

true friend

KIS

KIS Vietnam Securities Corporation

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Number 01/2016-BB-ĐHĐCĐ

TP, Hồ Chí Minh, Mar 3rd 2016

**MINUTES OF
ANNUAL GENERAL SHAREHOLDERS' MEETING 2016
KIS VIETNAM SECURITIES CORPORATION**

Today, on March 3rd 2016, KIS Vietnam Securities Corporation organizes the Annual Shareholders' Meeting 2016 at the Company (Floor 3, 180-192 Nguyen Cong Tru St, District 1, HCMC), in details as follows:

I. PART I: IMPLEMENTATION OF THE ANNUAL GENERAL SHAREHOLDERS' MEETING OF YEAR 2016

1: Participants of the Meeting

1.1: Members of the Board of Directors and Board of Inspection.

1.2: Managers, Staffs and Guests

1.3: Shareholders and representative of shareholders:

The result of registration on attending the GSM 2016 is: total of 03 shareholders and representative of shareholders attend the Annual Shareholders' Meeting of Year 2016, representing for 109,877,069 shares, accounting for 98,742% of charter capital and 98,742% of total of voting shares.

(List of shareholders and representative of shareholders attends the Annual General Shareholders' Meeting of Year 2016 and their holding shares is enclosed with this minutes)

2. Head of the Board of Counting– Mr. Nguyen Phuoc Thien declares the Validity of the Annual General Shareholders' Meeting as follows:

2.1: Shareholders and representative of shareholders entitled to attend at the GSM of Year 2016 are 66, owning and representing for 111,276,600 shares.

2.2: Total of 03 shareholders and representative of shareholders attend the Annual Shareholders' Meeting of Year 2016, representing for 109,877,069 shares, accounting for 98,742% of charter capital and 98,742% of total of voting shares

3. Board of Presidium, Counting Votes Committee, and the Board of Secretary:

Pursuant to the Company's Charter, the Chairman of the Board of Directors will act as the Presidium for the General Shareholders Meeting. Mr. Oh Kyung Hee Chairman of the BOD and Presidium of the General Shareholders Meeting 2016 has nominated the members of Board of Presidium, Counting Votes Committee, and the Board of Secretary as follows:

3.1: Board of Presidium includes:

Mr: Oh Kyung Hee – Chairman of BOD

3.2: Board of Secretary includes:

Ms: Nguyen Thuy Hang – Head of the Board

Ms: La Huynh Phuong Thanh - Member

Ms: Huynh Thi Hong Ly - Member

3.3: Counting Votes Committee includes:

Mr: Nguyen Phuoc Thien – Head of the Board

Ms: Nguyen Ngoc Phuong – Member

Ms: Nguyen Ngoc Anh Tho – Member

The GSM approved the proposal



4. Mr. Nguyen Phuoc Thien – Head of Counting votes Committee proposed working rules and voting rules at Annual GSM 2016

The GSM approved on the working rules and voting rules at the Annual GSM 2016.

5. Next, Ms. Nguyen Thao Giang – secretary of BOD, on behalf of the Presidium proposed for the approval on the Annual GSM 2016's Agenda

The GSM approved on the Annual GSM 2016's Agenda with agreed voting ratio of 100% GSM agenda as per attachment file.

6. Mr. Oh Kyung Hee implements the opening of the Annual General Shareholders' Meeting 2016 of the KIS Vietnam Securities Corporation

The Annual General Shareholders' Meeting 2016 of the KIS Vietnam Securities Corporation starts to take place.

II. PART 2: PRESENTATION AND APPROVAL ON THE ISSUES AT THE GSM :

1. Business performance report of Year 2015 and Business plan of year 2016 of CEO
2. Report of Board of Directors
3. Report of Board of Inspection
4. Proposal on Chairman cum CEO
5. Proposal on the selection of DFK Vietnam as an Auditing Firm for the Company's FS in Year 2016
6. Proposal on the Remuneration for the Board of Director & Board of Inspection in the year 2015 and the Proposal for Y2016.
7. Approve on resignation letter of BOD member.
8. Approve on resignation letter of BOI member.
9. Approve on electing additional member of BOD.
10. Approve on electing additional member of BOI.

III. PART 3: CONTENTS OF THE GSM, DISCUSSION AT THE GSM

1. Business performance report of Year 2015 and Business plan of year 2016

Mr. Cha Hun Do – Head of Division has presented Business performance report of Year 2015 and Business plan of year 2016 which includes in the financial ratios, the situation of operating activities of the Company in 2015 and Business Plan of year 2016. (As per the attached file)

2. Report of Board of Directors

Mr. Oh Kyung Hee– Chairman of BOD has presented Board of Directors of Year 2015 as per the attached file.

3. Report of Board of Inspection

Ms. Tran Thi Truc Linh – Head of BOI has presented the Report of the BOI in year 2015 as per the attached file.

4. Proposal on Chairman cum CEO of Company

Ms. Nguyen Thao Giang – on behalf of BOD has presented the Proposal as per attached file.

5. Proposal on the selection Auditing Firm for the Company in Year 2016

Ms. Nguyen Thao Giang – on behalf of BOD has presented the Proposal to select DFK Vietnam to be an auditing firm for Company in year 2016 as per attached file.

6. Proposal on the Remuneration for the Board of Directors & Supervisory Board of the year 2015 and the Proposal for year 2016.

Ms. Nguyen Thao Giang – on behalf of BOD has presented the Proposal as per attached file.

7. Approve on resignation letter of BOD member

Ms. Nguyen Thao Giang – on behalf of BOD has presented the resignation letter as per attached file.

- Mr. Kim Min Kyu (ID No. 435324949)

8. Approve on resignation letter of BOI member

Ms. Nguyen Thao Giang – on behalf of BOD has presented the resignation letter as per attached file.

- Ms. Tran Thi Truc Linh (ID No. 381173466)
- Mr. Kim Sin Yeol (ID No. AY0003681)

9. Approve on electing additional member of BOD

The GSM has voted for Mr. Kim Sin Yeol to be an additional member of BOD in term 2014 – 2018 according to nomination list.

No.	Members	ID/Passport
1.	Kim Sin Yeol	AY0003681

10. Approve on electing additional member of BOI

The GSM has voted for Mr. Le Phuong Thanh and Mr. Hwang Jeong Yun to be additional members of BOD in term 2014 – 2018 according to nomination list.

No.	Members	ID/Passport
1.	Lê Phương Thành	011841070
2.	Hwang Jeong Yun	M02614679

11. Discussion at the GSM

In the Meeting, shareholder Tran Nguyen Hung made 3 questions for the Company as below:

- Is the growth rate of profit adequacy with the growth rate of revenue or not?
- I don't see the plan to use profit of company. In this year if company has no accumulated loss, what is the plan to use profit of company? For example plan to pay dividend
- Will company increase charter capital?

Mr. Oh Kyung Hee – on behalf of Presidium has answered questions of Shareholder as below:

- The Company consider expenses increase according to revenue increase. So that the profit plan in year 2016 is adequacy with increase of revenue and expense.
- Currently, company still has accumulated loss so that company has no plan to use profit. In the end of year 2016, after checking business result, company will consider.
- About the plan to increase charter capital: company will consider many solutions.

IV. VOTING, ELECTION AND RESULTS:

The GSM has implemented to voting on the annual issues by the voting form. Mr. Nguyen Phuoc Thien – Head of the Counting Vote Committee has declared the results in front of the GSM.

Voting results need to be passed through at the GSM and presented at the Part 3 of this minute are as follows:



1. **Approve on Business results report of Year 2015 and business plan of Year 2016**
The GSM approved with percentage of agreement: 100%
2. **Approve on Board of Directors' report of year 2015**
The GSM approved with percentage of agreement: 100%
3. **Approve on Board of Inspections' report of year 2015**
The GSM approved with percentage of agreement: 100%
4. **Approve on Proposal on Chairman cum CEO of Company**
The GSM approved with percentage of agreement: 100%
5. **Approve on Proposal on the selection of DFK Vietnam as an Auditing Firm for the Company in Year 2016**
The GSM approved with percentage of agreement: 100%
6. **Approve on Report on the Remuneration for the Board of Directors & Board of Inspection of Year 2015 and the Budget for Year 2016**
The GSM approved with percentage of agreement: 100%
7. **Approve on resignation letter of BOD member**
The GSM approved with percentage of agreement: 100%
8. **Approve on resignation letter of BOI member**
The GSM approved with percentage of agreement: 100%

9. The result of electing additional member of BOD:

+ Valid votes:	109,877,069	Votes	100%
+ Invalid votes:	0	Votes	0%

- Number of additional members of BOD: 1 member

No.	Candidates	No. of votes	
		Number	Ratio %
1	Mr. Kim Sin Yeol	109,877,069	100.000%
Total votes		109,877,069	100.000%

10. The result of electing additional members of BOI:

+ Valid votes:	219,754,318	Votes	100%
+ Invalid votes:	0	Votes	0%

- Number of additional members of BOI: 2 members

No.	Candidates	No. of votes	
		Number	Ratio %
1	Ông/Mr. Le Phuong Thanh	109,877,069	50.000%
2	Ông/Mr. Hwang Jeong Yun	109,877,069	50.000%
Tổng số phiếu bầu		219,754,138	100.000%

V. END OF THE ANNUAL GENERAL SHAREHOLDERS' MEETING

1. Approve on the Minutes and the Resolution of the GSM's Meeting:

The GSM approves on the Minutes and the Resolution of the GSM's Meeting according to the votes of shareholders and the Minutes of Counting Votes Committee

2. End of the Meeting

Mr. Oh Kyung Hee is on behalf of the Board of Presidium of the GSM of the KIS Vietnam Securities Corporation announces on the ending of the Meeting.

The Meeting ends at 16h30 at the same day.

**COUNTING VOTES
COMMITTEE**



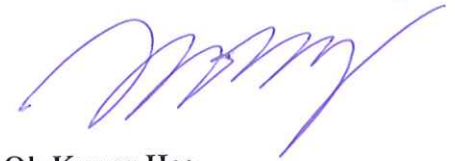
Nguyen Phuoc Thien

BOARD OF SECRETARY



Nguyen Thuy Hang

PRESIDIUM



Oh Kyung Hee



