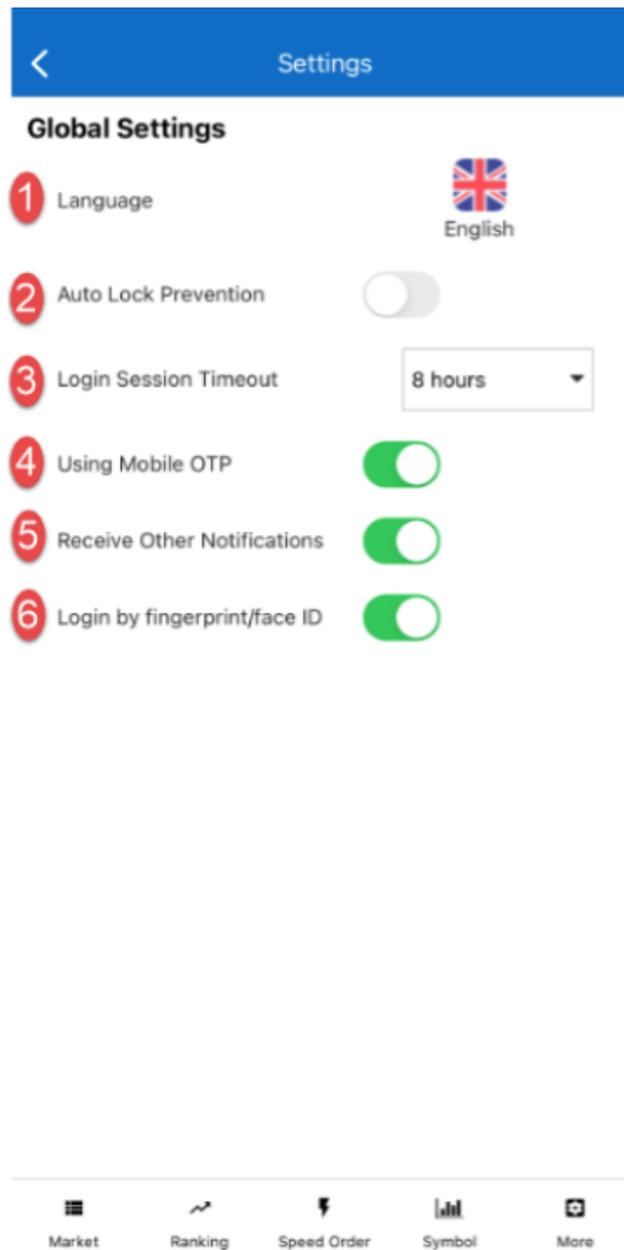
Market Ranking Speed Order Symbol More

- Enter the **“Old Password”** being used.
- Enter a **“New Password”** wanted to change
- Enter the new password on **“Reconfirm New Password”**
- Click on **“Submit”** to complete changing password or click on  to cancel the action

6. Account settings

Customers change settings for some features cho the account being used by clicking on **“More”/ “Setting”**





- (1) **Language:** Customers change language being used to another one
- (2) **Auto Lock Prevention:** This feature allows customers' mobile phone not to lock the screen according to the set waiting time during the trading time
- (3) **Login Session Timeout:** This feature allows customers to set time for application to automatically logout

For example: The login timeout session is 30 minutes. After 30 minutes, if customers do not have any performance on the application, the system will automatically logout the account

(4) Using OTP Mobile: This feature allows the system to send a message to customers' mobile phones notifying the OTP number used to login to the application. Customers will no longer need to bring the OTP card and they can login anywhere and anytime.

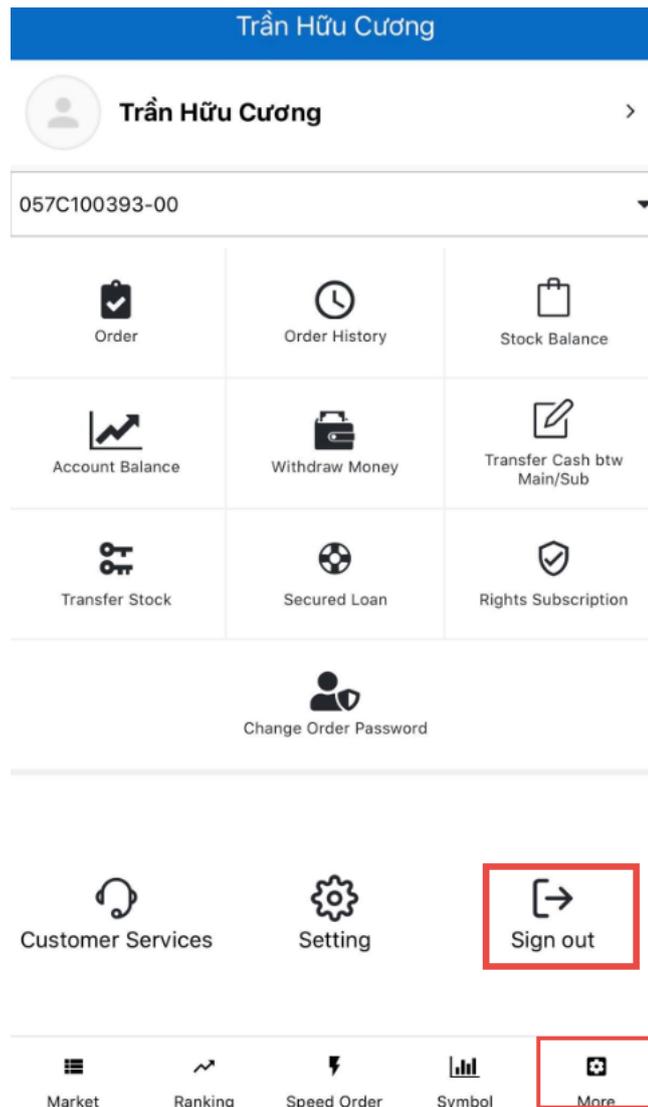
(5) Receive Other Notifications: This feature allows customers to register notifications of any matched orders and alarms and they will be sent to customers' mobile phones.

(6) Login by fingerprint/face ID: This feature allows customers to login by fingerprint/face ID and don't need to typing password & OTP.

7. Logout

Customers who want to logout the application could follow the following steps:

Step 1: Click on  from the bottom bar



Step 2: Select “Logout” to exit the application

II. FAVORITE LIST

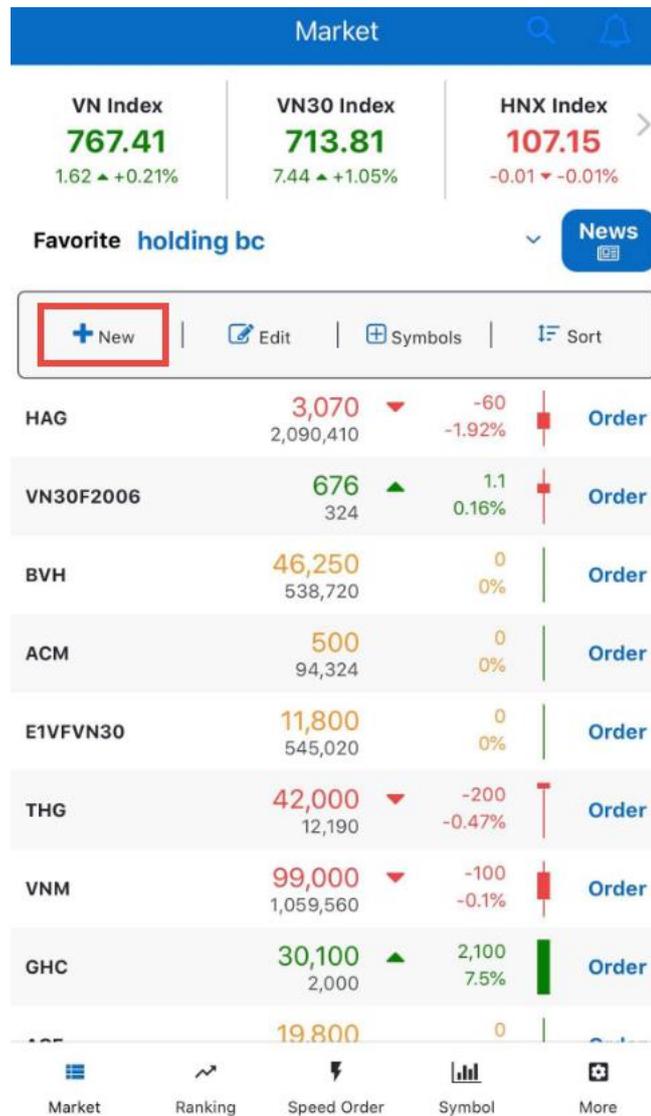
Favorite lists allow customers to make lists of securities codes that they are interested in. Customers can set maximum 50 securities codes for 1 Favorite list

Customers could click on “Symbol” to view the details of a securities codes or click on “Order” to perform place orders for the chosen securities codes.

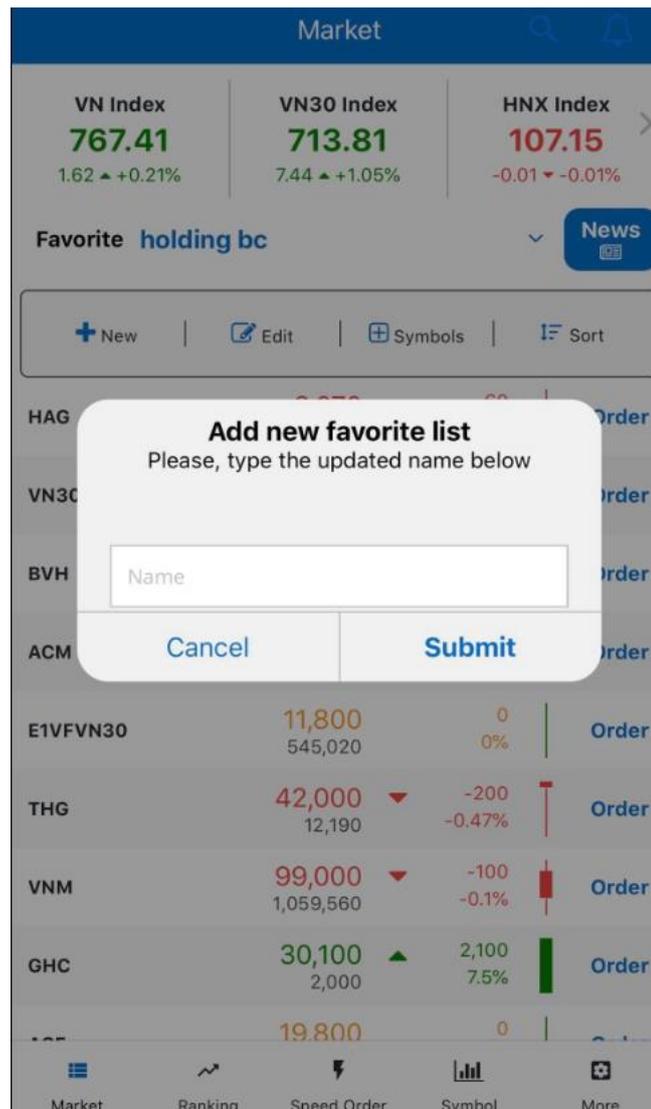
1. Create a new favorite list

From the Market screen, available favorite lists will be displayed. To add a new favorite list, customers follows the following steps:

Step 1: From the Market screen, click on 



A pop-up screen will be displayed for customers to perform adding a new favorite list:



Step 2: Type in the name for the new favorite list

Step 3: Click on “**Submit**” to create a new list or “**Cancel**” to cancel the act

2. Lookup for favorite lists

Customers lookup for entire favorite list currently available on the **Market** screen by clicking on the down arrow next to the list name being displayed:

Market

VN Index

767.41

1.62 ▲ +0.21%

VN30 Index

713.81

7.44 ▲ +1.05%

HNX Index

107.15

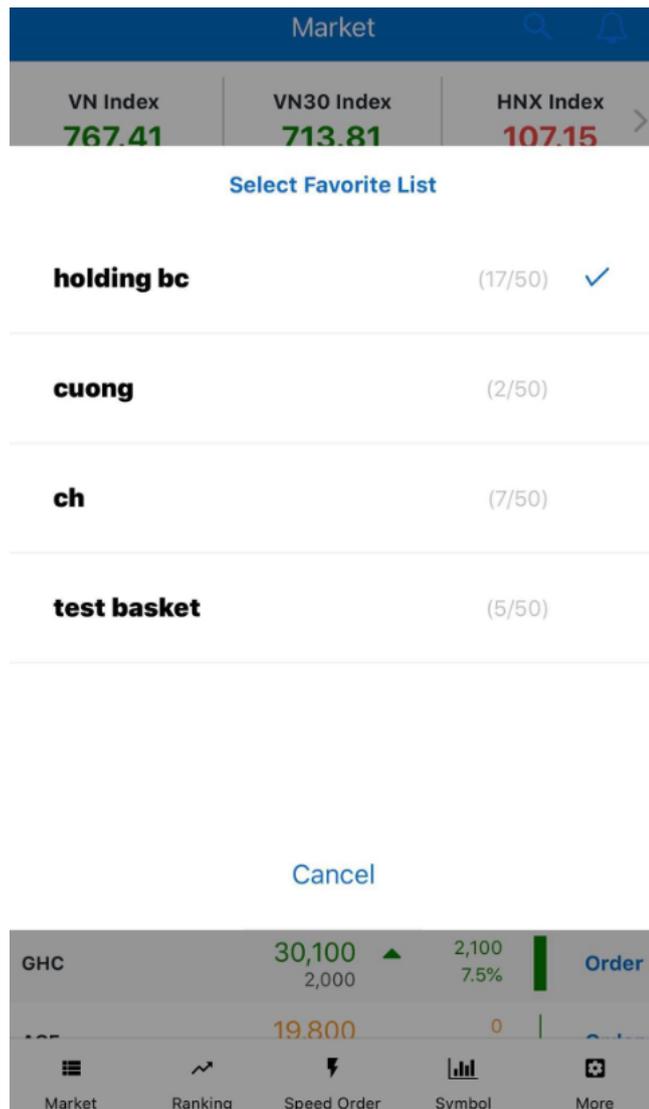
-0.01 ▼ -0.01%

Favorite holding bc News

+ New
 Edit
 Symbols
 Sort

HAG	3,070 2,090,410	▼ -1.92%	-60		Order
VN30F2006	676 324	▲ 0.16%	1.1		Order
BVH	46,250 538,720	 0%	0		Order
ACM	500 94,324	 0%	0		Order
E1VFN30	11,800 545,020	 0%	0		Order
THG	42,000 12,190	▼ -0.47%	-200		Order
VNM	99,000 1,059,560	▼ -0.1%	-100		Order
GHC	30,100 2,000	▲ 7.5%	2,100		Order
...	19,800	 0			Order

Market
 Ranking
 Speed Order
 Symbol
 More

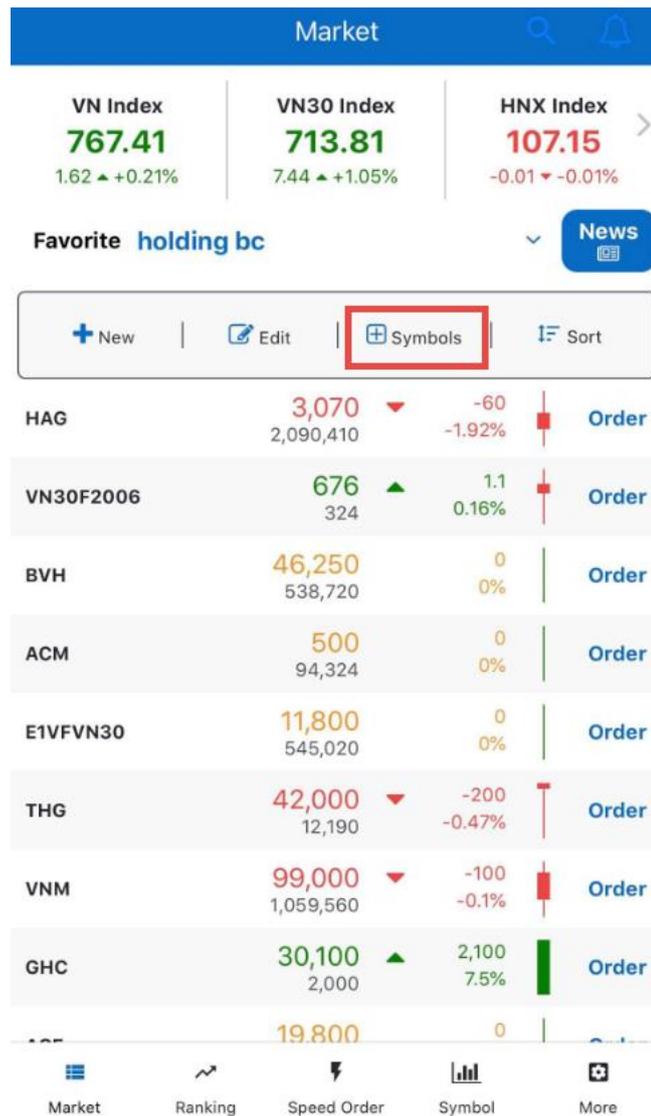


The pop-up screen will show all favorite lists current available and the number of securities codes in each list. Customers can scroll up or down to see the entire list.

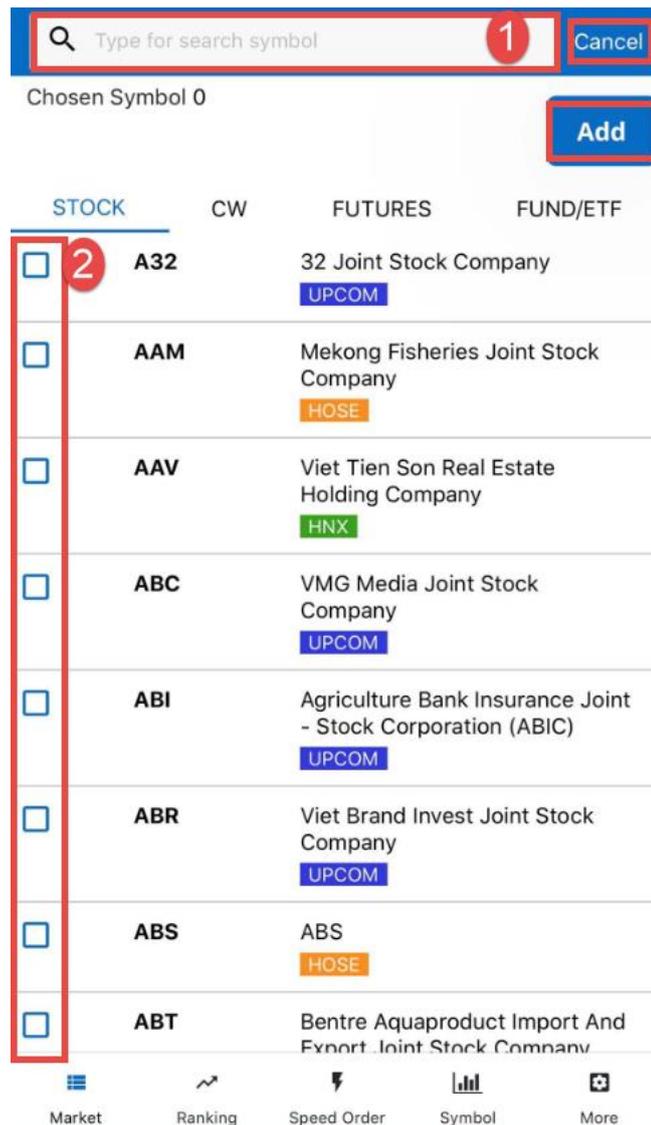
3. Add a new securities code to the list

Customers add new securities codes to the favorite list being displayed by:

Step 1: Click on  Symbols



The system will display the entire list of securities codes on the market:



Step 2: Choose a securities type wanted to add. Currently there are 4 types: Stock, CW, Futures and Fund/ETF

Step 3: Choose a securities code to add

- Customers enter the desired securities code in the search bar (1) or tick the box (2) at the front of each securities code to add them to the list. The system allows customers to scroll down to see more securities code

Step 4: Click on “Add” to complete adding new securities codes or “Cancel” to cancel the act

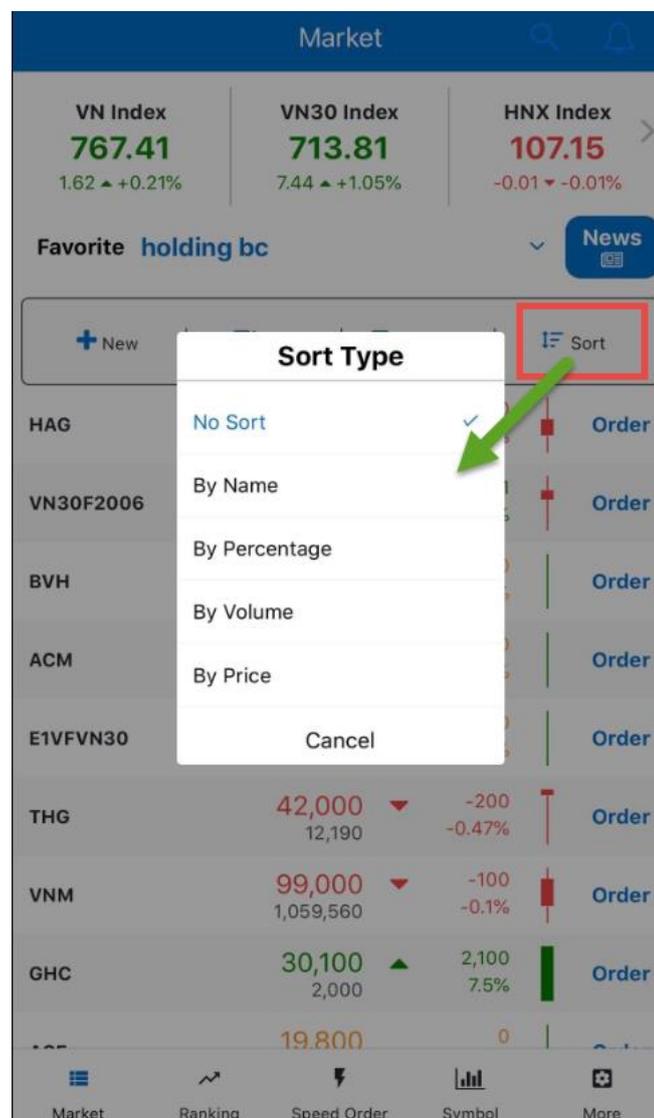
4. Manage favorite list

4.1 Sort the securities list

Customers choose a sorting type by:

Step 1: Choose a favorite list

Step 2: Click on  and the system will display sorting options: By Name, By Percentage, By Volume, By Price and No Sort

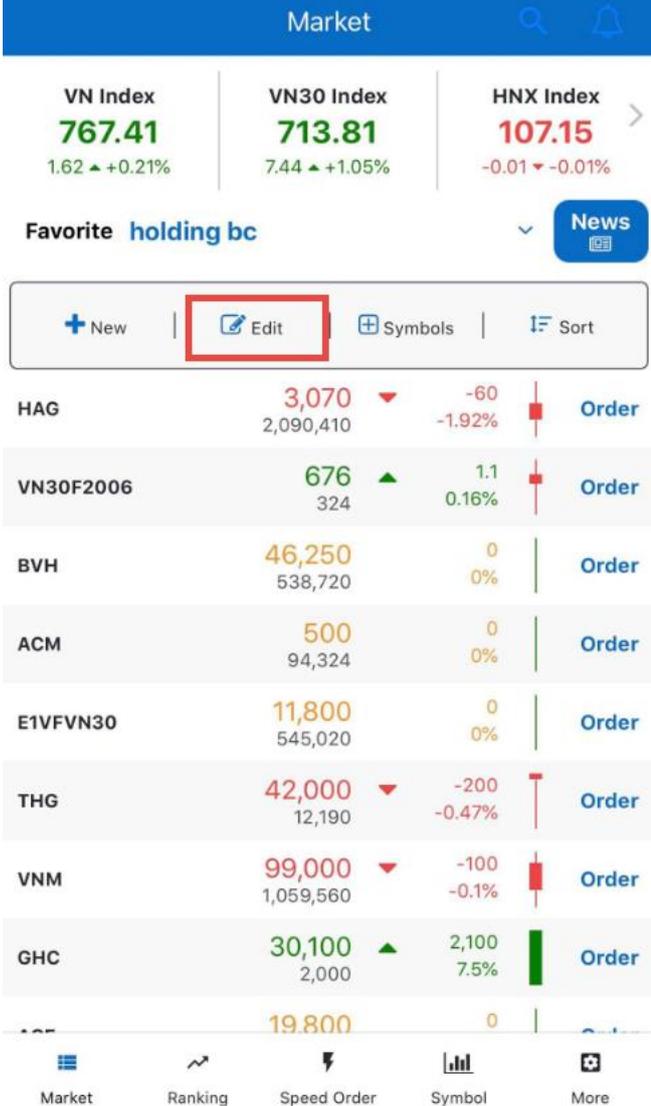


Step 3: Choose a sorting type. The system will organize the securities list of the chosen list

4.2 Edit securities list in favorite lists

Customers perform editing securities list in favorite lists by:

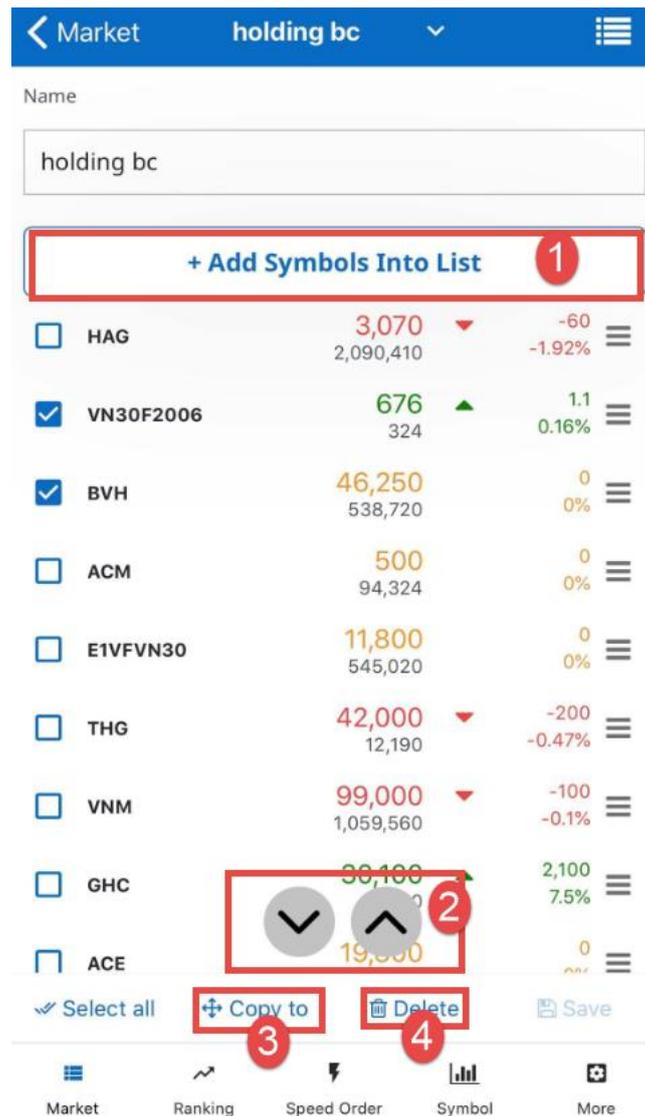
- Click on  Edit



The screenshot displays the 'Market' section of the app. At the top, there are three index cards: VN Index (767.41, +0.21%), VN30 Index (713.81, +1.05%), and HNX Index (107.15, -0.01%). Below this is a 'Favorite holding bc' section with a 'News' button. A navigation bar contains '+ New', 'Edit' (highlighted with a red box), 'Symbols', and 'Sort'. The main list shows securities with their symbols, current prices, volume, and percentage changes, each with an 'Order' button.

Symbol	Price	Volume	Change	Order
HAG	3,070	2,090,410	-60 (-1.92%)	Order
VN30F2006	676	324	1.1 (0.16%)	Order
BVH	46,250	538,720	0 (0%)	Order
ACM	500	94,324	0 (0%)	Order
E1VFN30	11,800	545,020	0 (0%)	Order
THG	42,000	12,190	-200 (-0.47%)	Order
VNM	99,000	1,059,560	-100 (-0.1%)	Order
GHC	30,100	2,000	2,100 (7.5%)	Order
...

Bottom navigation bar: Market, Ranking, Speed Order, Symbol, More



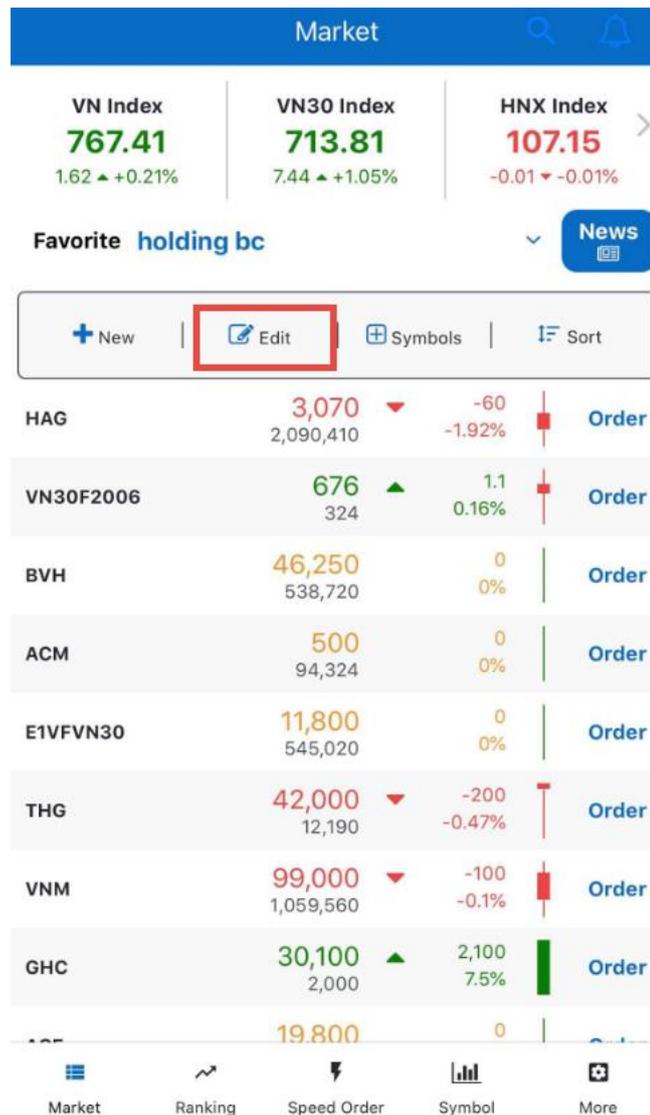
- To add new securities codes, customers click on **“Add Symbols Into List” (1)**. The system will switch to the screen to add new securities codes.
- To move the securities codes position to the top or bottom of the list, customers could tick the codes and click on **^ v (2)** to move the position.
- To copy the securities codes to other lists, customers tick the codes and click on **“Copy to” (3)** then select a list wanted to copy the codes to.
- To delete the securities codes from the list, customers tick the codes and click on **“Delete” (4)** to remove the chosen securities codes

4.3 Edit the favorite list name

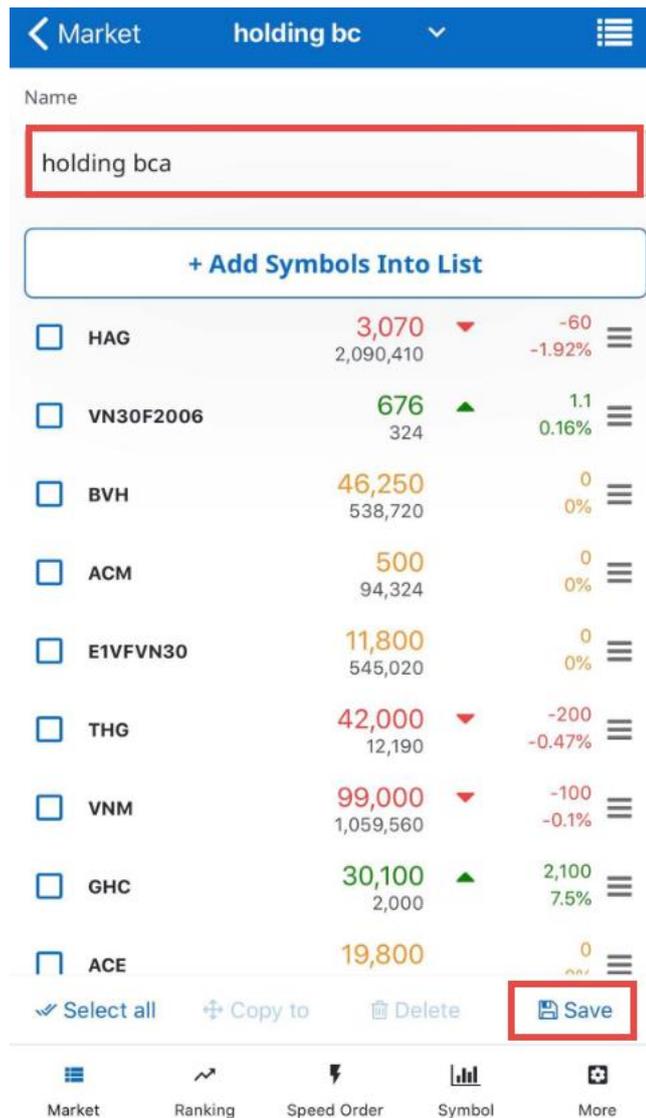
Customers perform editing the name of the favorite list by:

Step 1: Choose a favorite list to edit

Step 2: Click on  Edit



The system will display the screen to edit favorite list



Step 3: Click on the “Name” box with the favorite list’s name

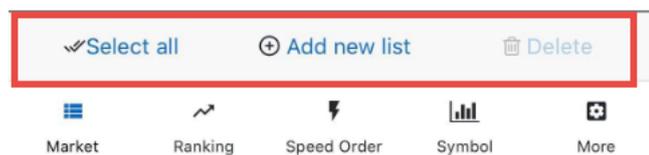
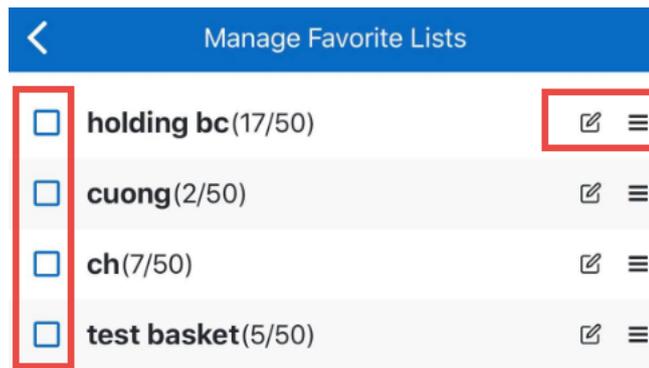
Step 4: Click on “Save” to save the new favorite list name

5. Manage all favorite lists

To manage all available favorite lists, customers could follow the following steps:

Step 1: Click on  Edit

Step 2: Click on  on the top right of the screen



Step 3: Select an act with favorite lists

- Click on **holding bc(17/50)**   to edit the name of the chosen favorite list
- Drag and drop the list using **holding bc(17/50)**   to move the position of the favorite list in the overall list
- Tick the favorite list and click on **“Delete”** to remove the chosen list or click on **“Select all”** and select **“Delete”** to clear all the lists
- Click on **“Add new list”** to create a new favorite list

III. RANKING

Customers access  Ranking to view the ranking board of HOSE, HNX and UPCOM in the order of increasing or decreasing the change rate compared to the reference price.

Ranking					
Up/Down Stock					
UP			DOWN		
HOSE		HNX	UPCOM		
HSL	6.99%	NHP	33.33%	PWS	40.00%
HVH	6.99%	SPI	14.29%	PPI	25.00%
BMI	6.98%	KVC	14.29%	DFC	14.84%
KDC	6.98%	KKC	10.00%	VCW	14.75%
DHM	6.96%	PVX	10.00%	DNE	14.74%

[View Detail >](#)

 Market	 Ranking	 Speed Order	 Symbol	 More
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Customers could click on “**View detail**” to view a list of all rankings by exchange market

Ranking		UP		
HOSE		HNX		UPCOM
Code	Price	Change	Trading Volume	
HSL	6,120 ▲	400 6.99%	41,430	Order
HVH	7,650 ▲	500 6.99%	251,180	Order
BMI	18,400 ▲	1,200 6.98%	782,990	Order
KDC	16,100 ▲	1,050 6.98%	700,080	Order
DHM	4,150 ▲	270 6.96%	118,470	Order
HOT	31,650 ▲	2,050 6.93%	310	Order
HRC	44,800 ▲	2,900 6.92%	30	Order
HSG	6,040 ▲	390 6.9%	10,337,910	Order
TCM	15,550 ▲	1,000 6.87%	1,205,100	Order
DMC	52,900 ▲	3,400 6.87%	481,400	Order
DTA	4,830 ▲	310	13,480	Order

 Market
  Ranking
  Speed Order
  Symbol
  More

Customers could click on the “**securities codes**” to switch the screen where they can see details of the chosen securities codes or click on “**Order**” to perform placing order for the chosen securities codes

IV. ORDERS

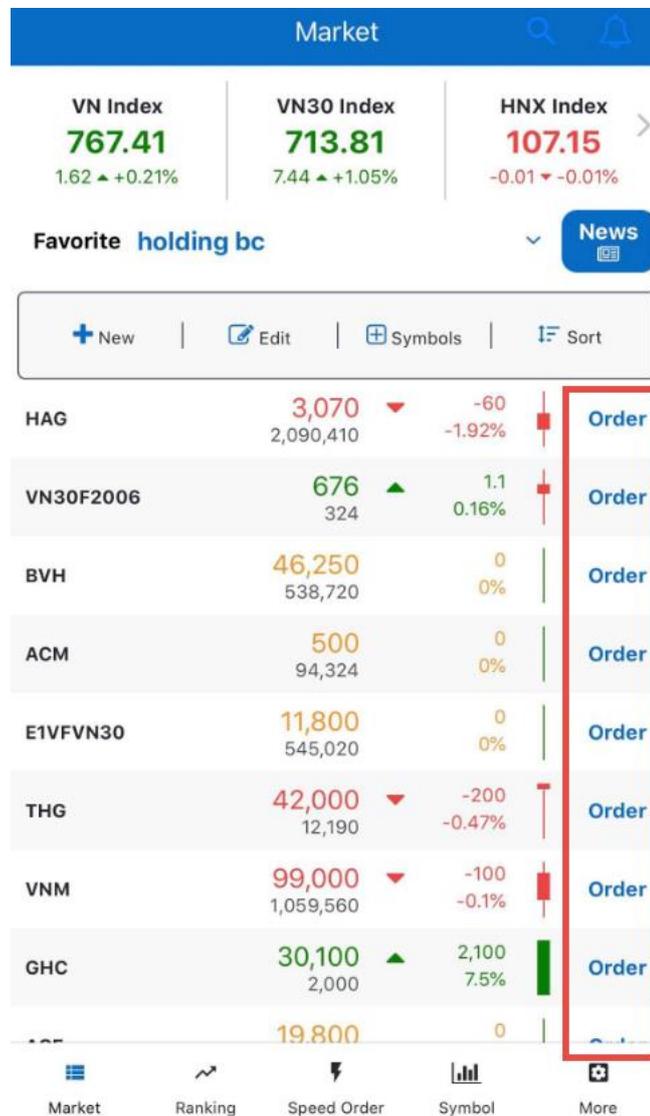
1. Normal order

Order screen is usually used to place orders for trading securities for HOSE, HNX and UPCOM

On K-mobile application, all screens have Order button so that customer could place orders more conveniently and quickly

Option 1

On the Market screen, customers could click on **“Order”** at any securities codes in the favorite list



Option 2

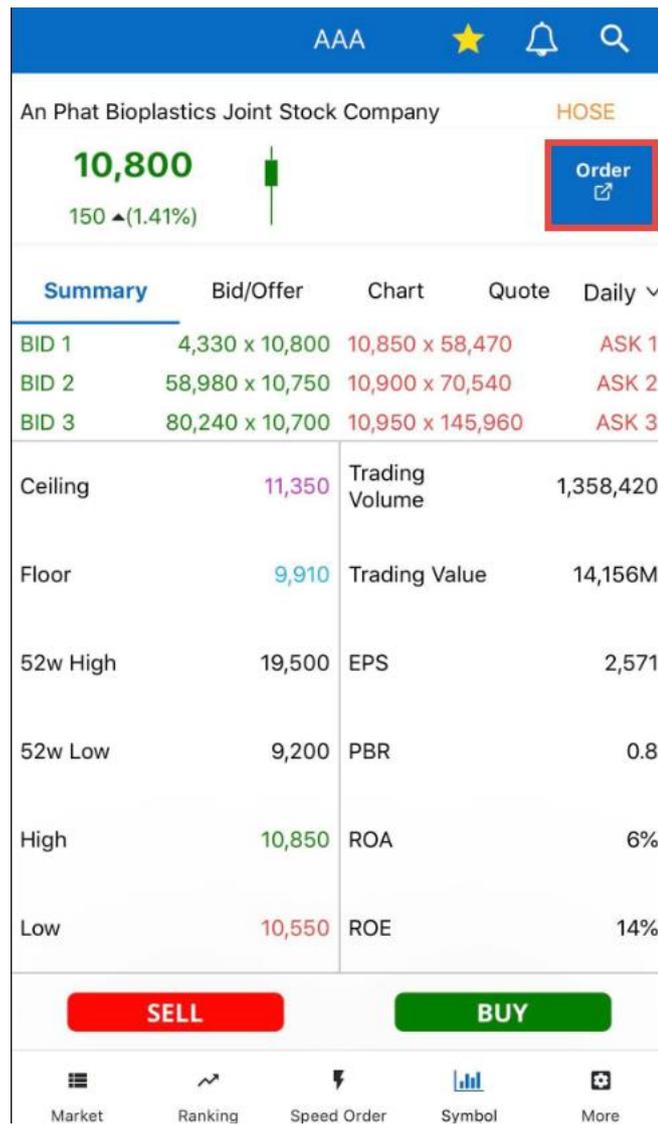
On the Ranking screen, customers could click on **“Order”** at any securities codes in the ranking list

Ranking		UP			
HOSE		HNX		UPCOM	
Code	Price	Change	Trading Volume		
HSL	6,120	▲ 400 6.99%	41,430		Order
HVH	7,650	▲ 500 6.99%	251,180		Order
BMI	18,400	▲ 1,200 6.98%	782,990		Order
KDC	16,100	▲ 1,050 6.98%	700,080		Order
DHM	4,150	▲ 270 6.96%	118,470		Order
HOT	31,650	▲ 2,050 6.93%	310		Order
HRC	44,800	▲ 2,900 6.92%	30		Order
HSG	6,040	▲ 390 6.9%	10,337,910		Order
TCM	15,550	▲ 1,000 6.87%	1,205,100		Order
DMC	52,900	▲ 3,400 6.87%	481,400		Order
DTA	4,830	▲ 310	13,480		Order

Market Ranking Speed Order Symbol More

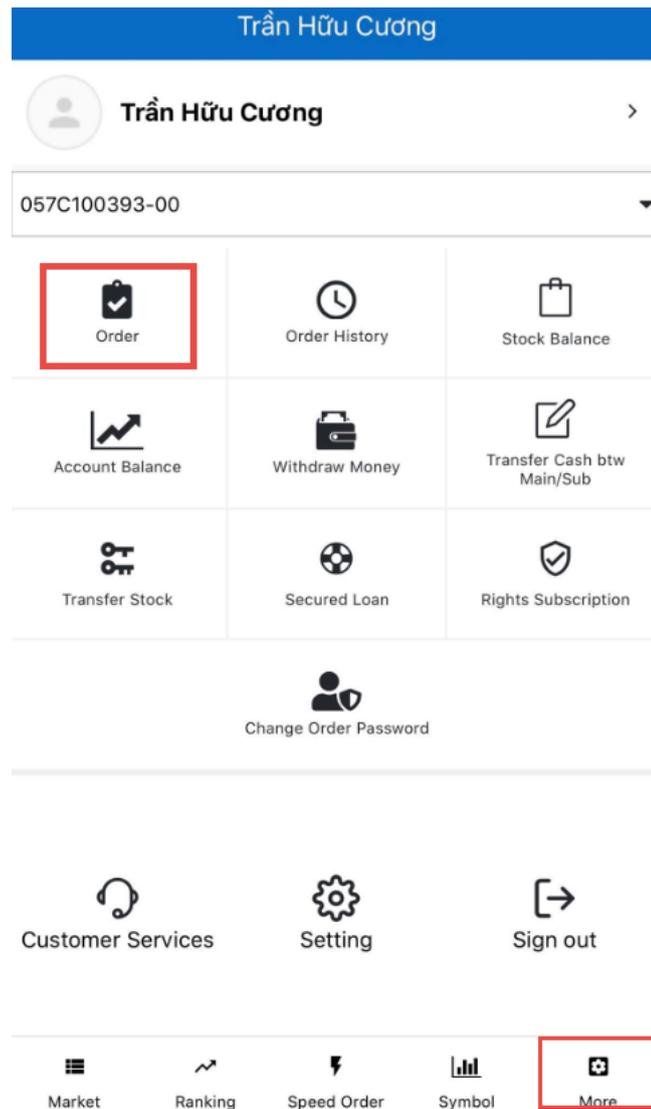
Option 3

On the securities details screen:

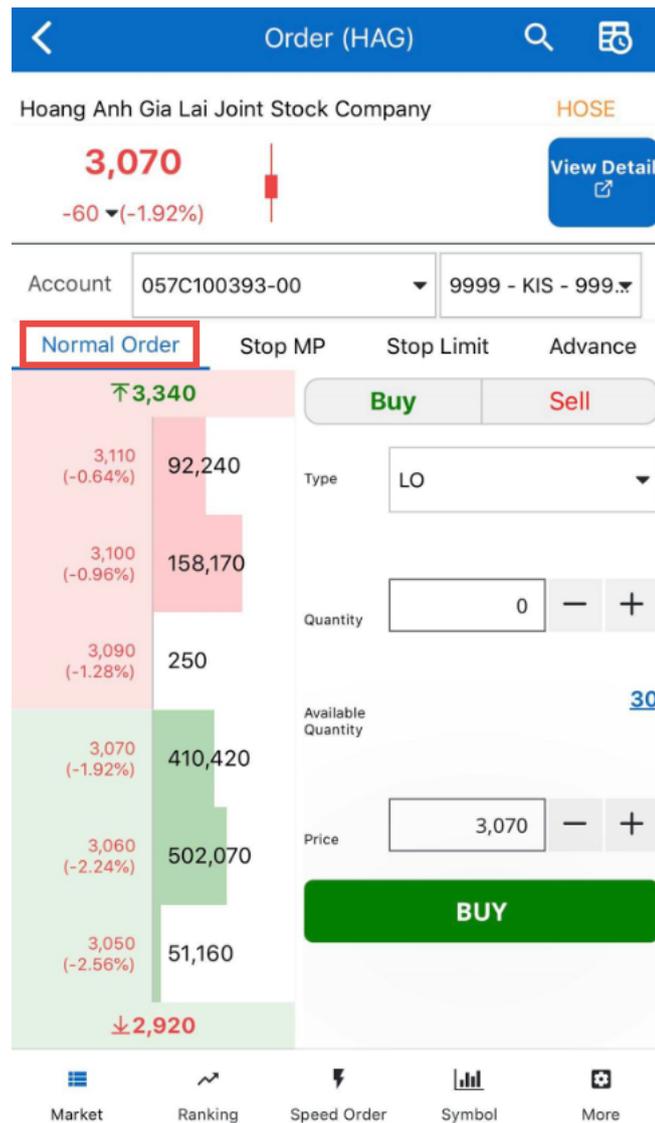


Option 4

From the “**More**” screen



After clicking on one of the “**Order**” buttons, the system will display a screen to place normal orders



Steps to perform placing a normal order:

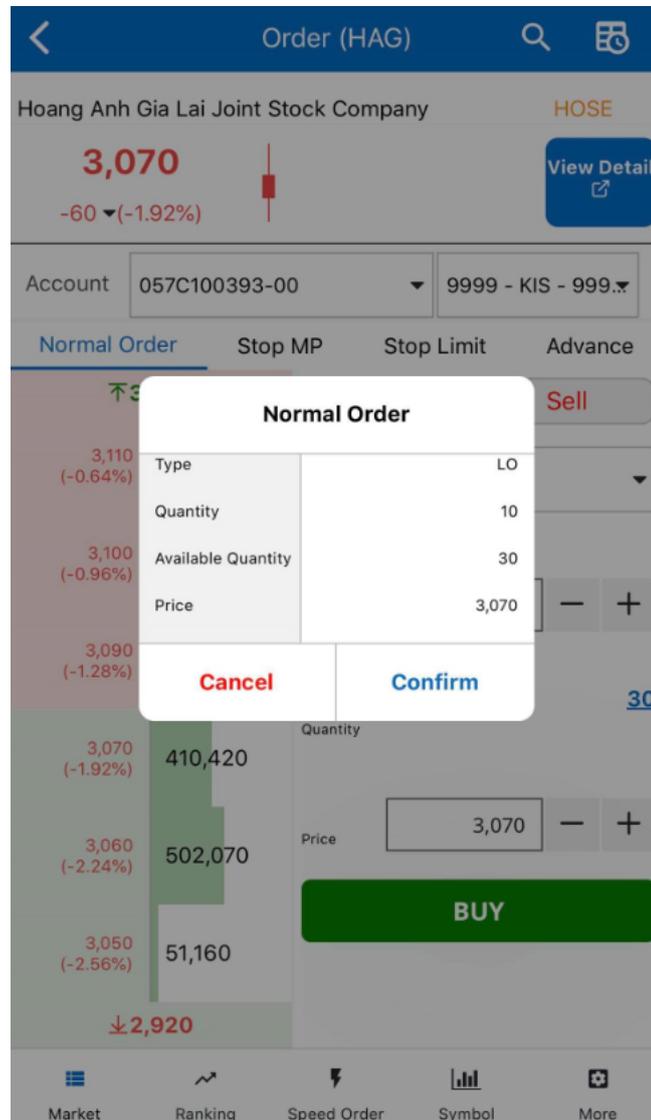
Step 1: At any Order screen, click on  button to confirm the order type

Step 2: Enter order information: Account, Type, Quantity and Price

- Click on any bid/ask/ceiling/floor price, the order price will be automatically carried.
- Click on the Available Quantity and the Quantity will be automatically carried.
- Price and Quantity can be adjusted based on price tick/trading unit by using 

Step 3: Click on “Buy/Sell” at the bottom of the order form to carry out the transaction

Step 4: Click on **“Confirm”** on the pop-up screen to perform placing order or **“Cancel”** to cancel the act

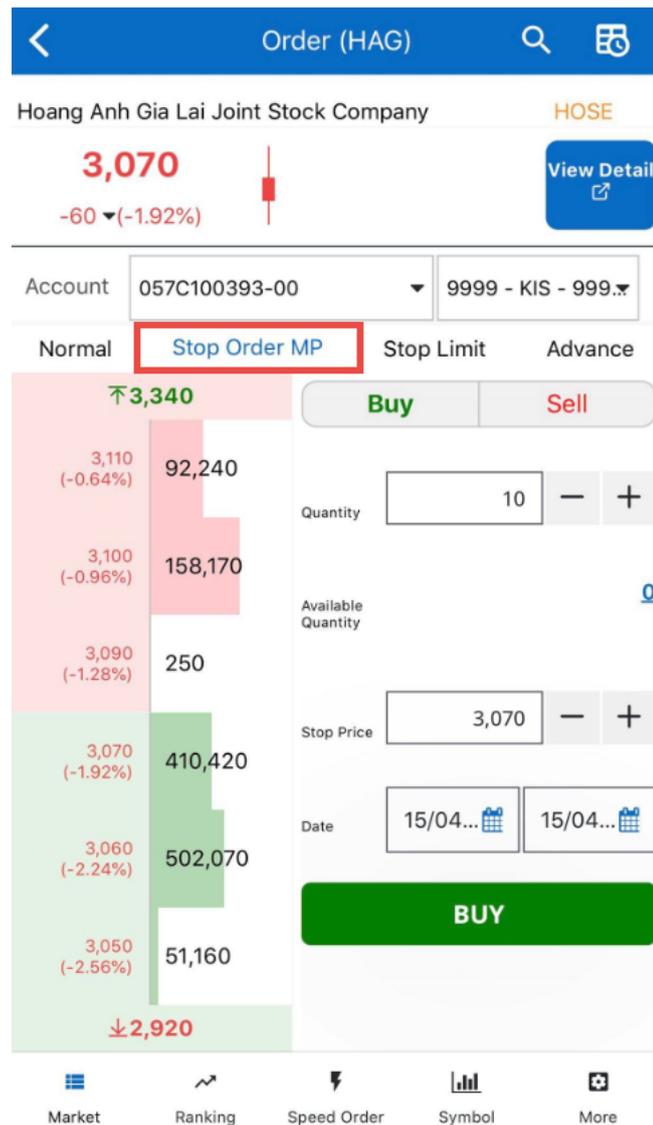


After placing the orders, customers can see the order history by clicking on  on the top right corner of the order screen

2. Stop order

Stop order MP:

To perform placing a stop order, customers access the order screen and select Stop Order:



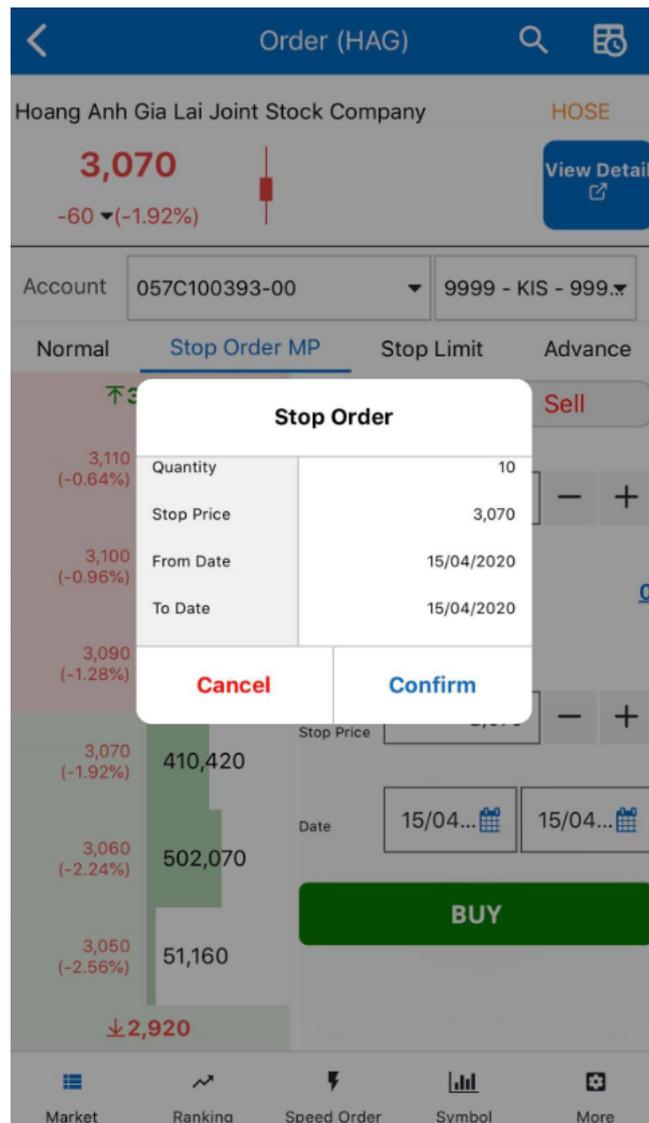
Step 1: At any Order screen, click on “Buy/Sell” button to confirm the order type

Step 2: Enter order information: Account, Quantity, Stop Price and Date

- Click on any bid/ask/ceiling/floor price, the order price will be automatically carried.
- Click on the Available Quantity and the Quantity will be automatically carried.
- Stop Price and Quantity can be adjusted based on price tick/trading unit by using



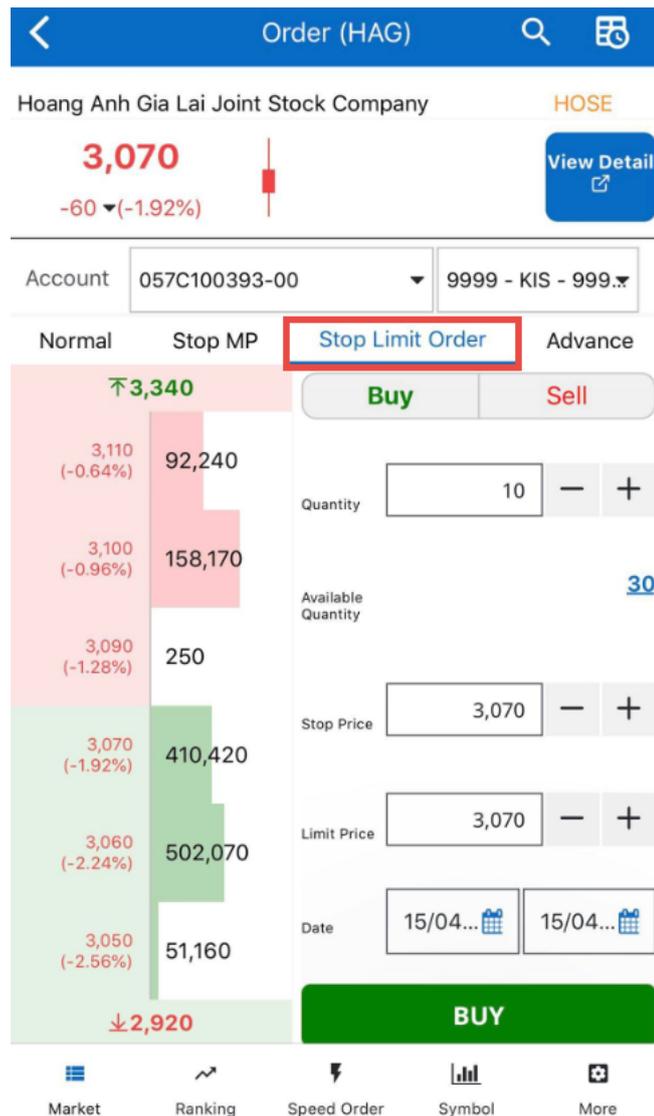
Step 3: Click on “Buy/Sell” at the bottom of the order form to carry out the transaction



Step 4: Click on “**Confirm**” on the pop-up screen to perform placing order or “**Cancel**” to cancel the act

Stop limit order:

To perform placing a stop limit order, customers access the order screen and select Stop Limit Order:

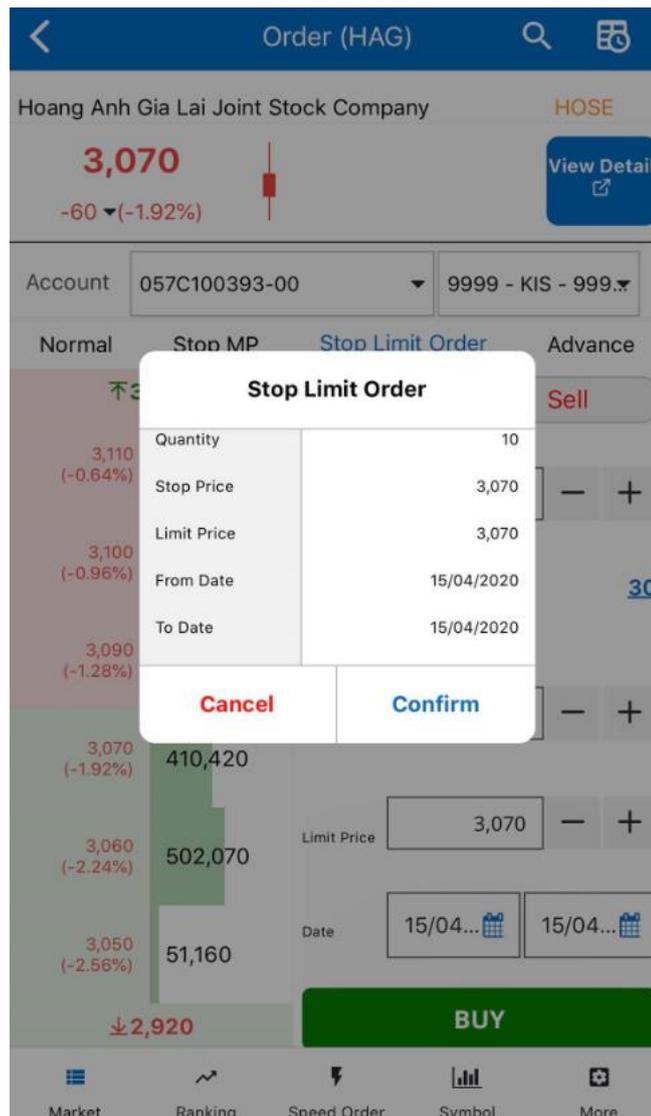


Step 1: At any Order screen, click on “Buy/Sell” button to confirm the order type

Step 2: Enter order information: Account, Quantity, Stop Price, Limit Price and Date

- Click on any bid/ask/ceiling/floor price, the order price will be automatically carried.
- Click on the Available Quantity and the Quantity will be automatically carried.
- Stop Price, Limit Price and Quantity can be adjusted based on price tick/trading unit by using 

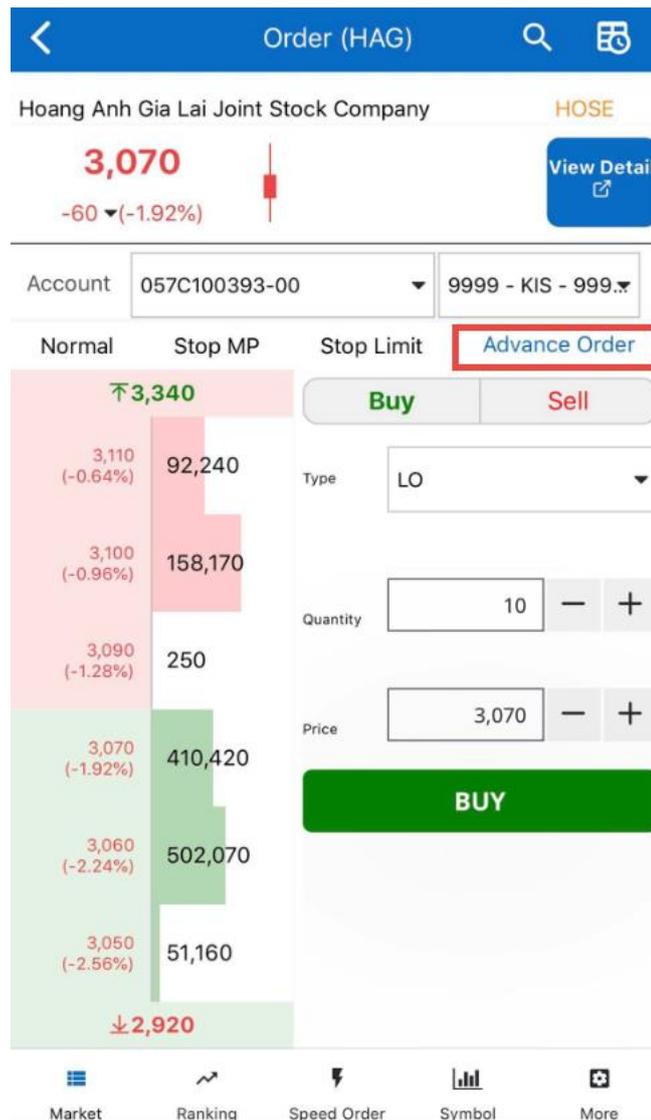
Step 3: Click on “Buy/Sell” at the bottom of the order form to carry out the transaction



Step 4: Click on “**Confirm**” on the pop-up screen to perform placing order or “**Cancel**” to cancel the act

3. Advance order

To perform placing an advance order, customers access the order screen and select Advance Order

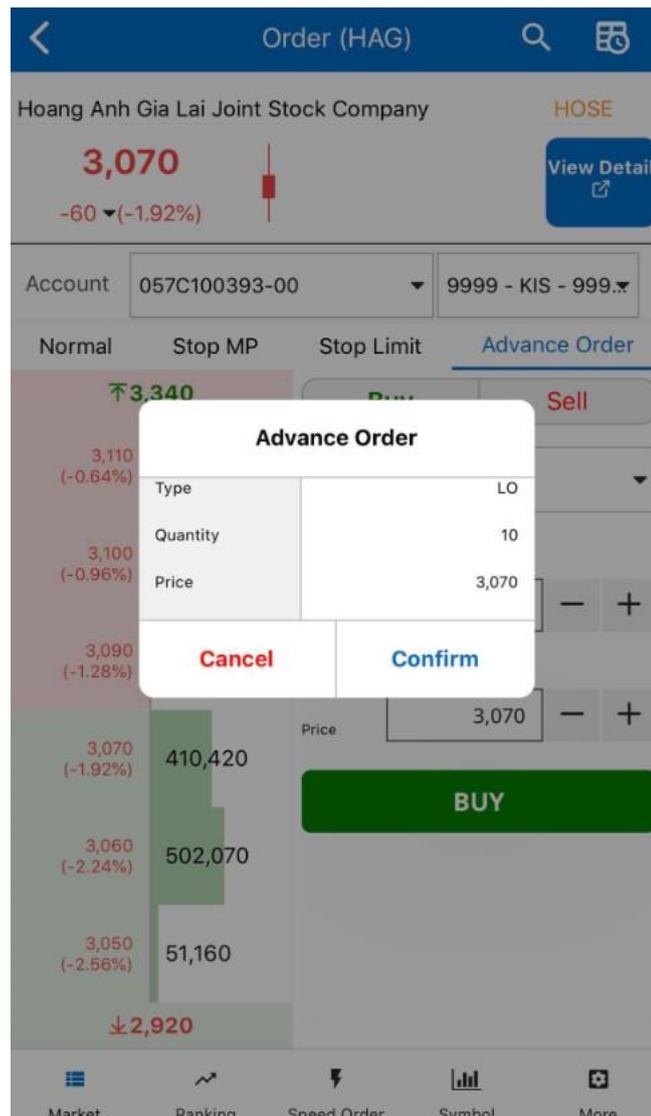


Step 1: At any Order screen, click on “**Buy/Sell**” button to confirm the order type

Step 2: Enter order information: Account, Quantity and Price

- Click on any bid/ask/ceiling/floor price, the order price will be automatically carried.
- Price and Quantity can be adjusted based on price tick/trading unit by using 

Step 3: Click on “**Buy/Sell**” at the bottom of the order form to carry out the transaction



Step 4: Click on “**Confirm**” on the pop-up screen to perform placing order or “**Cancel**” to cancel the act.

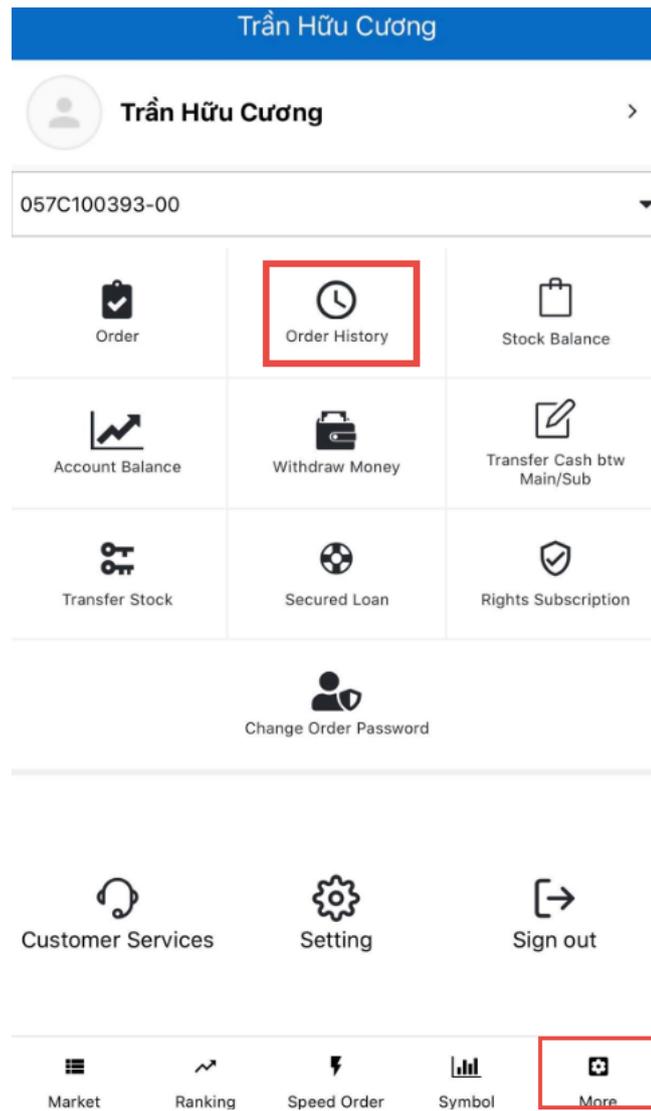
4. Lookup order history

Customers after placing order can lookup for order history by:

Option 1: Click on  on the top right corner of the order screen

Option 2: Click on “More” => select “Order History”

Customers could choose an order type (normal order, stop order, advance order) to lookup for orders information



5. Modify/Cancel orders

Customers could choose an order type (normal order, stop order, advance order) to perform modify/cancel order.

<
Order History

Account 057C100393-00

Normal
Today Unmatch
Stop Order
Advance

From 15/04/2020

To 15/04/2020

-- Sell/Buy --

Pending

	Create Time	Stock Code	Type	Sell/Buy	Quantity	Stop
	14/04/2020 0 17:57:31	AMD	STOP	Buy	10	

Market

Ranking

Speed Order

Symbol

More

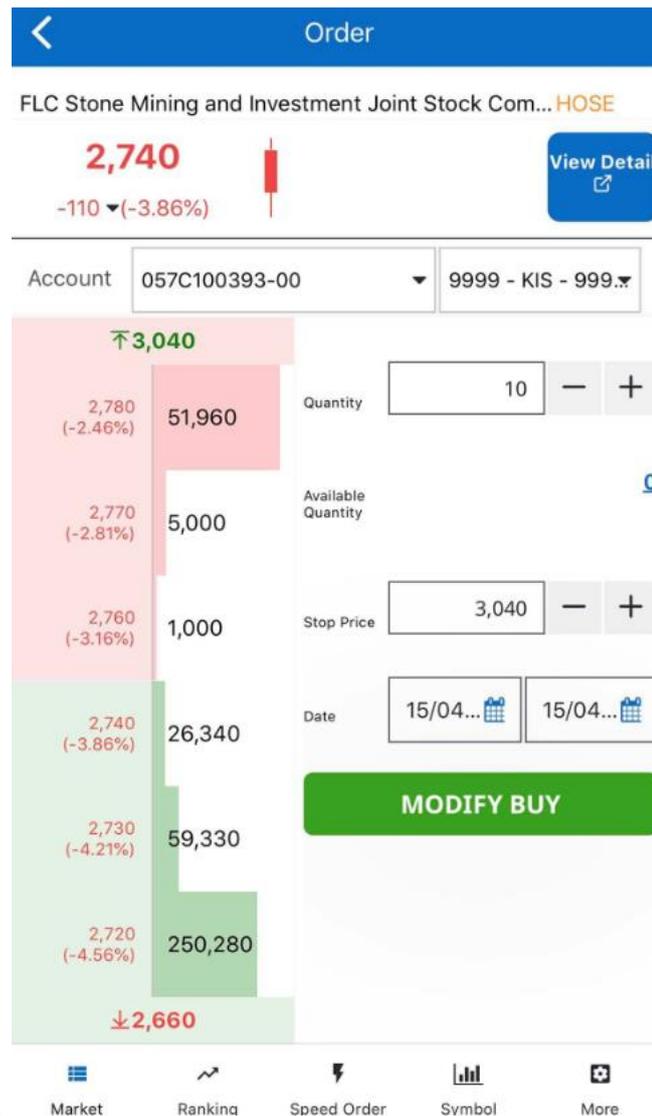
5.1 Modify orders

Steps to perform modifying order:

Step 1: Select an order type (normal order, stop order, stop limit order) wanted to edit

Step 2: Select an order number to edit

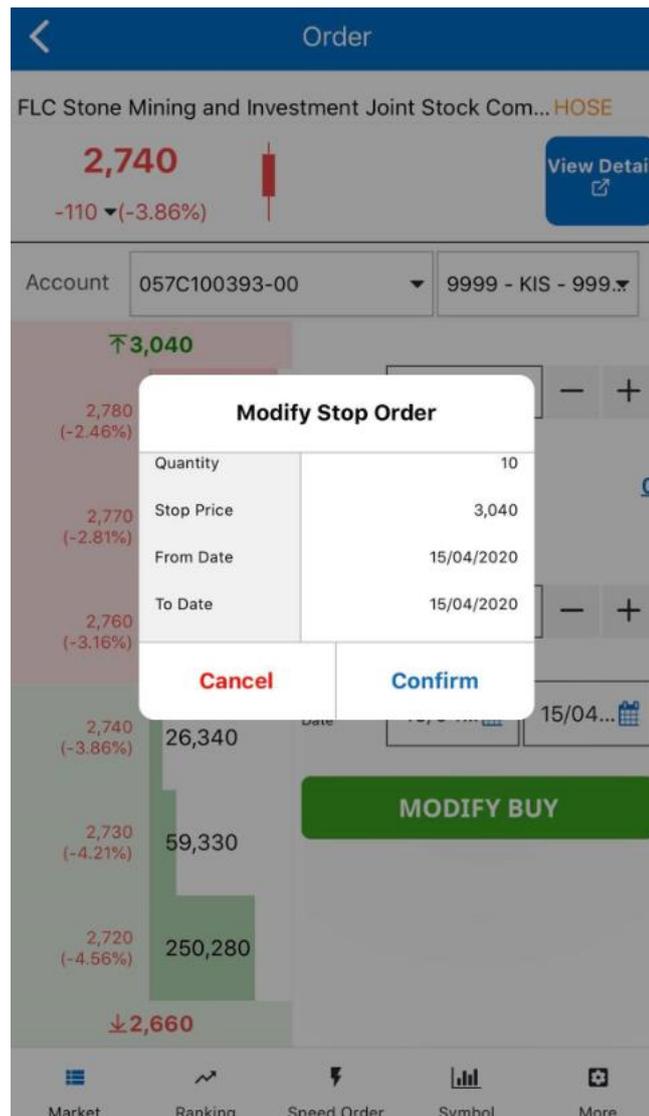
Step 3: Click on to move to the editing screen



Step 4: Modify the price, quantity and date of the order

Step 5: Click on “**Modify Buy**” or “**Modify Sell**” to complete modifying orders

Step 6: Click on “**Confirm**” on the pop-up screen to complete modifying or “**Cancel**” to cancel the act



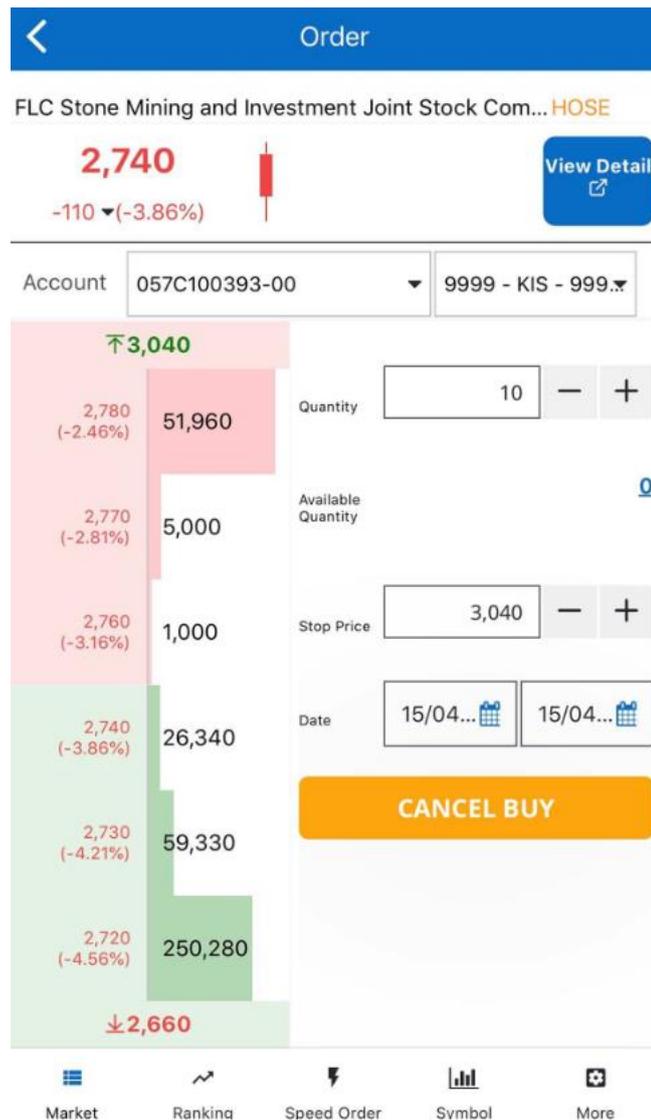
5.2 Cancel orders

Steps to perform cancelling order:

Step 1: Select an order type (normal order, stop order, stop limit order) wanted to cancel

Step 2: Select an order number to cancel

Step 3: Click on ✕ to move to the cancelling screen



Step 4: Click on “Cancel Buy” or “Cancel Sell” to complete cancelling orders

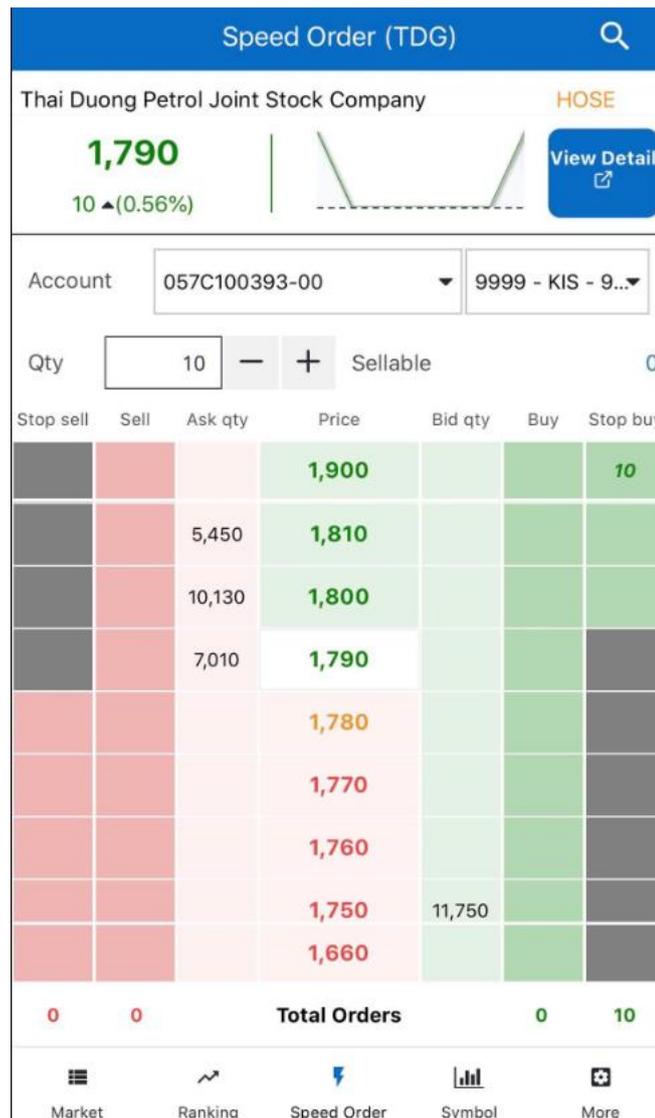
Step 5: Click on “Confirm” on the pop-up screen to complete canceling or “Cancel” to cancel the act

6. Speed order

Placing speed order:

To perform placing speed orders:

Step 1: Select  Speed Order on the bottom bar



Step 2: Enter quantity Qty - +

Step 3: Click on the cell having its **order type** (normal buy/sell orders, stop buy/sell orders) with a **price** associated that customers want to place their order. The system will automatically update the order quantity on the order column with the associated price. At the same time, it will update the total orders at **“Total Orders”**

For example: Customers want to place a stop order for 10 TDG at a stop price of 1900

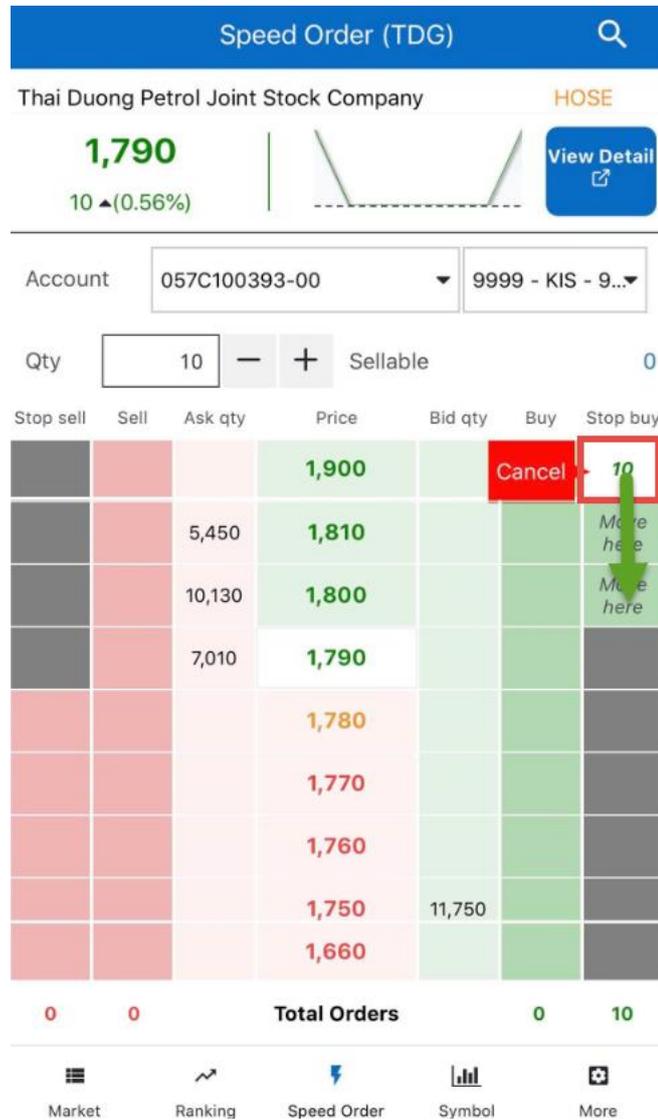
Step 1: Click on speed order

Step 2: Enter quantity = 10

Step 3: Click on the column “**Stop Buy**” with the price of 1900 and then click on the cell to place the order

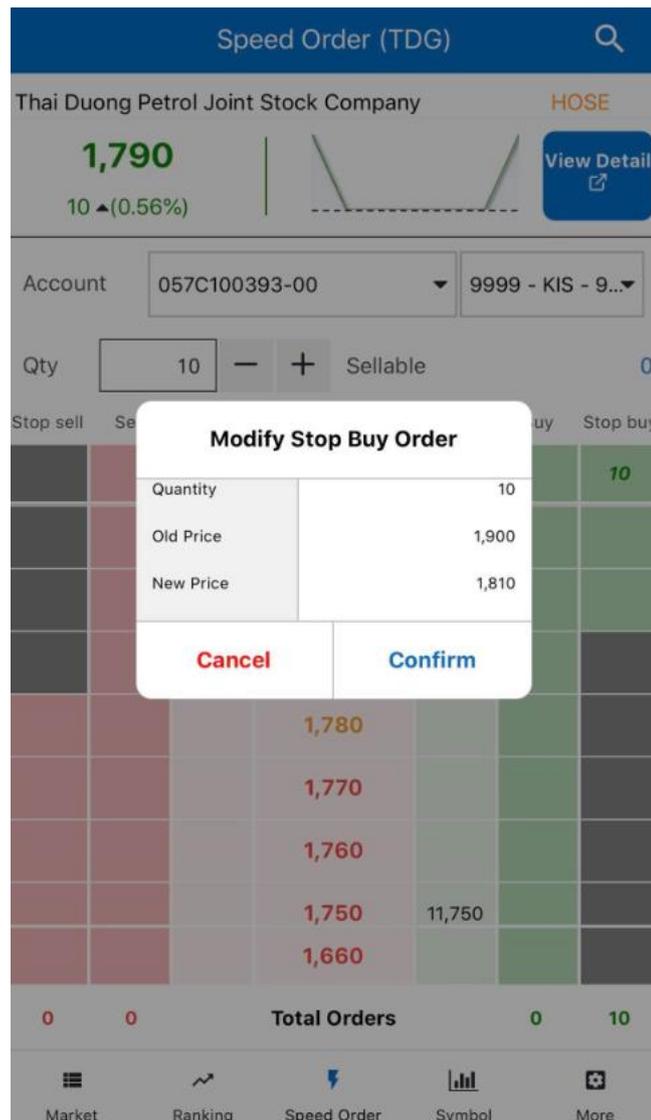
Modify speed order:

Customers modify speed order from the speed order screen by:



Step 1: Click on the cell containing the price and quantity that customers want to edit

Step 2: Click on a new cell that customers want to modify the old one to.



Step 3: Click on “**Confirm**” on the pop-up screen to complete modifying or “**Cancel**” to cancel the act

For example:

Step 1: Click on the quantity cell with 10 TDG at the price of 1900

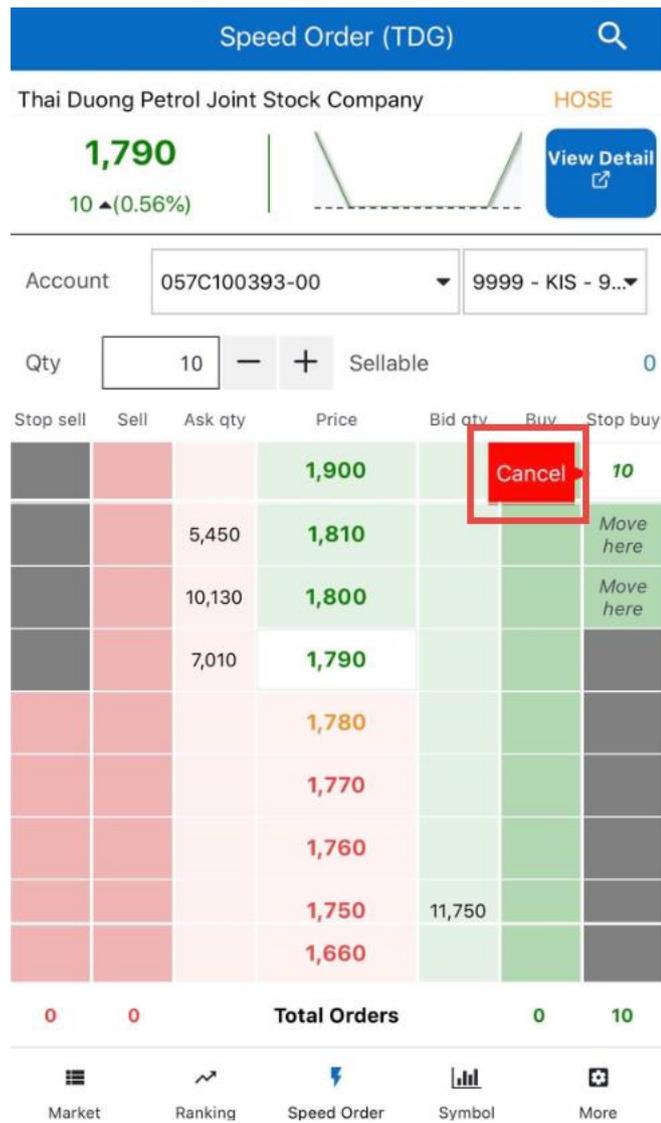
Step 2: Click on the cell with a price of 1820

Step 3: Click on Confirm to accomplish modifying the price from 1900 to 1820

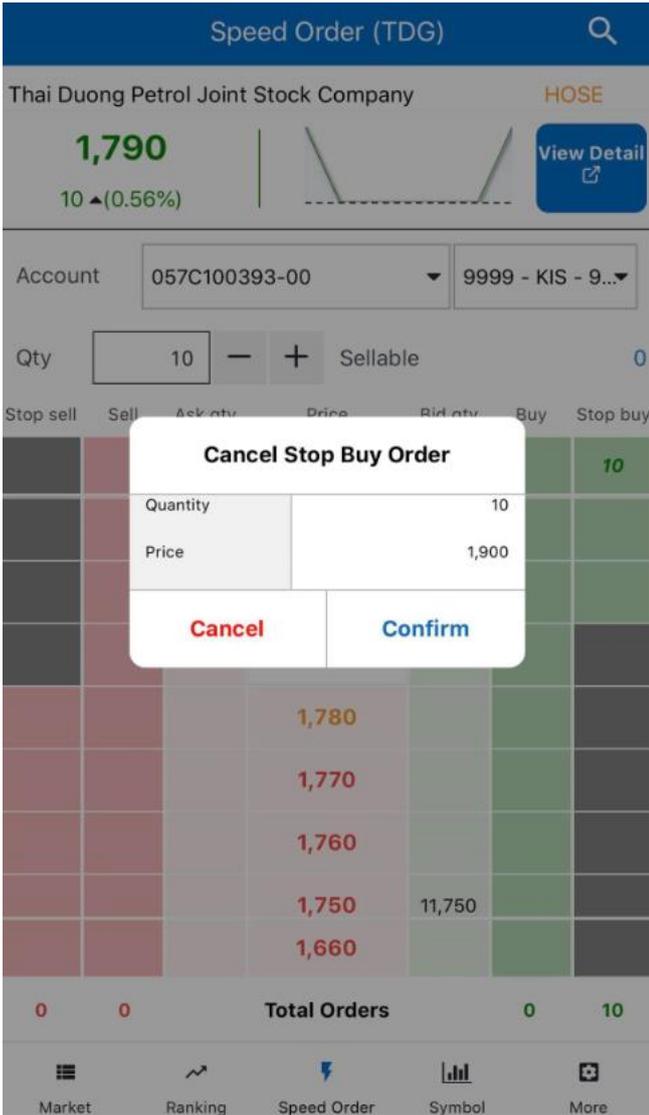
Cancel speed order:

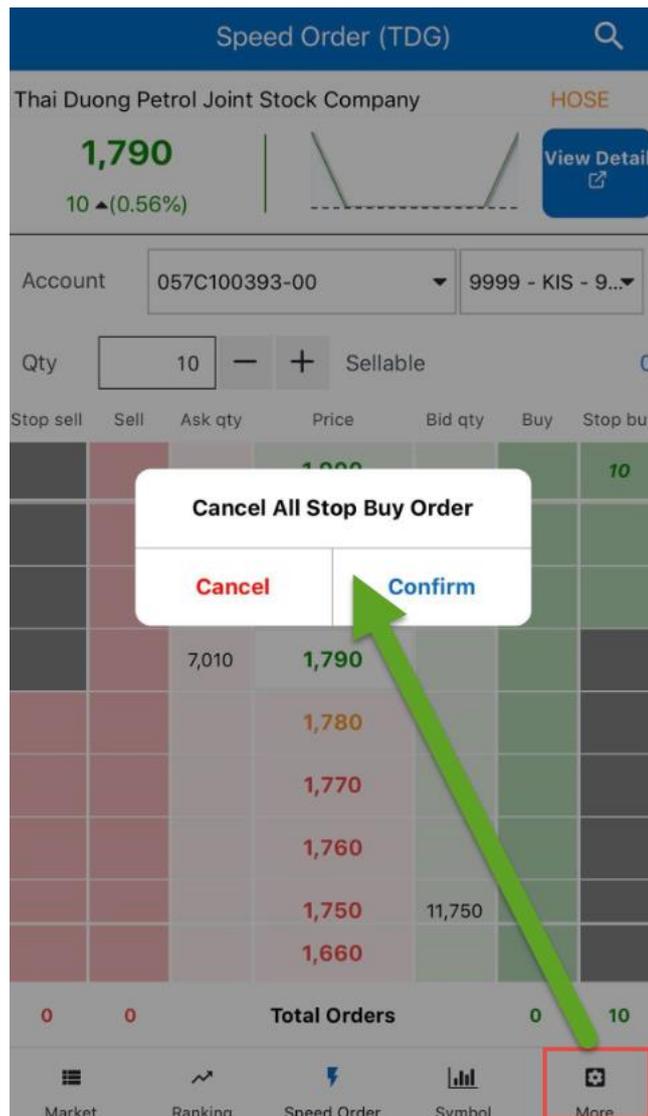
Customers cancel speed order from the speed order screen by:

Step 1: Click on the cell containing the price and quantity that customers want to cancel



Step 2: Click on “Cancel” on the side or click on the total orders cell to remove all waiting orders

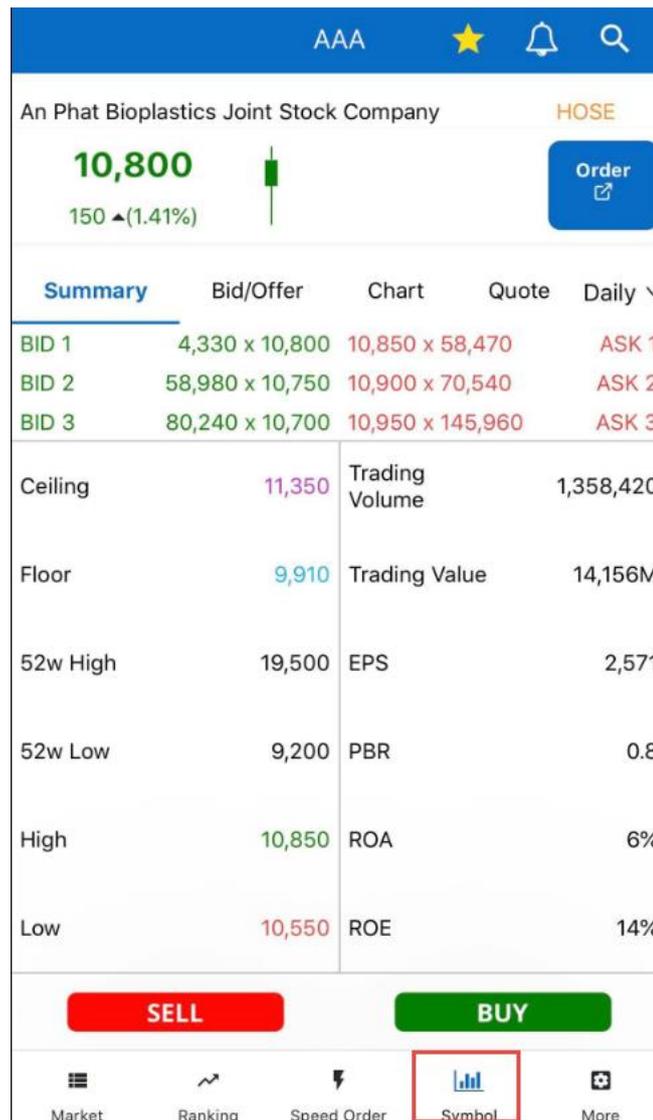




Step 3: Click on “**Confirm**” on the pop-up screen to complete cancelling or “**Cancel**” to cancel the act

V. SECURITIES INFORMATION

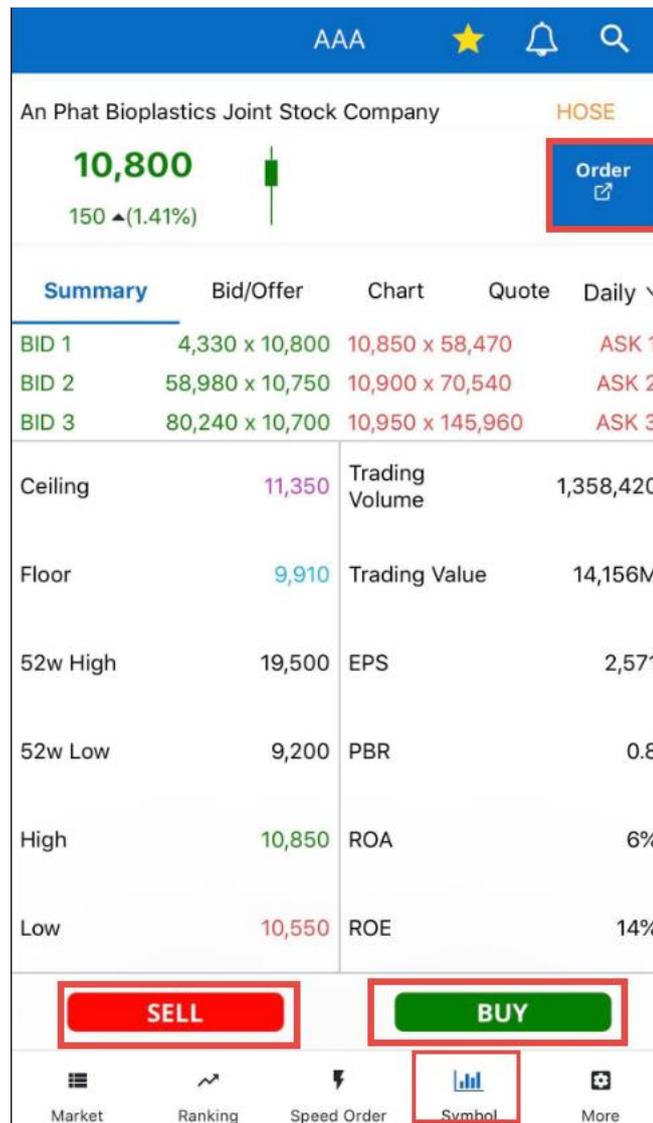
Customers track the details of any securities codes by clicking on  Symbol



1. Summary

Summary screen will provide customers information about bid/offer, floor and ceiling price and other financial indicators related to the securities codes

Customers place orders by clicking on “Order” or “Buy”/“Sell” to switch to the trading screen



2. Bid/Offer

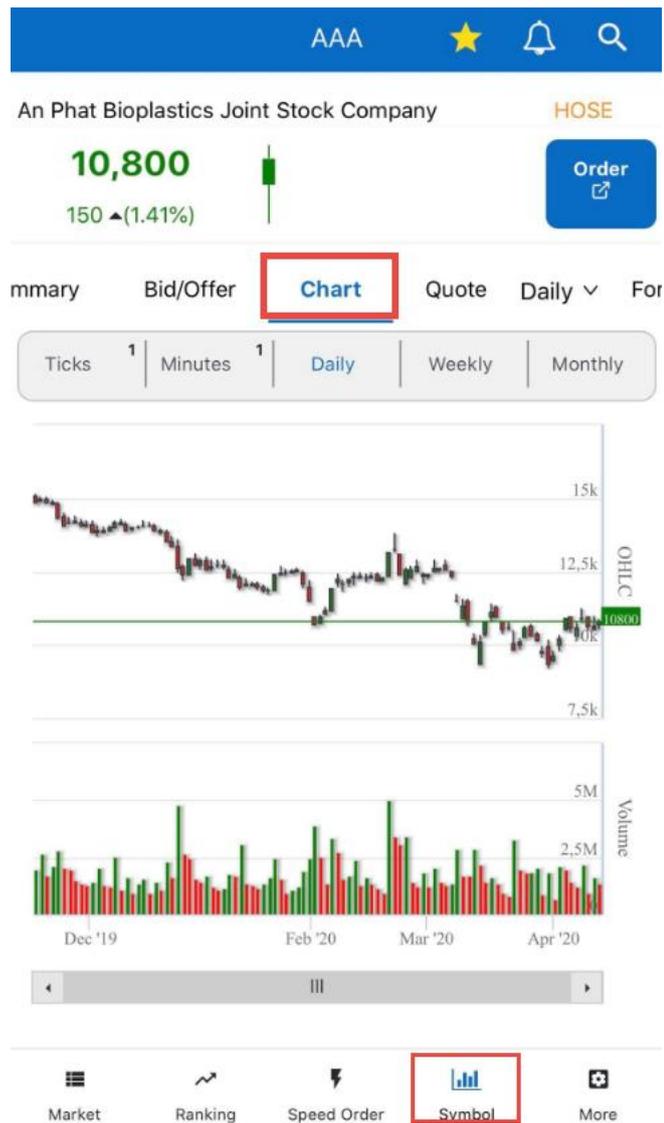
Bid/Offer screen provide information about bid/ask, floor and ceiling price, quote info and change rate as a chart



3. Chart

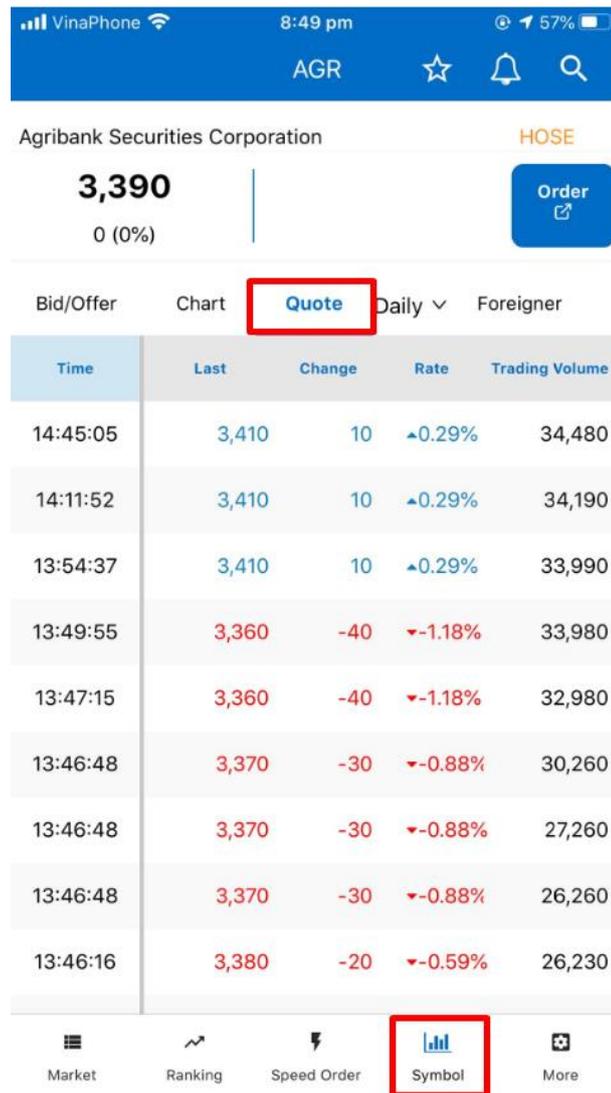
Chart screen provides a chart of matching order information of the chosen securities codes

The chart is drawn by tick, minutes, daily, weekly and monthly.



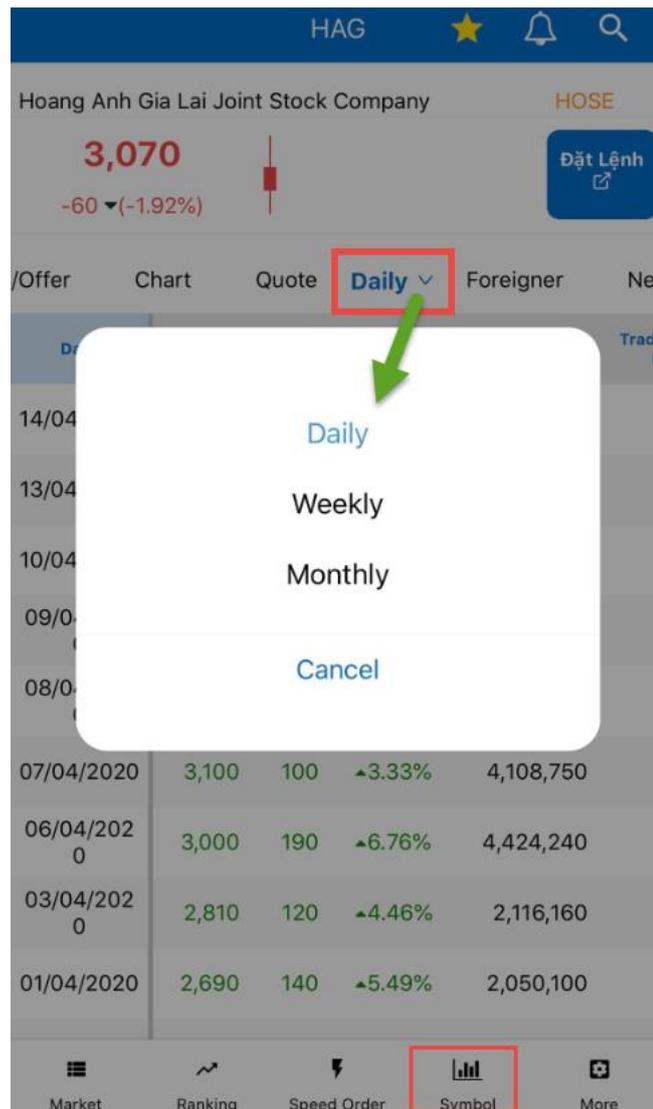
4. Quote

Quote screen provides details of matching order information (Time, Last, Change, Rate and Trading Volume) in real time during the trading day of the chosen securities codes



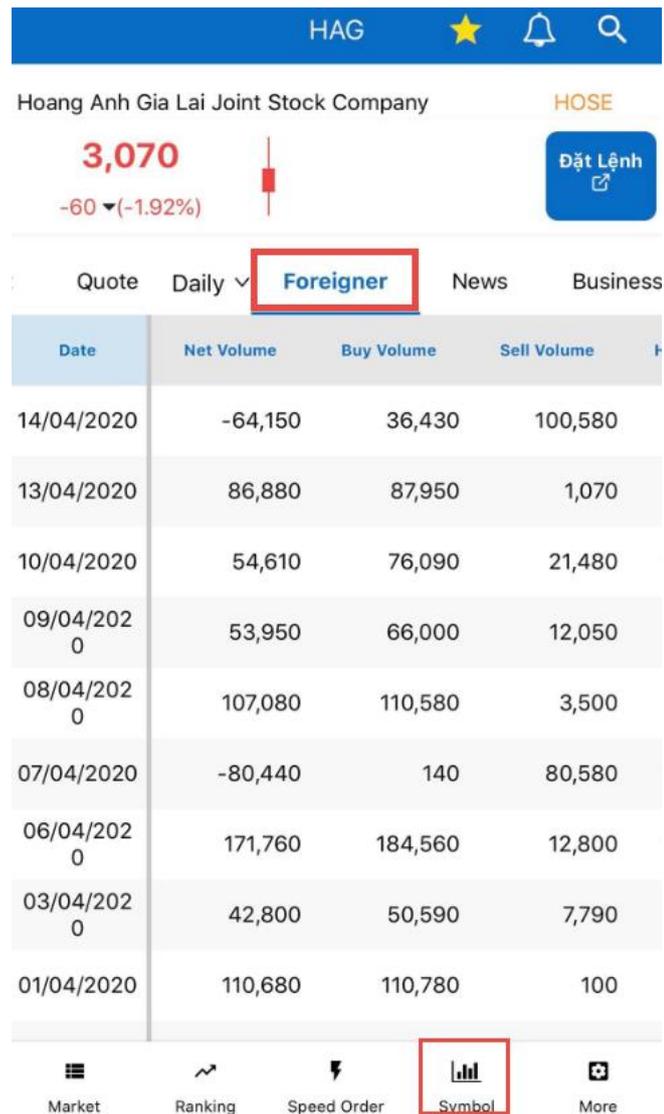
5. Daily/weekly/monthly quote

The screen provides matching information by day, week and month of the chosen securities codes. Customers click on **“Daily”** to select a desired time to see matching information.



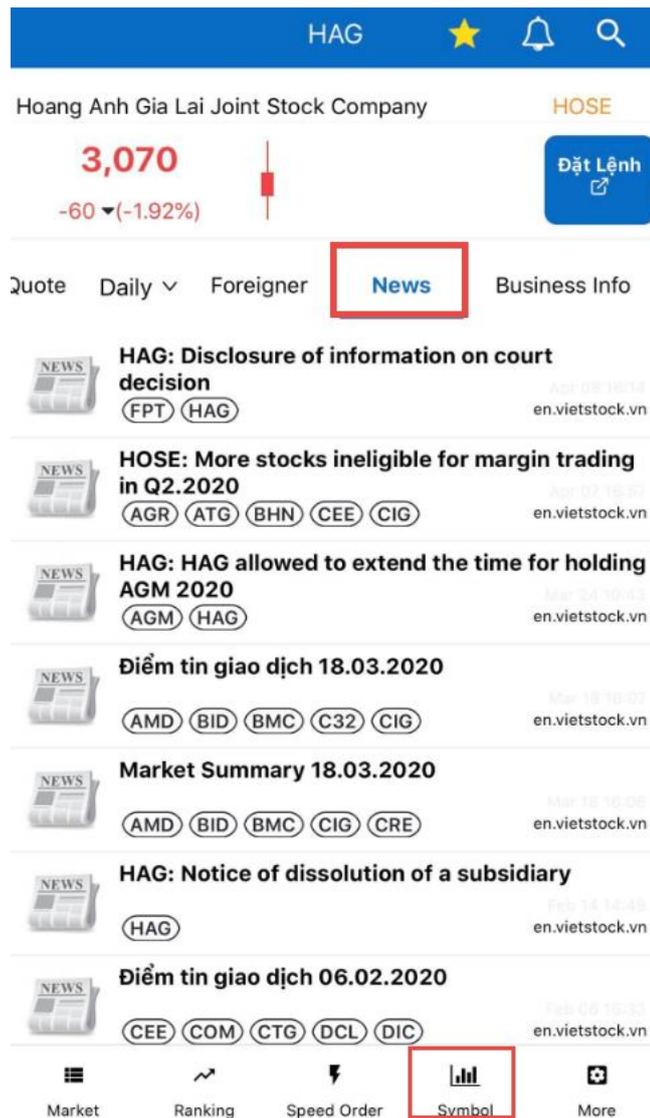
6. Foreigner

The screen provides information related to foreign investors trading such as Net Volume, Buy Volume, Sell Volume, Hold Volume, Hold Ratio and Buyable Ratio of the chosen securities codes



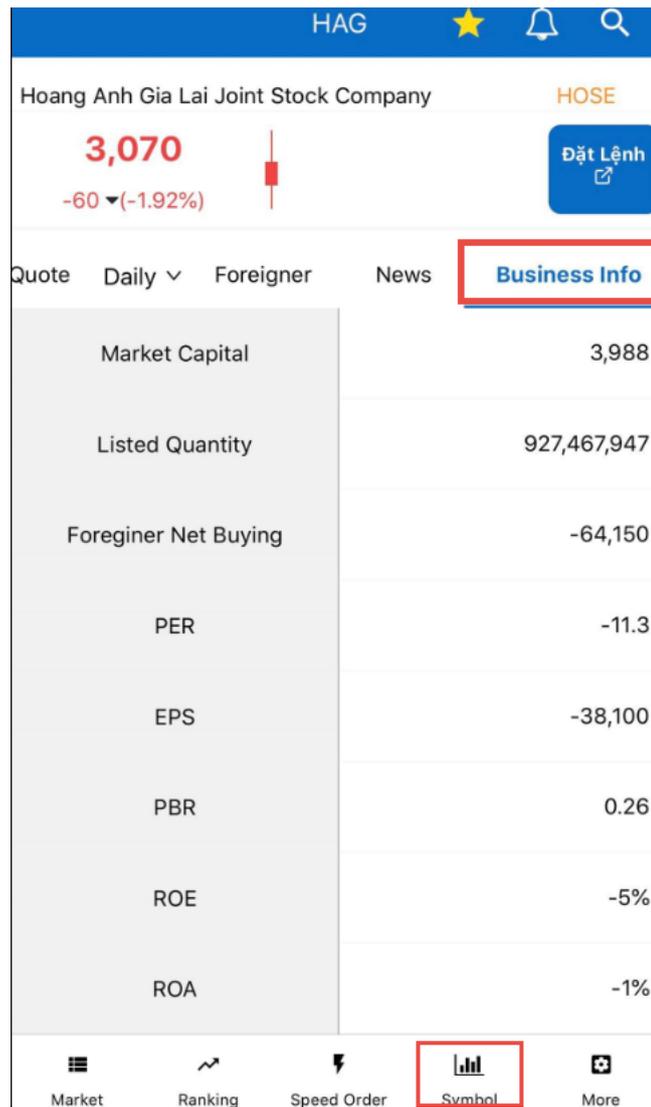
7. News

The screen provides articles and market information related to the chosen securities codes. Customers could click on the title of the articles to view the full version.



8. Business info

The screen provides general information of the business publishing the securities codes



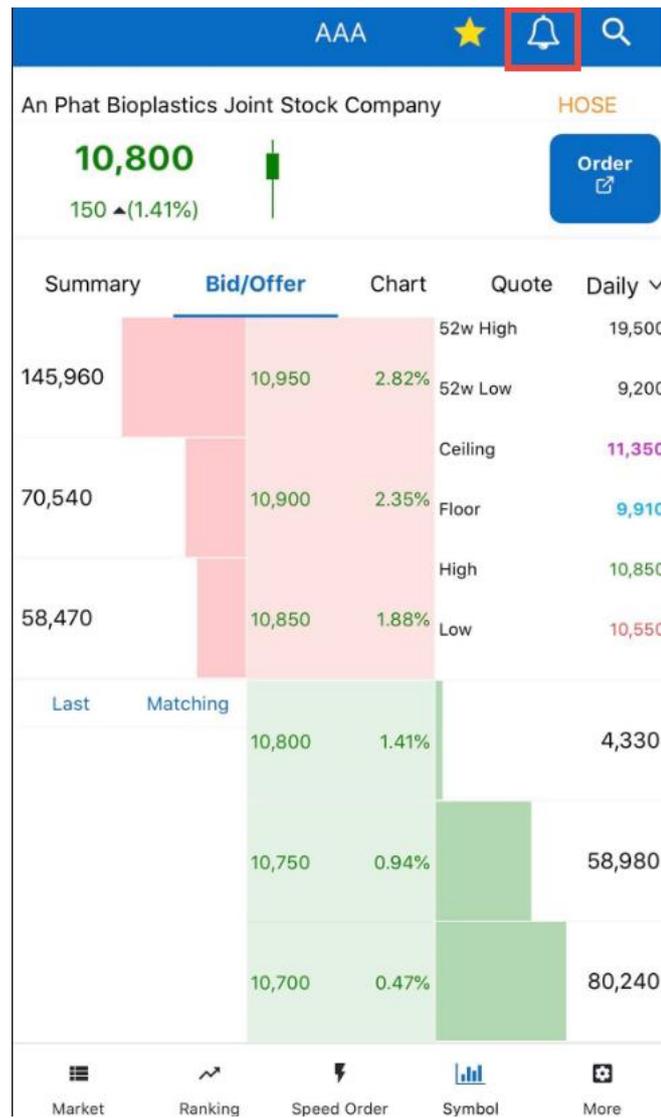
VI. ALARMS

Alarms allows customers to track the price and receive notifications immediately when the price of the securities codes touches the tracking price

1. Create a new alarm

Customers set alarms for securities codes by:

Step 1: Click on  and search for the securities codes that customers want to set alarms for it. The system will display the details of the securities codes



Step 2: Click on  at the top right corner of the screen. The system will display a screen to set alarm

< AAA Add New Alarm (AAA)
 An Phat Bioplastics Joint Stock Company HOSE
 10,800
 150 ▲(1.41%)
 Price: 10,800 Change Rate (%): 0
 0 ————— 21,600
 Option: Alarm Once
 Add New Alarm

Market Ranking Speed Order Symbol More

Step 3: Set the “Price” to be alarmed or “Change Rate”. Customers only need to enter one of the fields and the system will automatically fill in the other field. Customers could also move the round symbol of slide bar to increase and decrease the price.



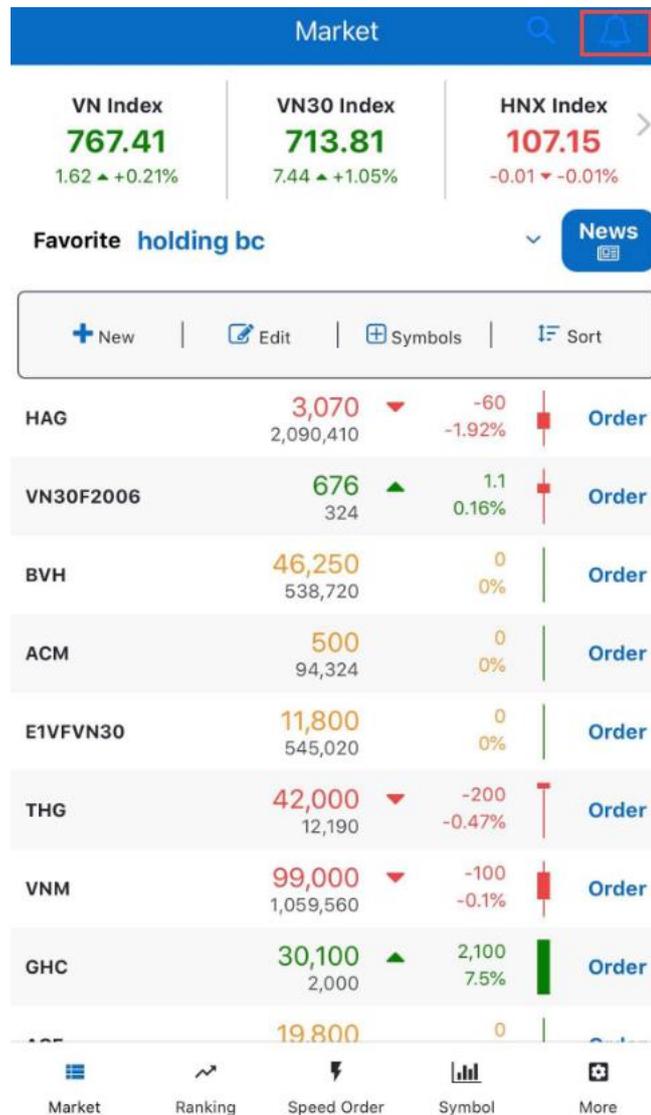
Step 4: Choose “Alarm Once” or “Alarm Multiple” in “Option”

Step 5: Click on “Add New Alarm” to complete setting alarms for the securities codes

2. Edit and delete alarms

On the Market screen, customers perform the following steps to edit and delete alarms

Step 1: Click on  at the top right corner of the Market screen



Step 2: Select an act with the alarms list

- Click on  AGR 2,170 ▲ 2,870 Multiple  and the screen will go the “Update Alarm” screen. Customers could change the **Price** or **Change Rate** or the Option which are “Alarm Once” and “Alarm Multiple”

< Alarm List Update Alarm (AGR)

Agribank Securities Corporation HOSE

2,870
90 ▲(3.24%)

Price Change Rate (%)

2,170 -24.39

0 5,740

Option

Alarm Multiple

Update Alarm

Market Ranking Speed Order Symbol More

- Customers tick to choose some or **“Select all”** to choose all alarms available and click on **“Delete”** to erase the chosen alarms

Market		Alarm List		
<input type="checkbox"/>	TDG	2,010	Once	
<input type="checkbox"/>	DIC	1,870	Once	
<input type="checkbox"/>	DIC	1,860	Once	
<input type="checkbox"/>	TDG	1,990	Multiple	
<input checked="" type="checkbox"/>	AGR	2,170 ▲ 2,870	Multiple	
<input type="checkbox"/>	VDP	47,560	Once	
<input type="checkbox"/>	AGR	4,400 ▲ 2,870	Once	
<input type="checkbox"/>	LCG	8,670	Once	
<input type="checkbox"/>	GB05F2009	111,485	Once	
<input type="checkbox"/>	AGR	3,550 ▲ 2,870	Once	
<input type="checkbox"/>	TCD	10,700	Once	

Select all	Delete
------------	--------

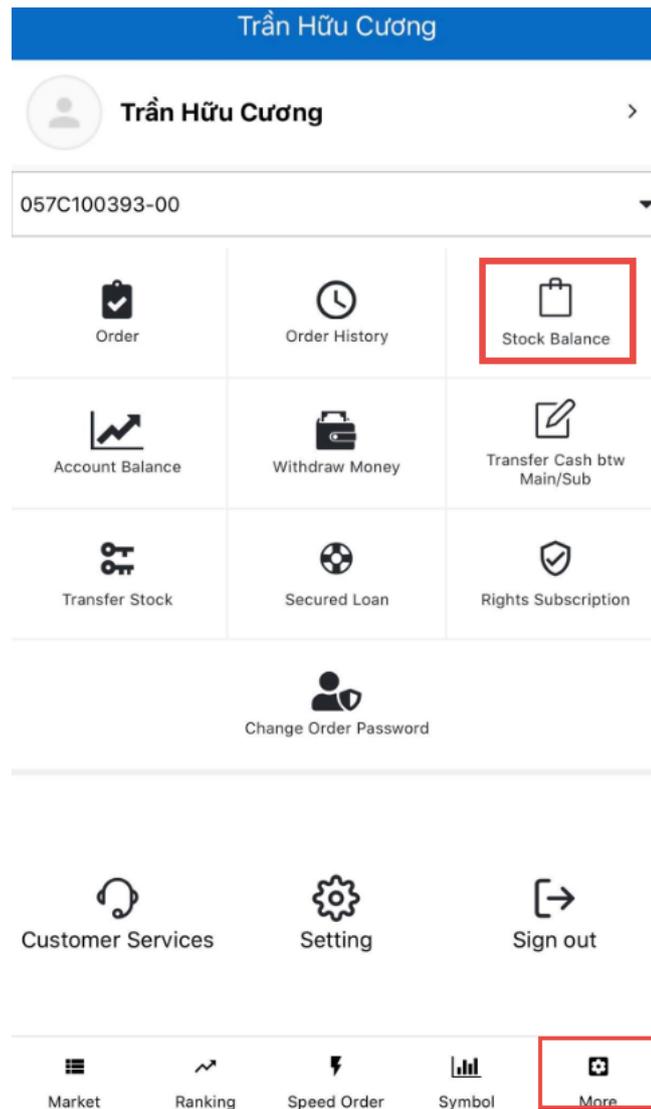
Market	Ranking	Speed Order	Symbol	More

VII. CUSTOMER SERVICES

1. Equity

1.1 Stock balance

To lookup for available stock balance and the value of securities, customers click on **“More”/ “Stock Balance”**



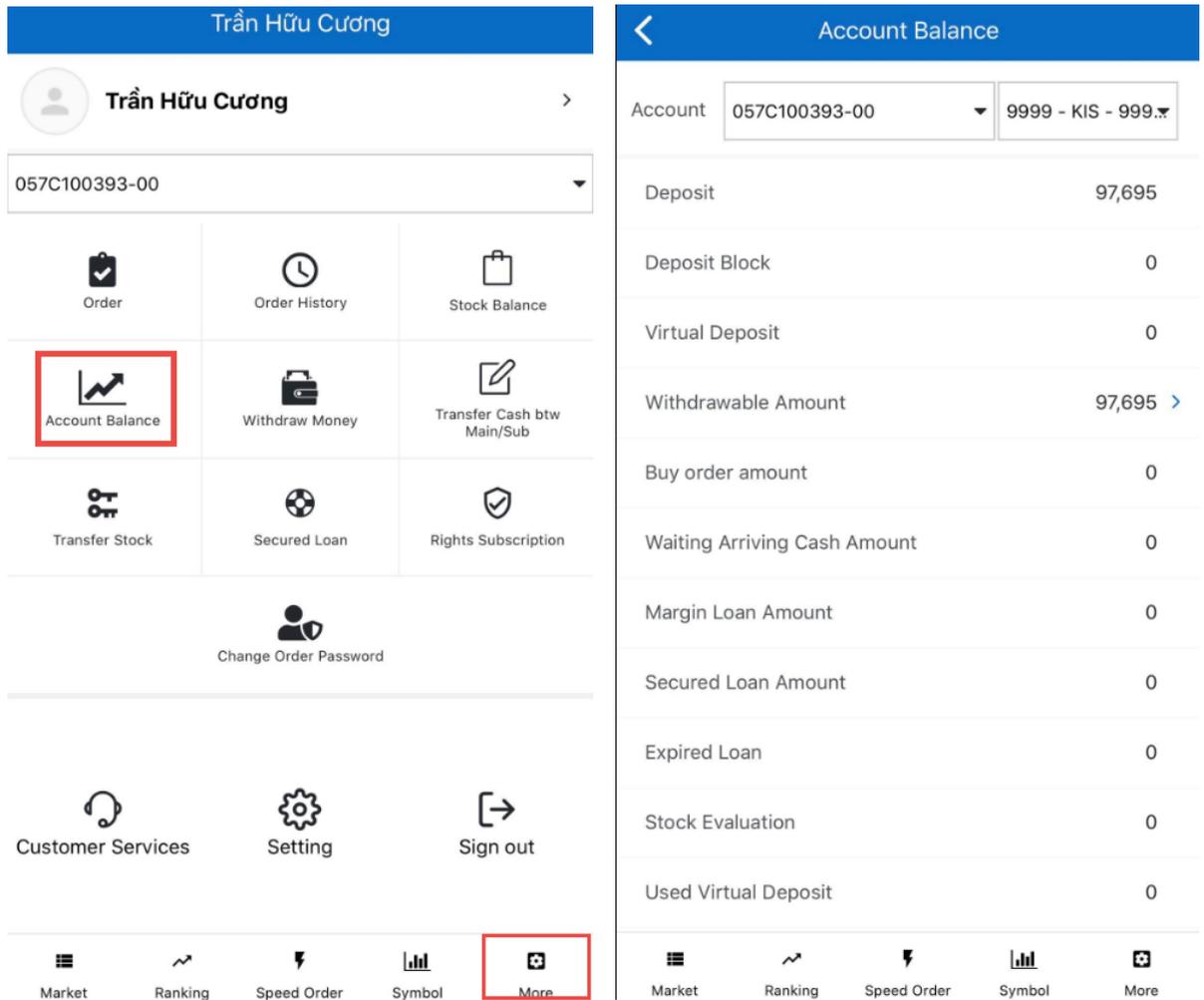
Customer can place sell order by clicking on “Sell” in the front of any securities codes to move to the trading screen

	Stock Code	Balance	Current Price	Profit/Loss rate	Sellable Quantity
Sell	ACM	100	500	0%	10
Sell	ATG	70	570	-41.95%	7
Sell	RDP	10	6,800	-19.52%	1
Sell	SHA	1	3,900	-12.88%	1
Sell	TGG	140	770	-36.36%	14
Sell	VRC	10	4,960	-18.68%	1

Market Ranking Speed Order Symbol More

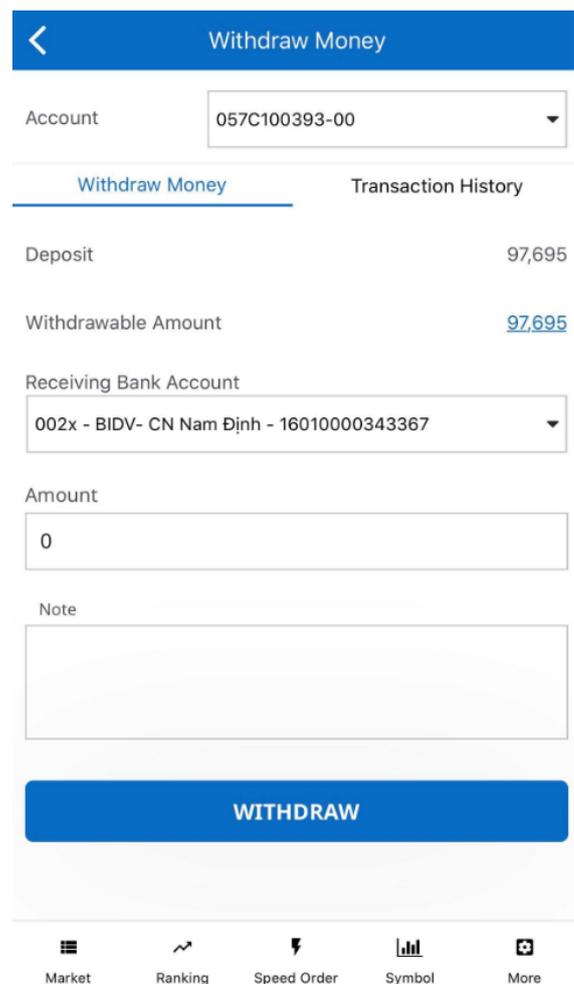
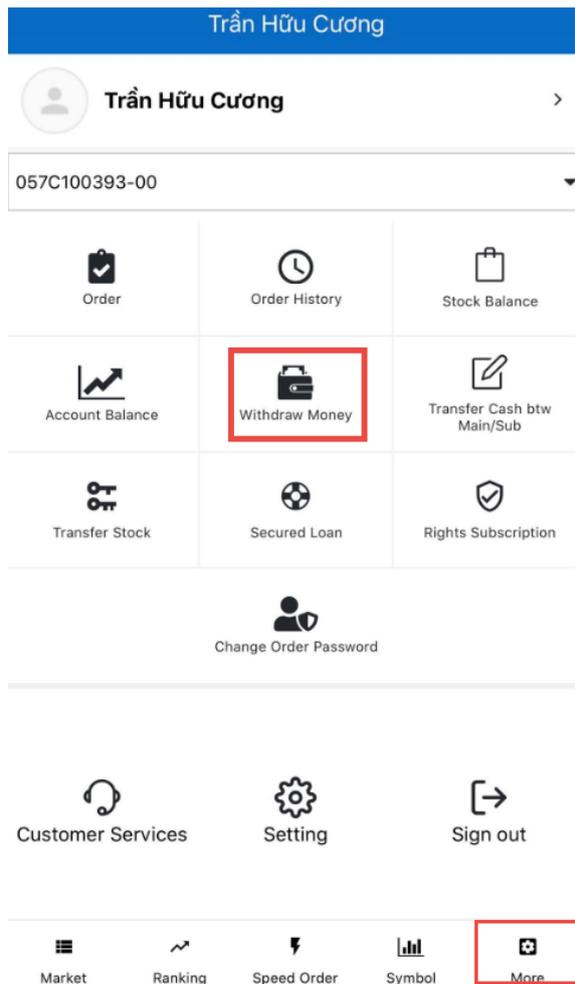
1.2 Account balance

Customers lookup for information about their account's assets and cash on the Account Balance screen



1.3 Withdraw money

Customers withdraw money from their account by clicking on “**More**”/ “**Withdraw Money**”



Steps to perform money withdraw from the account:

Step 1: Choose a sub-account to send money to the bank

Step 2: Select an account from “**Receiving Bank Account**”. The system will display a list of bank accounts that customers have registered at KIS

Step 3: Enter the **Amount** to withdraw or click on “**Withdrawable Amount**” and the system will automatically carry the balance to “**Amount**”. Note: Withdraw Amount cannot exceed the Withdrawable Amount

Step 4: Enter “**Note**”, this part is optional

Step 5: Click on “**Withdraw**” to complete

The transaction being made will be displayed at “**Transaction History**”. Customers will be able to view the transaction status as well as view the transaction history

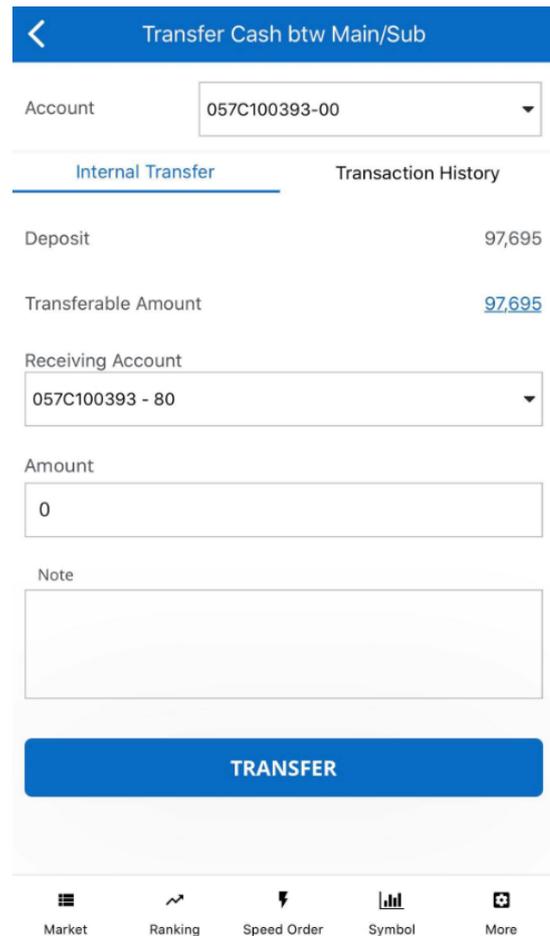
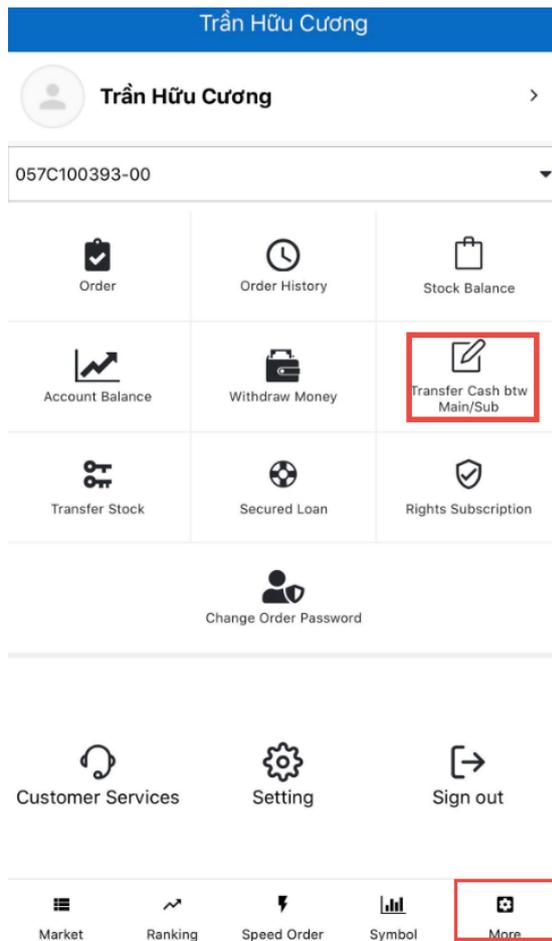
In terms of transactions having status as **“Pending”**, customers cancel the transaction by clicking on **“Cancel”**

Transaction Date	Seq No	Amount	Bank	Stat
------------------	--------	--------	------	------

Market Ranking Speed Order Symbol More

1.4 Transfer cash btw main/sub

Customers transfer cash from one sub-account to another by clicking on **“More”/“Transfer Cash btw Main/Sub”**



Steps to transfer money to another account:

Step 1: Choose an “**Account**”

Step 2: Select an account from “**Receiving Account**”. Customers choose the remaining account

Step 3: Enter the **Amount** to withdraw or click on “**Transferable Amount**” and the system will automatically carry the balance to “**Amount**”. Note: Transfer Amount cannot exceed the Transferable Amount

Step 4: Enter “**Note**”, this part is optional

Step 5: Click on “**Transfer**” to complete

The transaction being made will be displayed at “**Transaction History**”. Customers will be able to view the transaction status as well as view the transaction history

 Transfer Cash btw Main/Sub

Account

Internal Transfer Transaction History

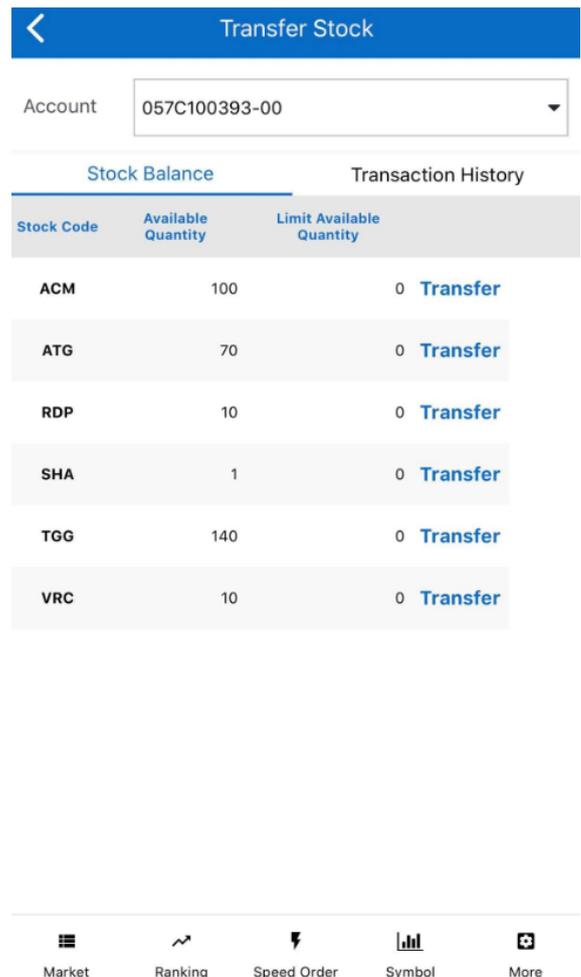
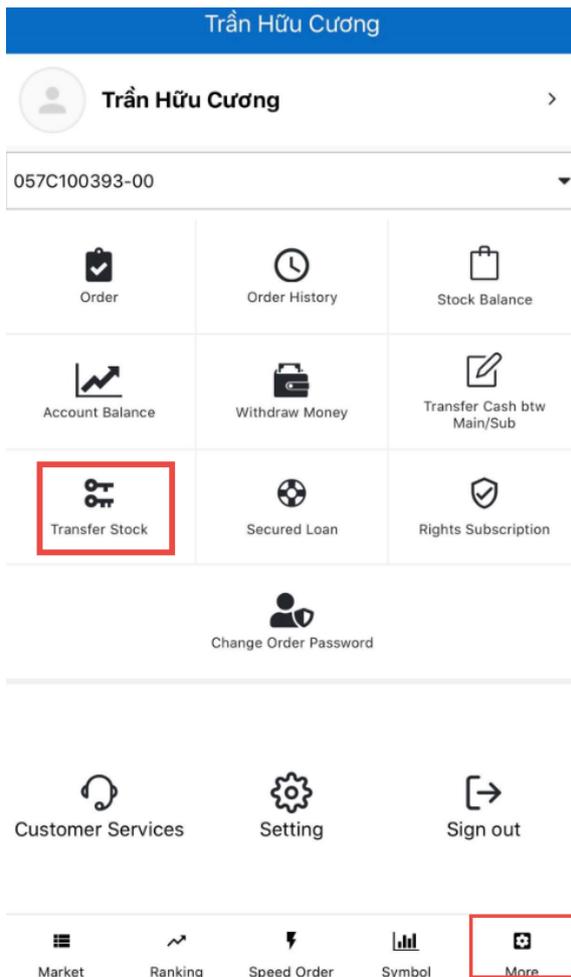
From  To 

Transaction Date	Seq No	Amount	Received Account	Status
------------------	--------	--------	------------------	--------

 Market  Ranking  Speed Order  Symbol  More

1.5 Transfer stock

Customers transfer stock between sub-accounts by clicking on **“More”/ “Transfer Stock”**



Steps to transfer stock:

Step 1: Click on **“Transfer Stock”**

Step 2: Select a securities code to transfer or click on **“Transfer”**. The system will display the Stock Transfer screen.

Transferring Account	057C100393 - 00
Stock Code	ACM
Available Quantity	<u>100</u>
Limit Available Quantity	<u>0</u>
Receiving Account	<input type="text" value="Select an item..."/>
Quantity	<input type="text" value="0"/>
Limit Quantity	<input type="text" value="0"/>
Note	<input type="text"/>

Cancel | TRANSFER

Step 3: Select a “**Receiving Account**”

Step 4:

- Enter the stock quantity to transfer or click on “**Available Quantity**” and the system will automatically carry the balance to “**Quantity**”. Note: Transfer Quantity cannot exceed the Available Quantity
- Enter the limit stock quantity or click on “**Limit Available Quantity**” and the system will automatically carry the balance to “**Limit Quantity**”. Note: Limit Quantity cannot exceed the Limit Available Quantity

Step 5: Enter “**Note**”, this part is optional

Step 6: Click on “**Transfer**” to complete

The transaction being made will be displayed at “**Transaction History**”. Customers will be able to view the transaction status as well as view the transaction history

Transfer Stock

Account 057C100393-00

Stock Balance Transaction History

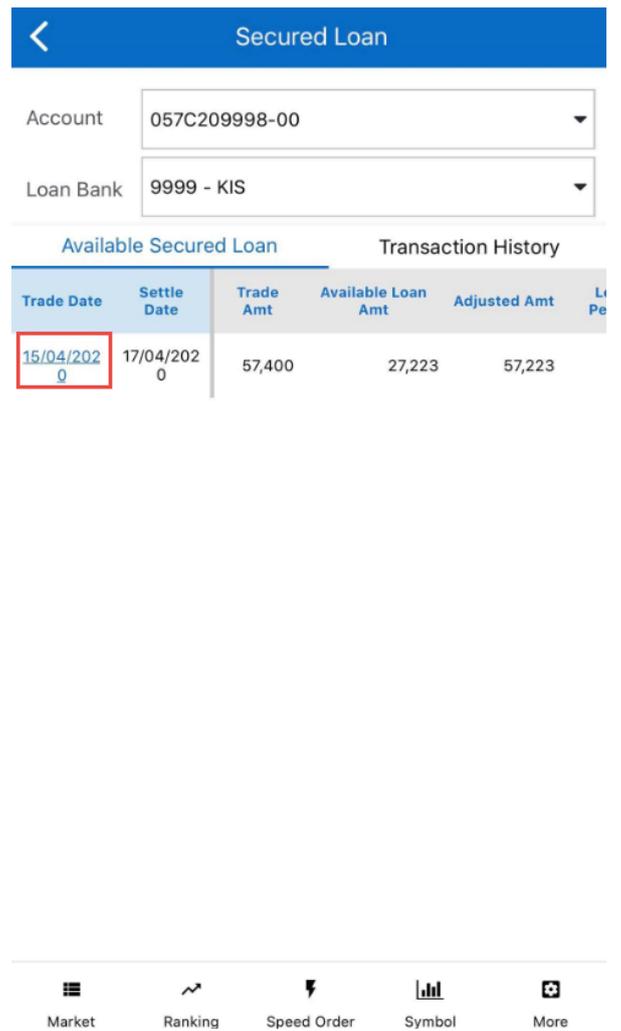
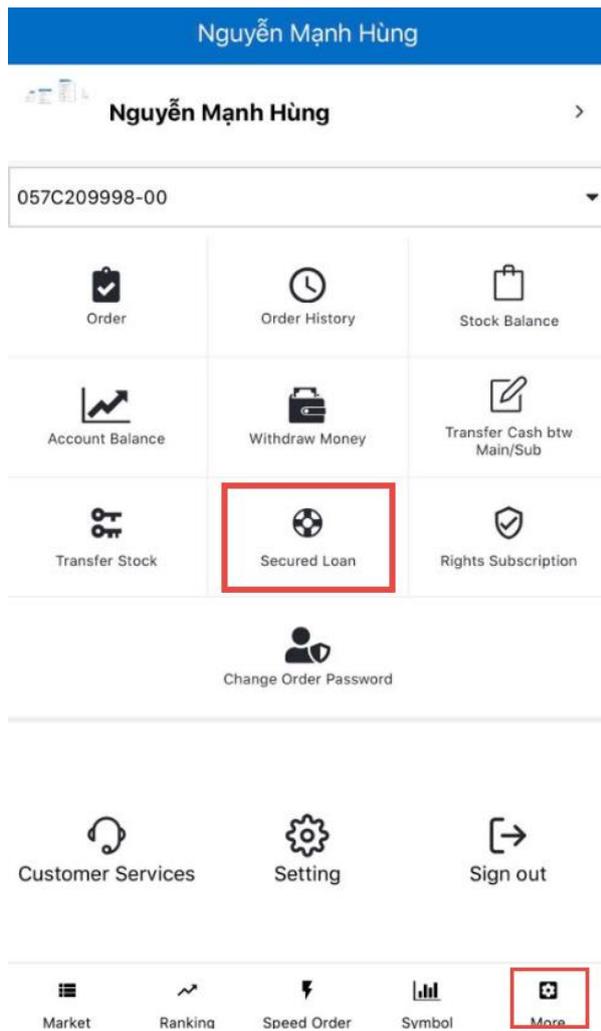
From 15/04/2020 To 15/04/2020

Process Date	Seq No	Received Account	Stock	Quantity
--------------	--------	------------------	-------	----------

Market Ranking Speed Order Symbol More

1.6 Secured loan

After a sell order is matched, customers advance the selling amount via this screen



Steps to register secured loan:

Step 1: Click on “**Secured Loan**”. The system will display all selling amount just matched that customer's advance. Customers could click on “**Trade Date**” to view details of the sell order

Register Secured Loan

Account 057C209998 - 00

Available Loan Amount 27,223

Loan Amount

0

Trade Date	Settle Date	Stock Code	Match Amt	Match Qty	Trading Fee
15/04/2020	17/04/2020	AGR	57,400	20	

CANCEL
TRANSFER

Step 2: Enter the amount to advance. The system will set the advance amount with “**Available Loan Amount**” as default.

Step 3: Click on “**Transfer**” to complete registering secured loan

The newly created loan will be displayed in “**Transaction History**”. Customers will be able to view the transaction status as well as view its details

< Secured Loan

Account 057C100393-00

Loan Bank 9999 - KIS

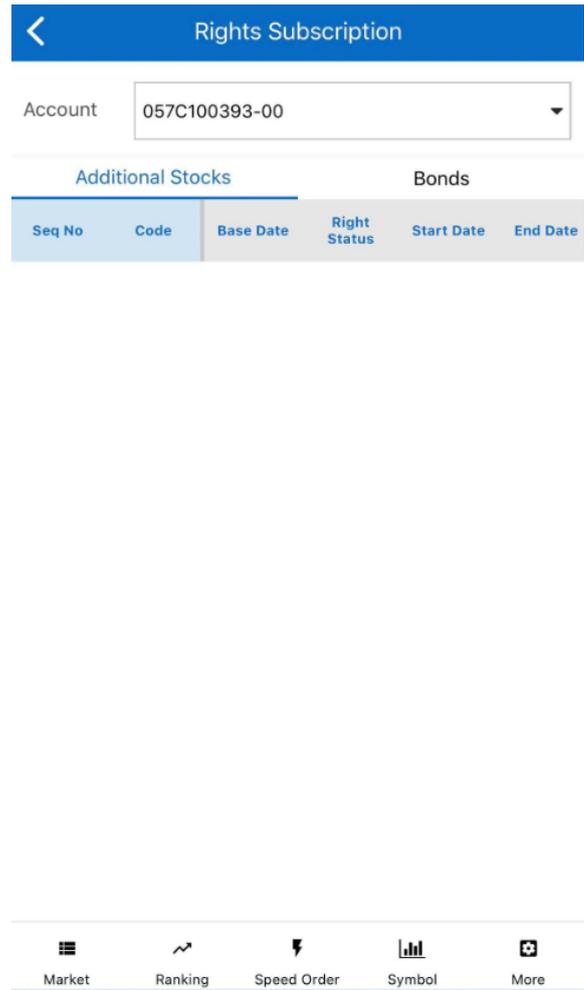
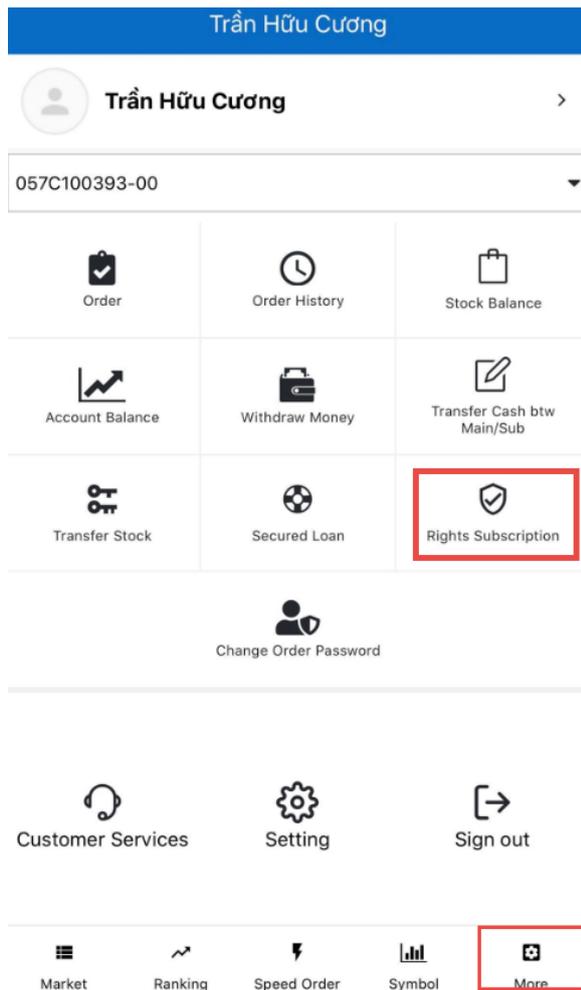
Available Secured Loan Transaction History

Loan Date	Loan Amt	Loan Repay Amt	Loan Remain Amt	Trade Date	
-----------	----------	----------------	-----------------	------------	--

Market Ranking Speed Order Symbol More

1.7 Rights subscription

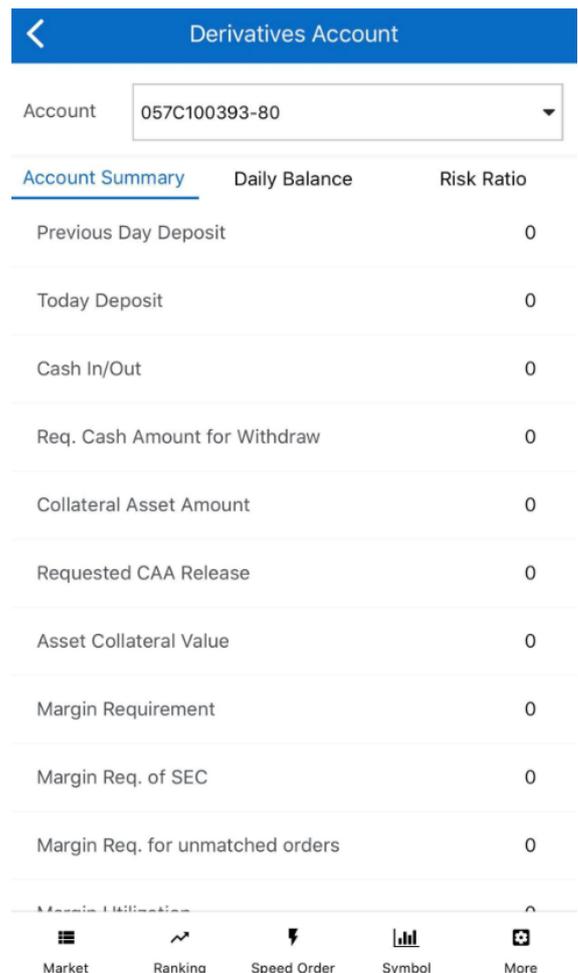
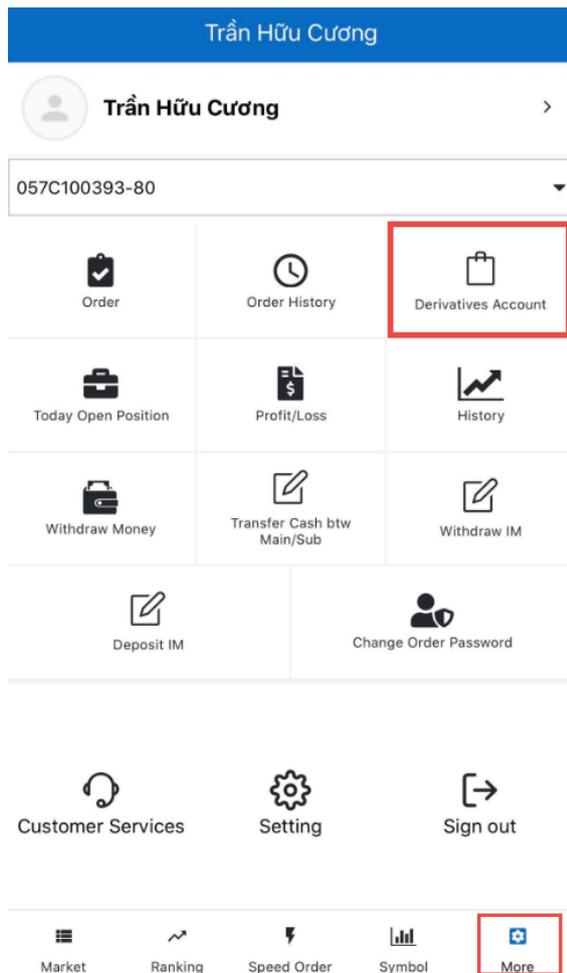
This screen helps customers register rights subscription for additional stocks and buy bonds online when they have rights to the securities code they hold



2. Derivatives

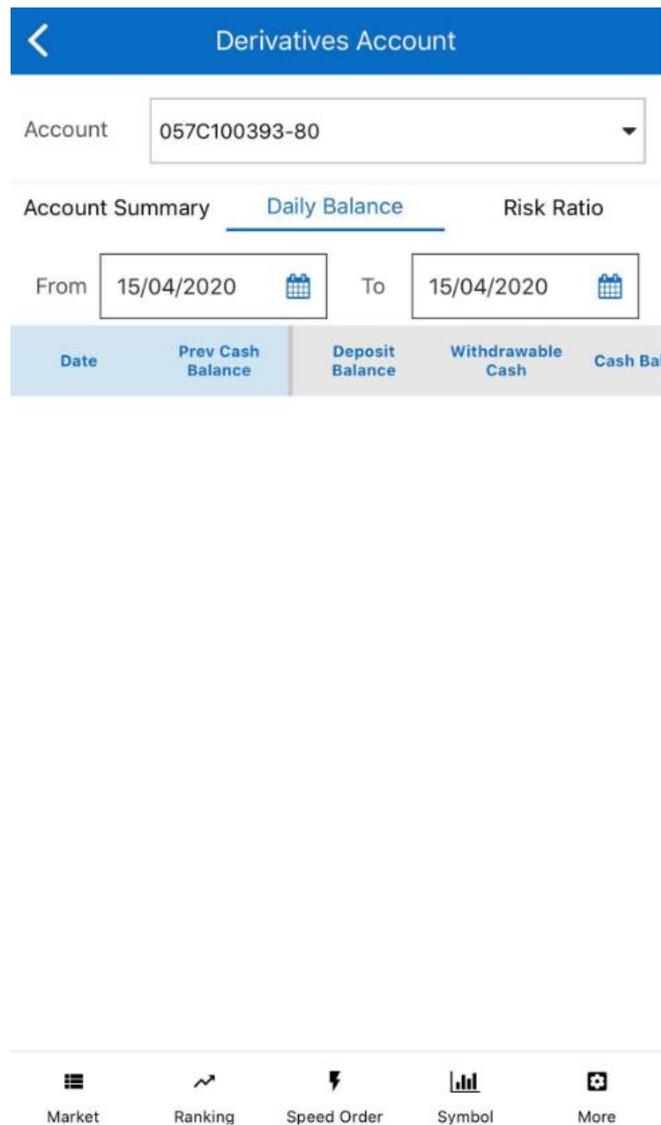
2.1 Derivatives information

Customers lookup for information about balance, collateral securities and non-collateral securities of derivatives account (sub-account 80)



2.2 Daily balance

Customers lookup for cash balance and margin securities by clicking on **“More”/ “Derivatives Account”/ Daily Balance**



2.3 Risk ratio

Customers lookup for margin usage ratio of the account and based on the figures, customers could make plan to use margin asset more effectively. Click on **“More”/ “Derivatives Account”/ Risk Ratio**

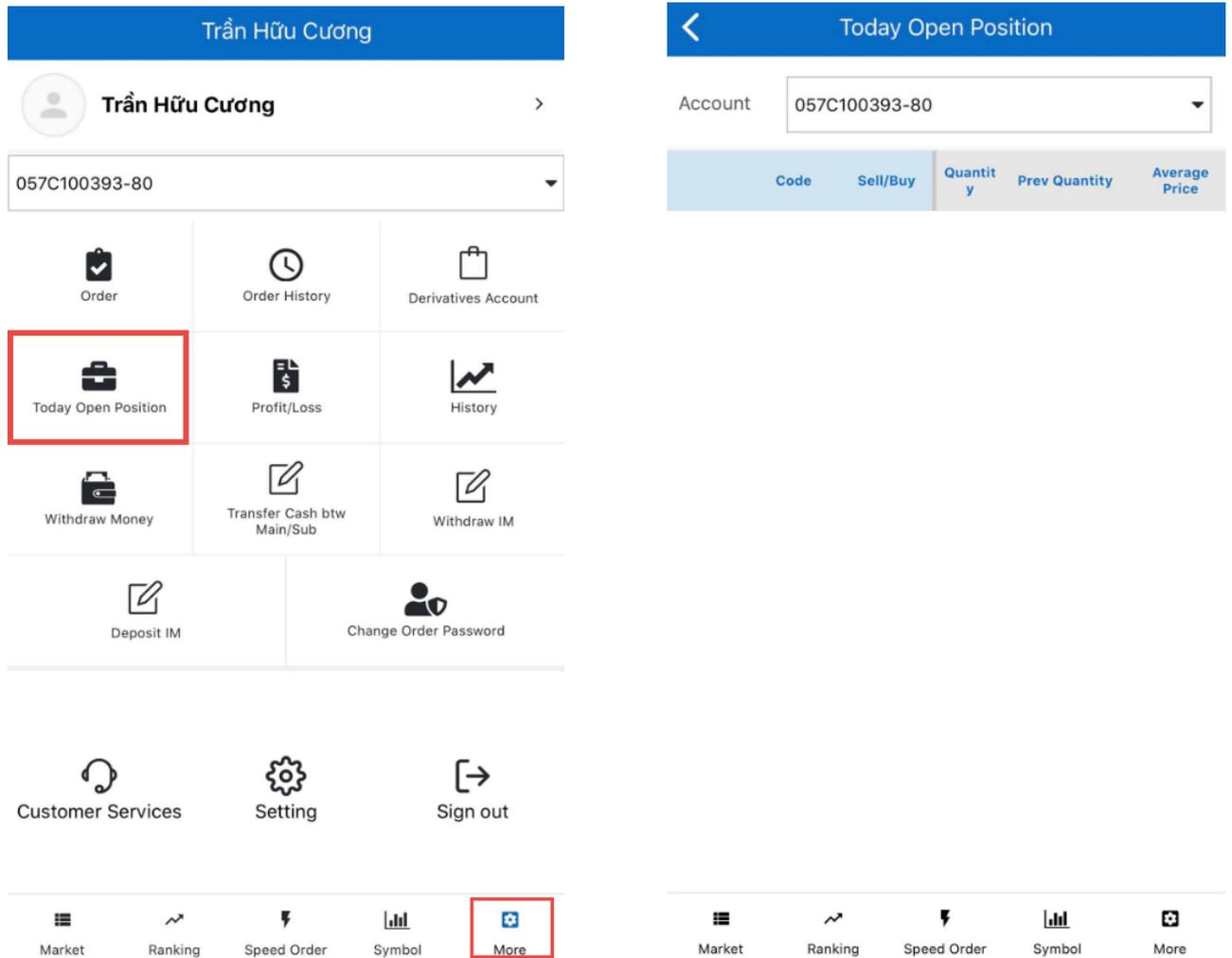
The screenshot displays the 'Derivatives Account' screen. At the top, there is a blue header with a back arrow and the text 'Derivatives Account'. Below the header, the account number '057C100393-80' is shown in a dropdown menu. The main content is a table with three columns: 'Account Summary', 'Daily Balance', and 'Risk Ratio'. The 'Risk Ratio' column is underlined. The table lists various margin metrics, all with a value of 0, except for 'Margin Level' which is 'NORMAL'. At the bottom, there is a navigation bar with five icons and their corresponding labels: Market, Ranking, Speed Order, Symbol, and More.

Account Summary	Daily Balance	Risk Ratio
Asset Collateral Value		0
Initial Margin (IM)		0
Variation Margin (VM)		0
Spread Margin (SM)		0
Initial Margin Delivery		0
Margin Requirement		0
Margin Utilization		0
Total Position		0
Margin Level		NORMAL

Market Ranking Speed Order Symbol More

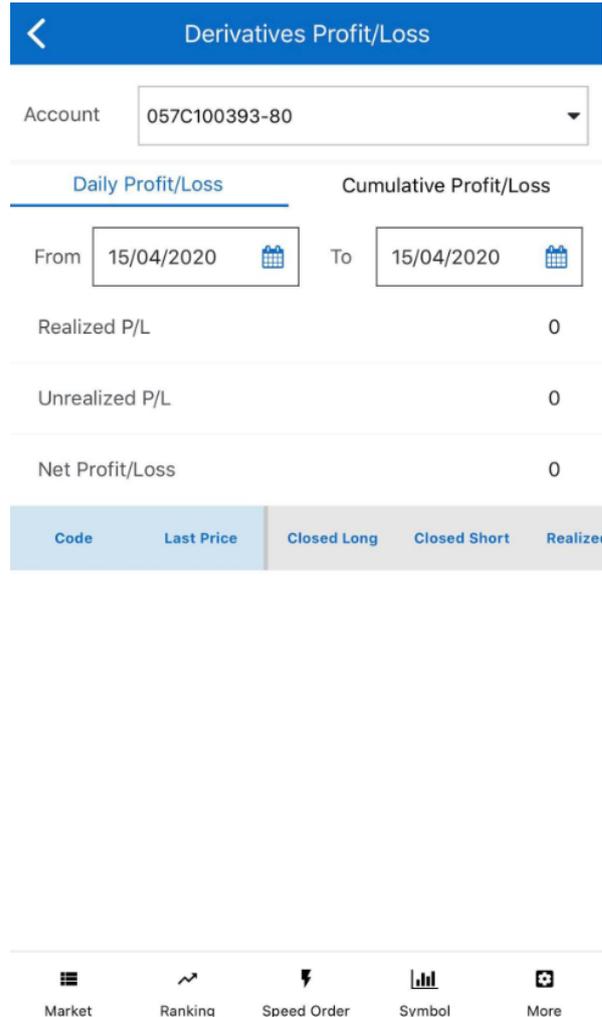
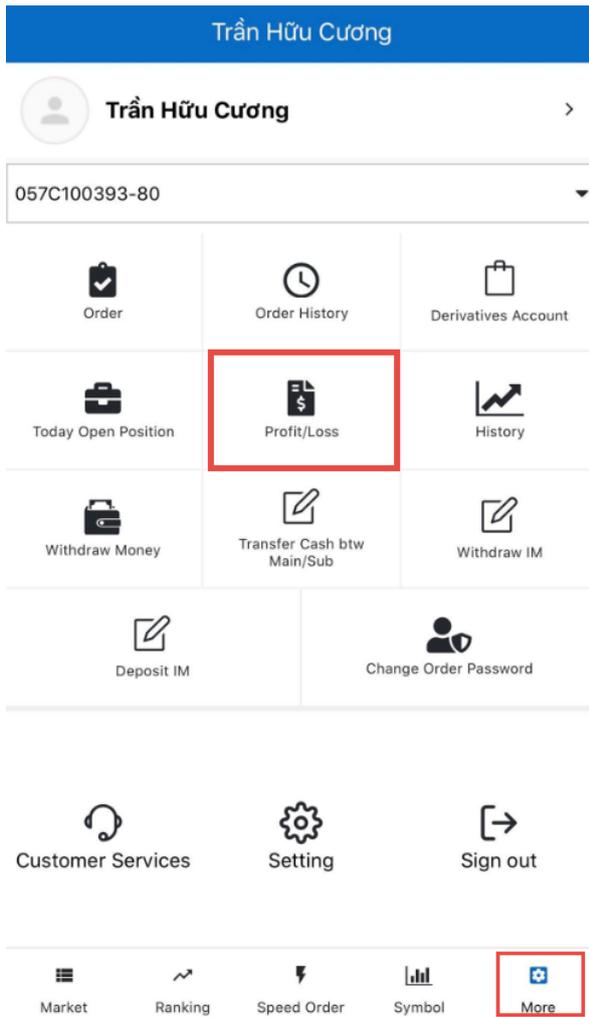
2.4 Today open positions

Customers lookup for open positions during the day by clicking on **“More”/ Today Open Positions”**



2.5 Daily profit/loss and cumulative profit/loss

Customers are able to look up for profit/loss value based on the current price (closing price) of the account by clicking on **“More”/ “Profit/Loss”**



Or Cumulative Profit/Loss

< Derivatives Profit/Loss

Account 057C100393-80

Daily Profit/Loss Cumulative Profit/Loss

From 15/04/2020 To 15/04/2020

Realized P/L 0

Unrealized P/L 0

Net Profit/Loss 0

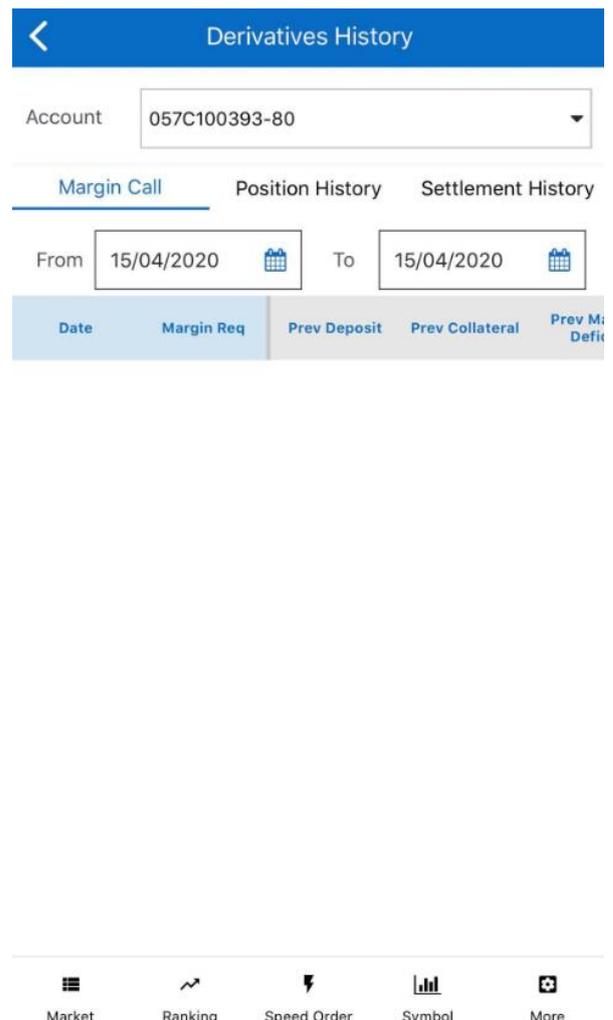
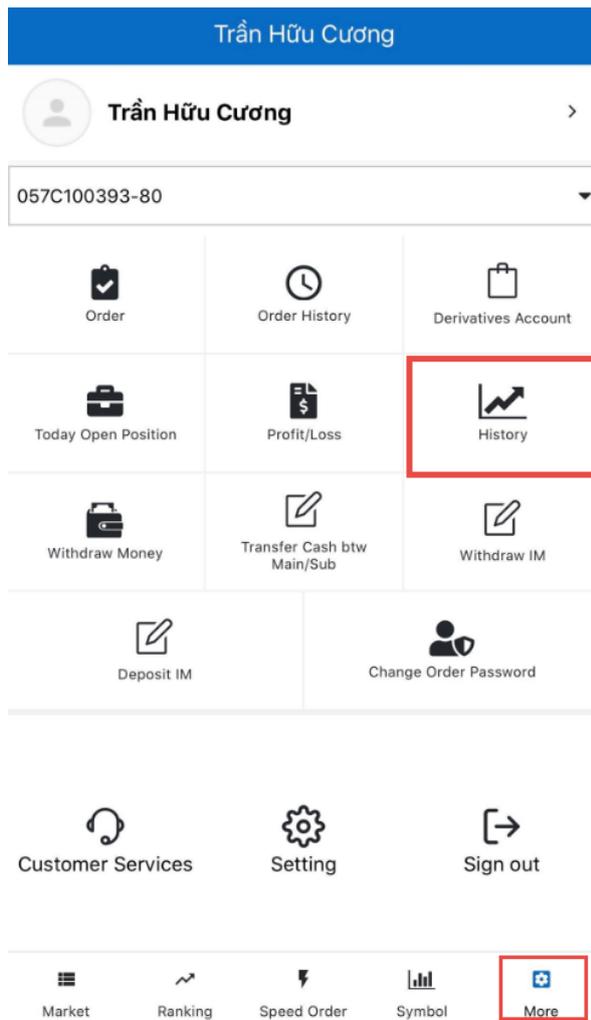
Date	Code	Realized P/L	Unrealized P/L	Fee
------	------	--------------	----------------	-----

Market Ranking Speed Order Symbol More

2.6 History

Margin call

Customers lookup for margin call history of the account and the status that whether there is by clicking on **“More”/ “History”/ Margin Call**



Position history

Customers lookup for position history of customer’s account in the day by clicking on **“More”/“History”/Position History**

< Derivatives History

Account 057C100393-80

Margin Call Position History Settlement History

From 15/04/2020 To 15/04/2020

Date	Code	Buy/Sell	Quantity	Match Price
------	------	----------	----------	-------------

Market Ranking Speed Order Symbol More

Settlement history

Customers lookup for account's history of settling position profit/loss by clicking on **“More”/“History”/Settlement History**

< Derivatives History

Account 057C100393-80

Margin Call Position History Settlement History

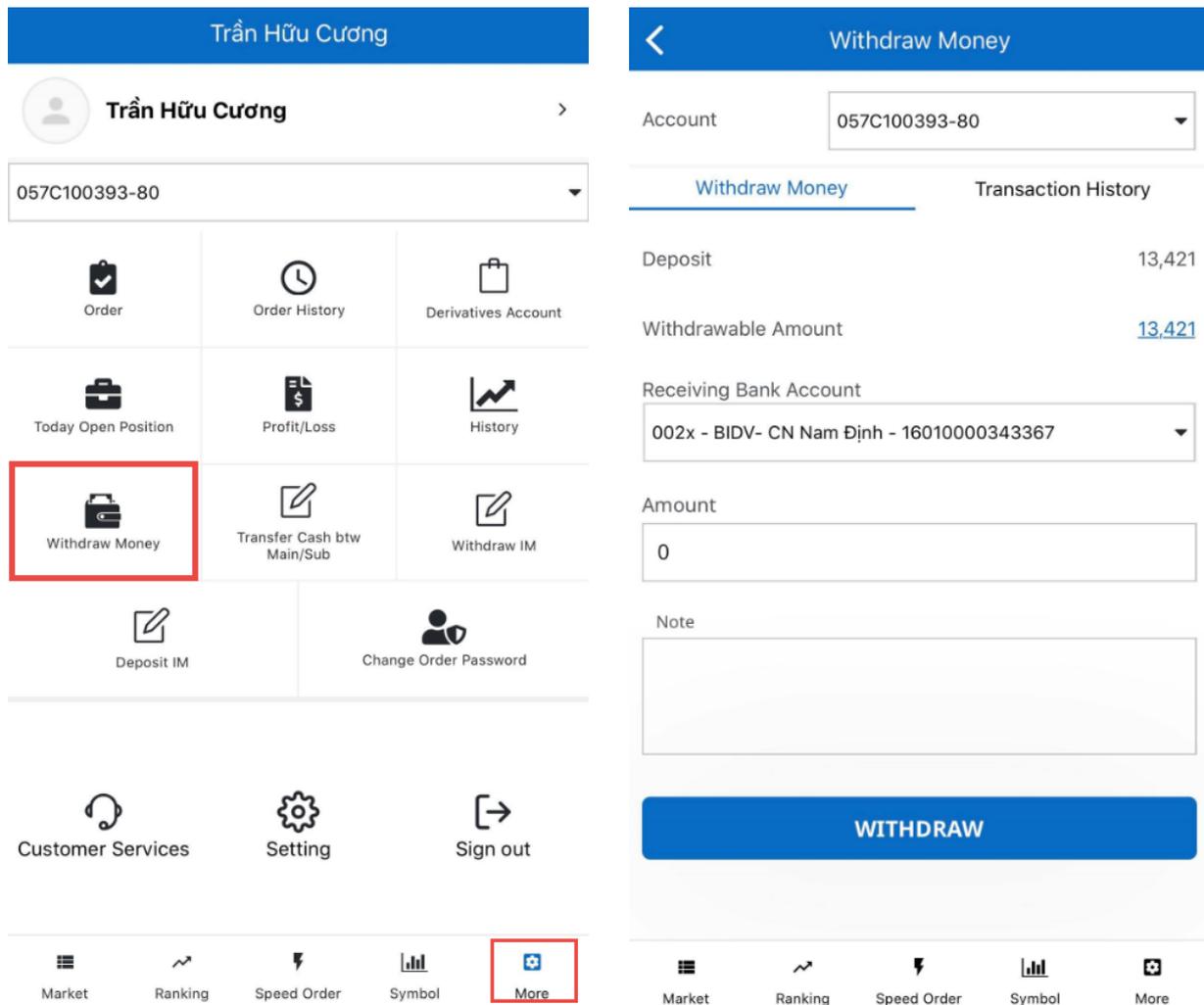
From 15/04/2020 To 15/04/2020

Trading Date	Settle Date	Variation Margin (VM)	Fee	Tax
--------------	-------------	-----------------------	-----	-----

Market Ranking Speed Order Symbol More

2.7 Withdraw money

Customers perform transferring money that hasn't been used for derivatives margin purposes via bank account registered by clicking on **“More”/“Withdraw Money”**



Steps to perform money withdraw from the derivatives account:

Step 1: Choose the sub-account 80

Step 2: Choose “**Receiving Bank Account**”.

Step 3: Enter the **Amount** to withdraw or click on “**Withdrawable Amount**” and the system will automatically carry the balance to “**Amount**”.

Step 4: Enter “**Note**”, this part is optional

Step 5: Click on “**Withdraw**” to complete

The transaction being made will be displayed at “**Transaction History**”. Customers will be able to view the transaction status as well as view the transaction history

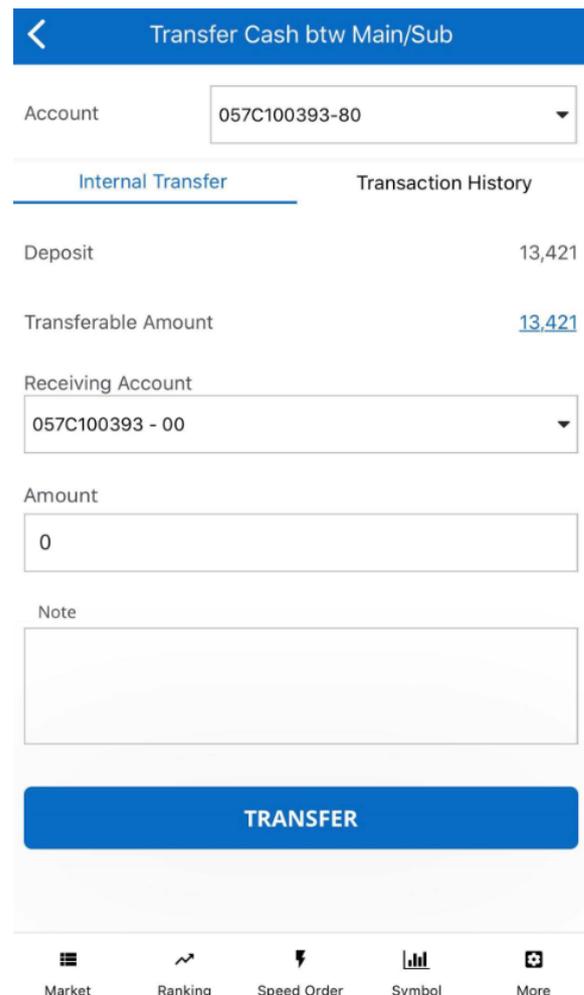
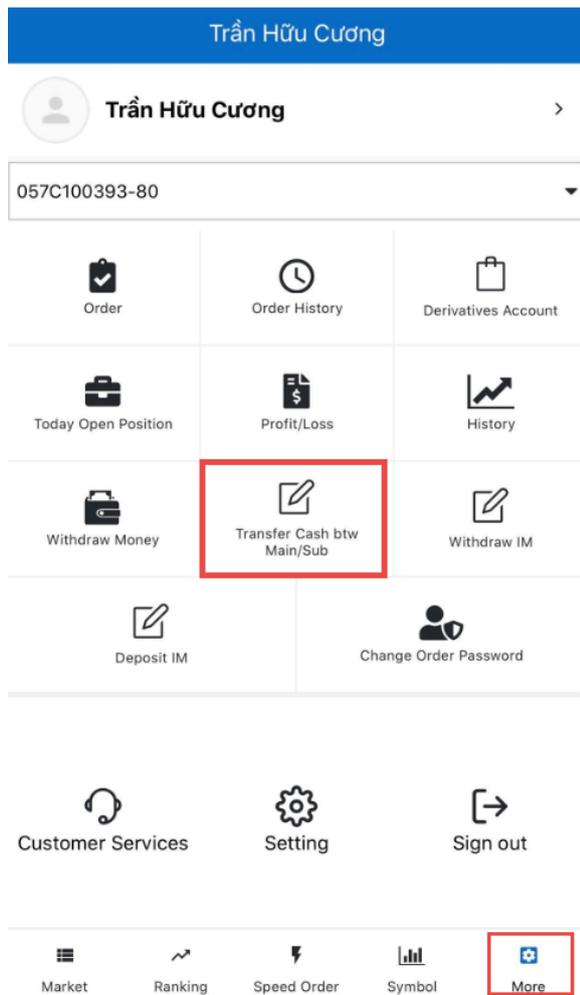
In terms of transactions having status as **“Pending”**, customers cancel the transaction by clicking on **“Cancel”**

Transaction Date	Seq No	Amount	Bank	Status
------------------	--------	--------	------	--------

Market Ranking Speed Order Symbol More

2.8 Transfer cash btw main/sub

Customers transfer cash from the derivatives account to other sub-accounts by clicking on **“More”/ “Transfer Cash btw Main/Sub”**



Steps to transfer money:

Step 1: Choose the sub-account 80

Step 2: Choose a “**Receiving Account**”

Step 3: Enter the **Amount** to withdraw or click on “**Transferable Amount**” and the system will automatically carry the balance to “**Amount**”.

Step 4: Enter “**Note**”, this part is optional

Step 5: Click on “**Transfer**” to complete

The transaction being made will be displayed at “**Transaction History**”. Customers will be able to view the transaction status as well as view the transaction history

< Transfer Cash btw Main/Sub

Account

Internal Transfer Transaction History

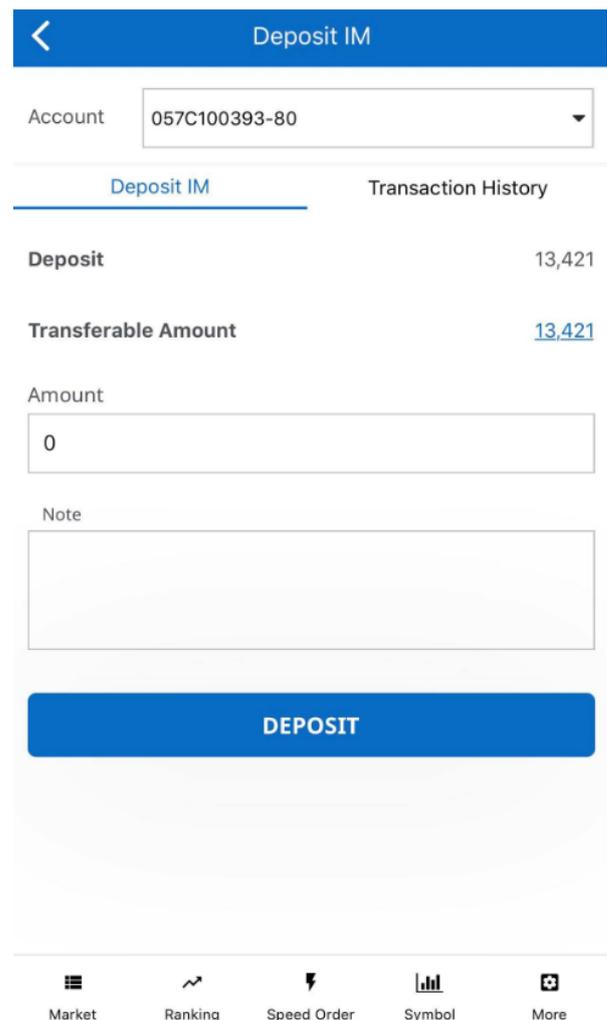
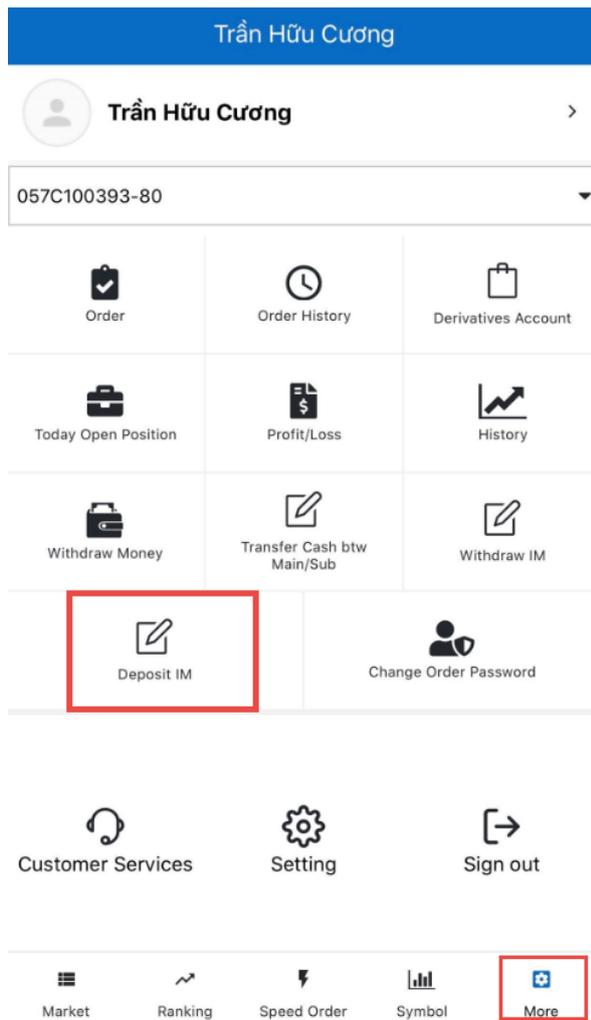
From  To 

Transaction Date	Seq No	Amount	Received Account	Status
------------------	--------	--------	------------------	--------

 Market  Ranking  Speed Order  Symbol  More

2.9 Deposit IM

Customers deposit more initial margin by clicking on **“More”/“Deposit IM”**



Steps to deposit IM:

Step 1: Choose the “**Account**” to deposit IM

Step 2: Enter the “**Amount**”

Step 4: Enter “**Note**”, this part is optional

Step 5: Click on “**Deposit**” to complete

The transaction being made will be displayed at “**Transaction History**”. Customers will be able to view the transaction status as well as view the transaction history

Account 057C100393-80

Deposit IM Transaction History

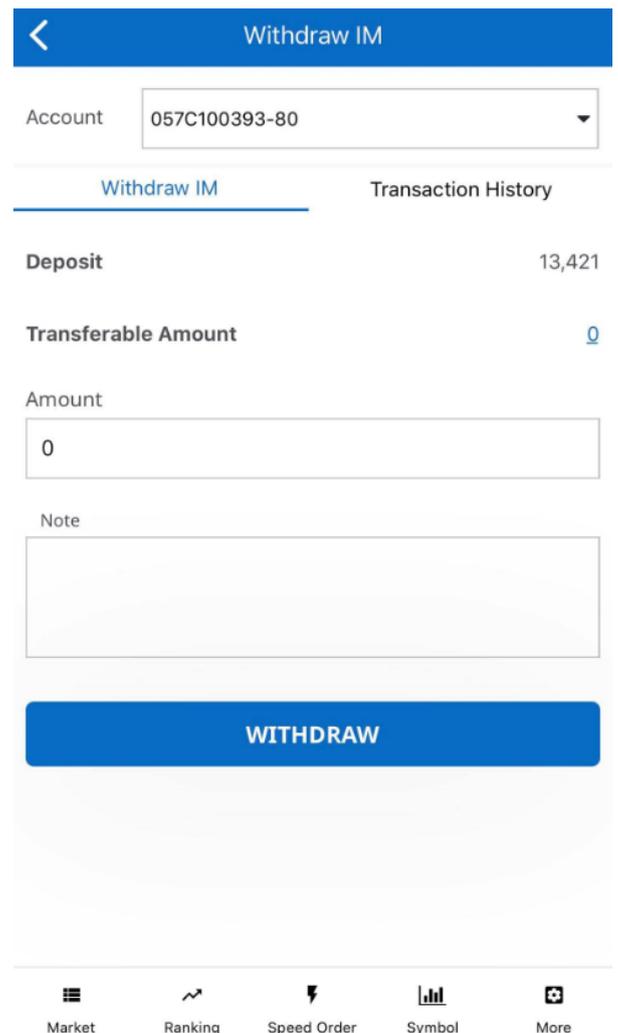
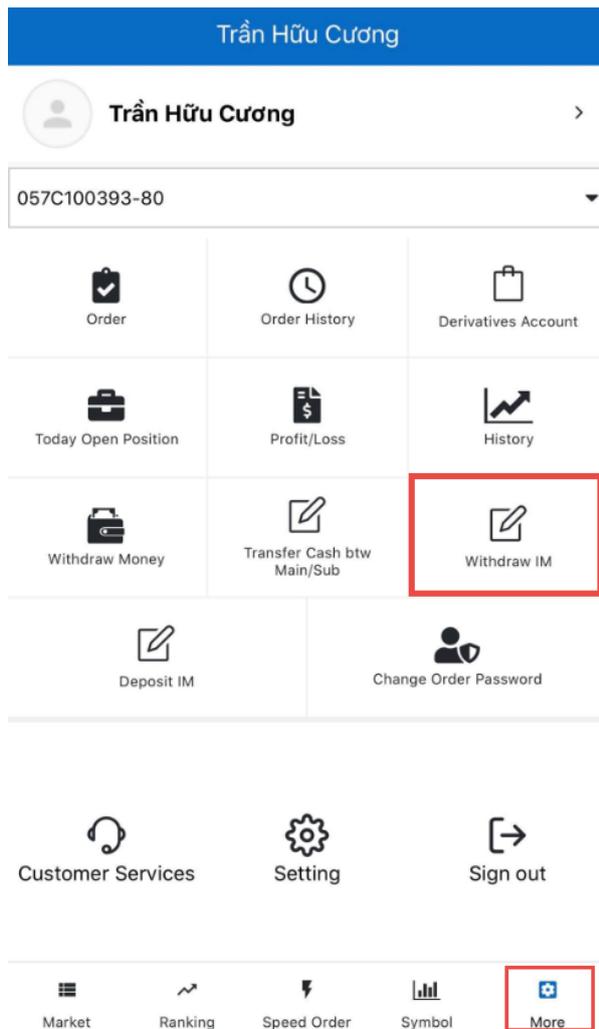
From 15/04/2020 To 15/04/2020

Transaction Date	Seq No	Amount	Accounting Amount	Fee
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Market Ranking Speed Order Symbol More

2.10 Withdraw IM

Customers withdraw initial margin by clicking on **“More”/“Withdraw IM”**



Steps to withdraw IM:

Step 1: Choose the “Account”

Step 2: Enter the **Amount** to withdraw or click on “**Transferable Amount**” and the system will automatically carry the balance to “**Amount**”

Step 4: Enter “**Note**”, this part is optional

Step 5: Click on “**Withdraw**” to complete

The transaction being made will be displayed at “**Transaction History**”. Customers will be able to view the transaction status as well as view the transaction history

 Withdraw IM

Account

Withdraw IM Transaction History

From  To 

Transaction Date	Seq No	Amount	Accounting Amount	Fee
------------------	--------	--------	-------------------	-----

-  Market
-  Ranking
-  Speed Order
-  Symbol
-  More